

# Discrete symmetries in GUTs and in the MSSM

Michael Ratz



Bonn, November 7, 2011

Based on:

- H.M. Lee, S. Raby, G. Ross, M.R., R. Schieren & P. Vaudrevange, Phys. Lett. **B** 694, 491-495 (2011) & Nucl. Phys. **B** 850, 1-30 (2011)
- R. Kappl, B. Petersen, S. Raby, M.R., R. Schieren & P. Vaudrevange, Nucl. Phys. **B** 847, 325-349 (2011)
- M. Fallbacher, M.R. & P. Vaudrevange, Phys. Lett. **B** 705, 503-506 (2011)

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- 😞 dimension four and five proton decay operators
- 😞 CP and flavor problems

➞ Supersymmetry alone seems not to be enough

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“Discrete symmetries in GUTs and in the MSSM”

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This ignores many interesting developments in model building:

- flavor symmetries:
  - discrete vs. continuous
  - VEV alignment
- CP violation
  - spontaneous? or geometric?
  - relation to baryon asymmetry?

# Proton decay operators

☞ Gauge invariant superpotential terms up to order 4 include

$$\begin{aligned}
 \mathcal{W} = & \mu H_d H_u + \kappa_i L_i H_u \\
 & + Y_e^{ij} L_i H_d \bar{E}_j + Y_d^{ij} Q_i H_d \bar{D}_j + Y_u^{ij} Q_i H_u \bar{U}_j \\
 & + \lambda_{ijk} L_i L_j \bar{E}_k + \lambda'_{ijk} L_i Q_j \bar{D}_k + \lambda''_{ijk} \bar{U}_i \bar{D}_j \bar{D}_k \\
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Farrar & Fayet (1978); Dimopoulos, Raby & Wilczek (1981)

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forbidden by **proton hexality**

Babu, Gogoladze & Wang (2002); Dreiner, Luhn & Thormeier (2006)

☞ Proton hexality = **matter parity** + **baryon triality**

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☞ Proton hexality  $P_6 = \text{matter parity } \mathbb{Z}_2^M \times \text{baryon triality } B_3$

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$B_3$	0	-1	1	-1	2	1	-1	0
$P_6$	0	1	-1	-2	1	-1	1	3

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- ☺ unique anomaly-free symmetry with the above features  
... with the common notion of anomaly freedom

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☞ However:

- ☹ not consistent with unification for matter (i.e. inconsistent with universal discrete charges for all matter fields)



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- ☹ embedding into string theory not yet fully convincing

# Outline

- 1 Introduction & Motivation ✓
- 2 Anomaly-free discrete symmetries & grand unification
- 3 String theory completion
- 4 Summary

# **Anomaly-free discrete symmetries and grand unification**

- anomaly cancellation
- consistency with unification

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need to be strongly suppressed

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# Discrete anomaly cancellation

☞ Example: anomaly coefficients for  $\mathbb{Z}_N$  symmetries

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sum over all  
representations of  $G$

sum over all fermions

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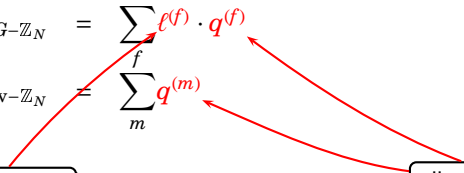
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Dynkin index

discrete charges



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**traditional anomaly constraints:**

all  $A$  coefficients vanish (mod  $\eta$ )

# Discrete anomaly cancellation revisited

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► details

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☞ **Note:** discrete GS anomaly cancellation at work in many explicit string models

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(i) anomaly freedom (allow for GS anomaly cancellation)

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3. assuming (i)–(iii) & **SU(5)** relations:  
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4.  $R$  symmetries are not available in 4D GUTs

# Claim 1: Non- $R$ symmetries cannot forbid $\mu$

H.M. Lee, S. Raby, M.R., G. Ross, R. Schieren, K. Schmidt-Hoberg, P. Vaudrevange (2011)

☞ Anomaly coefficients for non- $R$  symmetry with  $SU(5)$  relations for matter charges

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sum over matter charges

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# Claim 1: Non- $R$ symmetries cannot forbid $\mu$

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$\hookrightarrow$  SO(10) implies unique symmetry

## Claim 2: SO(10) implies unique symmetry

H.M. Lee, S. Raby, M.R., G. Ross, R. Schieren, K. Schmidt-Hoberg, P. Vaudrevange (2011)

☞ Assumption: quarks and leptons have universal charge  $q$

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$$2q + q_{H_u} = 2 \bmod N \quad \text{and} \quad 2q + q_{H_d} = 2 \bmod N$$

superpotential has  $R$  charge 2

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➡ first conclusion:

$$q_{H_u} = q_{H_d} = 0 \pmod{N}$$

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☞ Anomaly coefficients for Abelian discrete  $R$  symmetry

$$A_{\text{SU}(3)^2 - \mathbb{Z}_N^R} = 6(q - 1) + 3 = 6q - 3$$

$$A_{\text{SU}(2)^2 - \mathbb{Z}_N^R} = 6q + \frac{1}{2} (q_{H_u} + q_{H_d}) - 5$$



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$$A_{SU(2)^2 - \mathbb{Z}_N^R} - 4$$

however: there is no meaningful  $\mathbb{Z}_2^R$  symmetry

cf. e.g. Dine & Kehayias (2009)

$$q_{H_u} + q_{H_d} = 1 \pmod{N} \quad \left. \begin{array}{l} \\ \end{array} \right\} N \text{ for } N \text{ even}$$

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e.g.  $q_{H_u} = q_{H_d} = 16$



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gravitino contribution
gaugino contributions

$$A_{\text{U}(1)_Y^2 - \mathbb{Z}_N^R} = 6q + \frac{5}{2} (q_{H_u} + q_{H_d} - 2) = 1 \pmod{4/2}$$

$$\frac{1}{24} A_{\text{grav}^2 - \mathbb{Z}_N^R} = \frac{1}{24} [-21 + 8 + 3 + 1 + 48(q - 1) + 2(q_{H_u} + q_{H_d} - 2) - 1]$$

only defined mod 4

axino contribution

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**bottom-line:**

- $\mathbb{Z}_4^R$  is anomaly free via GS mechanism 1/2
  - GS axino contribution important for gravitational anomaly
- $\frac{1}{24}$

# Claim 3: only 5 symmetries obey SU(5) relations

H.M. Lee, S. Raby, M.R., G. Ross, R. Schieren, K. Schmidt-Hoberg, P. Vaudrevange (2011)

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$N$	$q_{10}$	$q_{\bar{5}}$	$q_{H_u}$	$q_{H_d}$	$\rho$	$A_0^R(\text{MSSM})$
4	1	1	0	0	1	1
6	5	3	4	0	0	1
8	1	5	0	4	1	3
12	5	9	4	0	3	1
24	5	9	16	12	9	7

Recall

gravitational anomaly

$$A_{G^2-\mathbb{Z}_N} = \sum_f \ell^{(f)} q^{(f)} \stackrel{!}{=} \rho \bmod \eta$$

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- ➡  $N$  divides 24: hint at realization of  $\mathbb{Z}_N^R$  as discrete rotational symmetry in orbifolds

(The geometry of orbifolds with  $N = 1$  SUSY is constrained that the order of discrete  $R$  symmetries also divides 24)

# No-Go for $R$ symmetries in 4D

M. Fallbacher, M.R., P. Vaudrevange (2011)

☞ Assumptions:

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2. residual  $R$  symmetries

# The basic argument

- ☞ Consider  $SU(5)$  model with an (arbitrary)  $R$  symmetry and a **24-plet** breaking  $SU(5) \rightarrow G_{\text{SM}}$

$$\mathbf{24} \rightarrow (\mathbf{8}, \mathbf{1})_0 \oplus (\mathbf{1}, \mathbf{3})_0 \oplus (\mathbf{3}, \mathbf{2})_{-5/6} \oplus (\bar{\mathbf{3}}, \mathbf{2})_{5/6}$$

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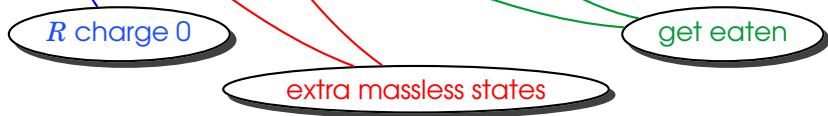
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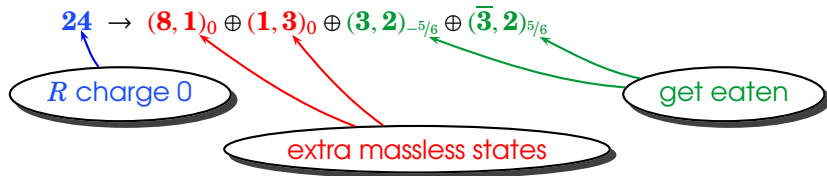


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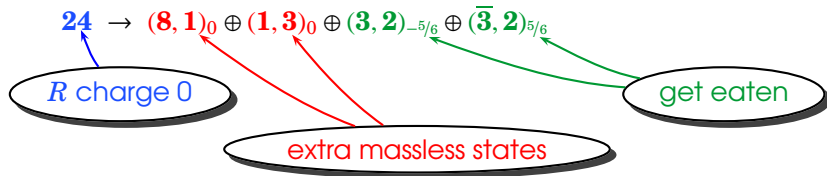
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- ☞ Loophole for **infinitely many 24-plets**

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## bottom-line:

'Natural' solutions to the  $\mu$  and/or doublet-triplet splitting problems are not available in four dimensions!

# Higher-dimensional GUTs and string realization

- evading the no-go theorem
- origin of  $\mathbb{Z}_4^R$
- higher-dimensional operators (effective  $\mu$  term etc.)



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- ☞ KK towers provide us with infinitely many states and allow us to evade the no-go theorem

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# Grand unification in higher dimensions

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☞ **Remainder of this talk:** explicit string-derived example

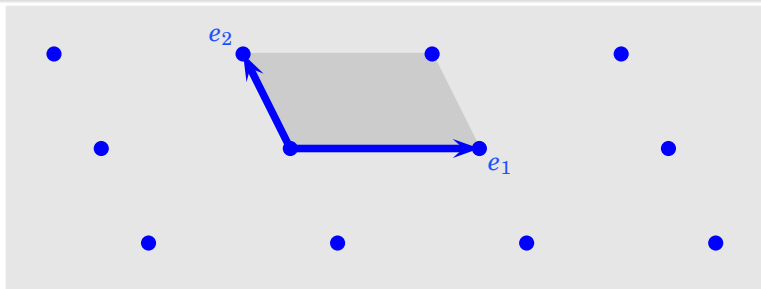
based on: M. Blaszczyk, S. Groot Nibbelink, M.R., F. Ruehle, M. Trapletti, P. Vaudrevange (2009)

R. Kappl, B. Petersen, S. Raby, M.R., R. Schieren & P. Vaudrevange (2011)

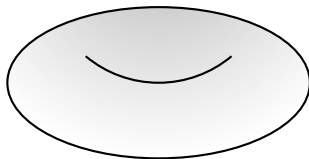
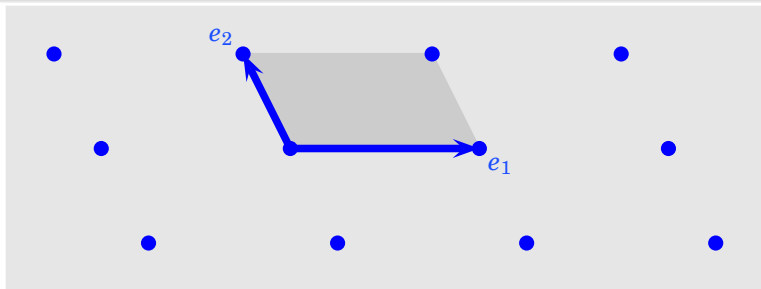
# The $\mathbb{Z}_2$ orbifold plane

2D space with  $SO(2)$  rotational symmetry

# The $\mathbb{Z}_2$ orbifold plane

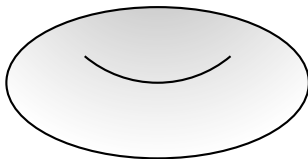
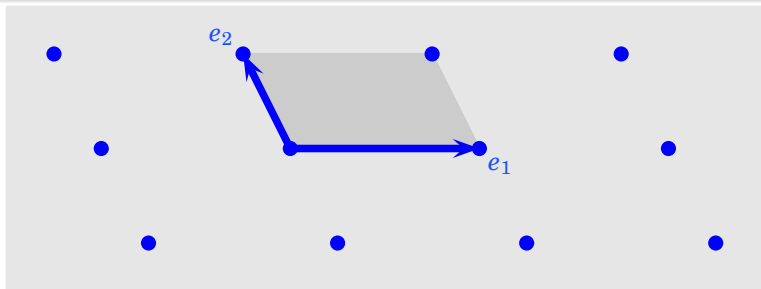


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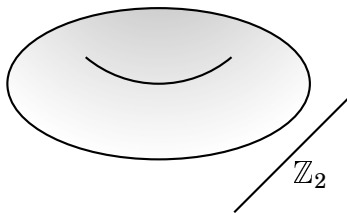
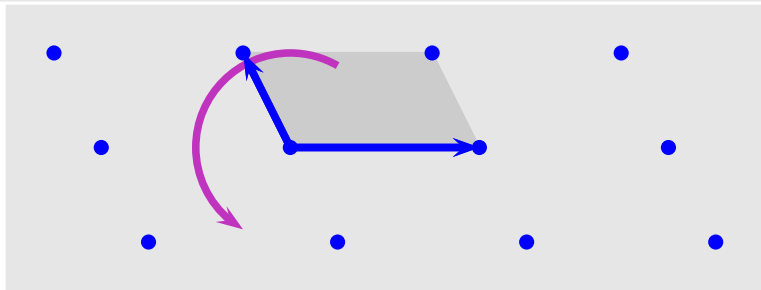




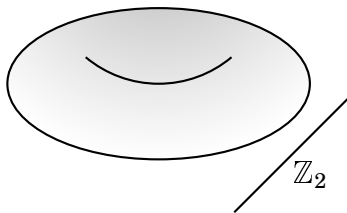
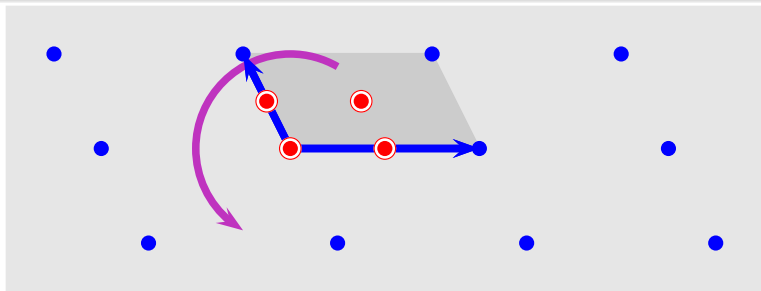
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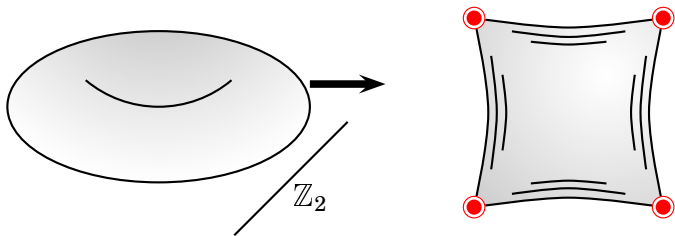
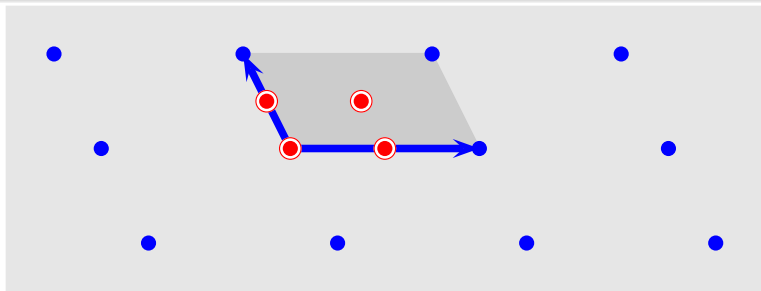
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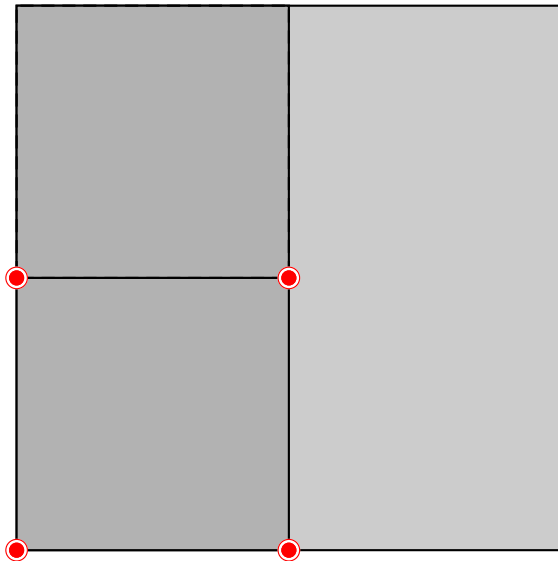
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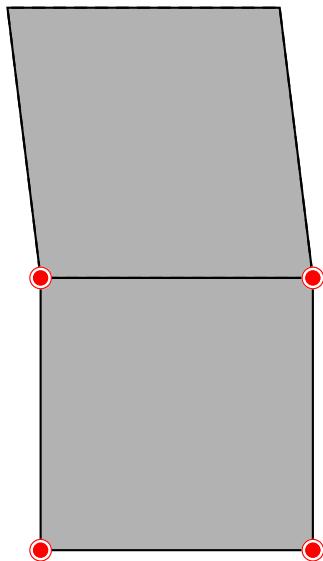
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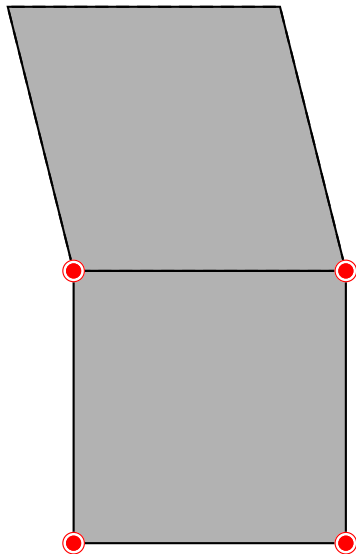
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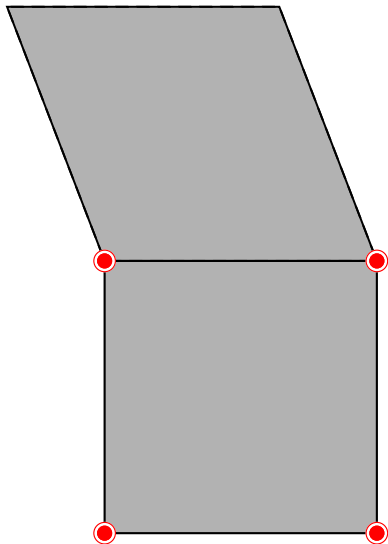
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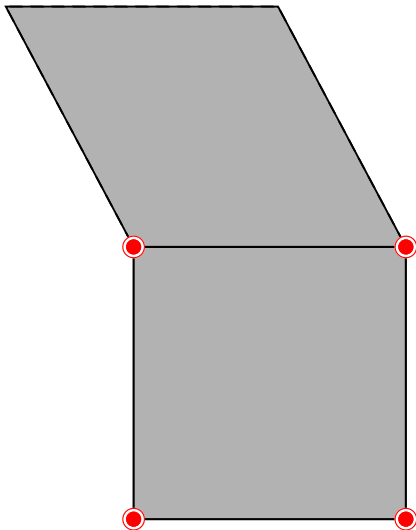


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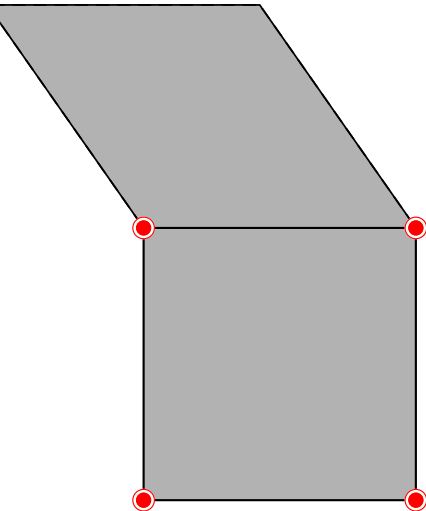




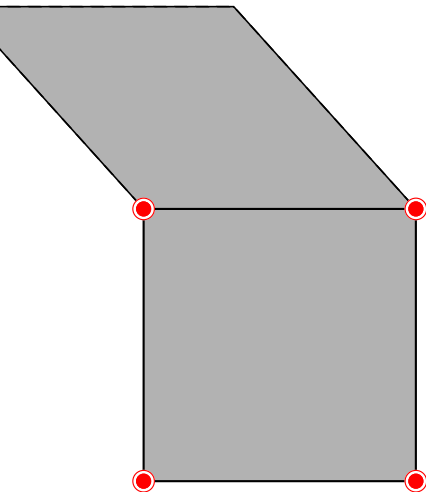
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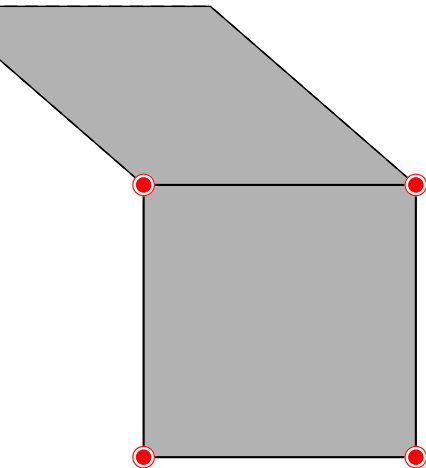
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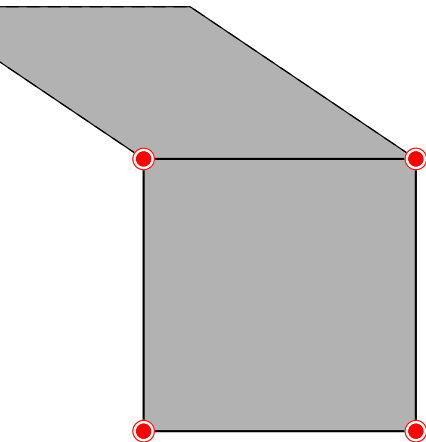
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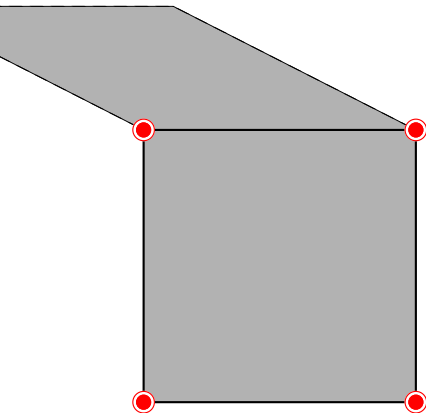
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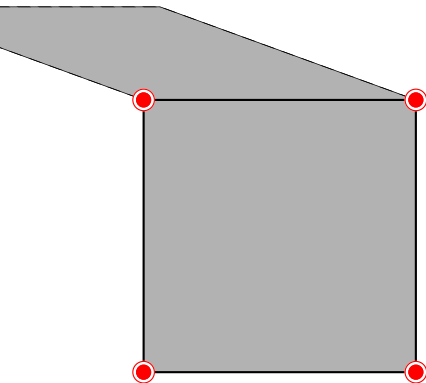
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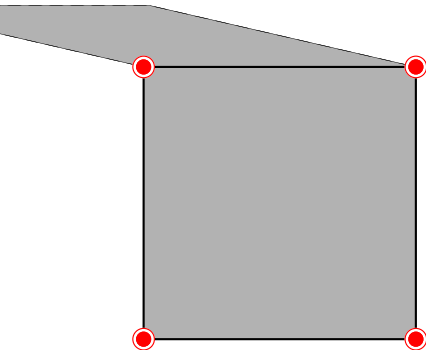
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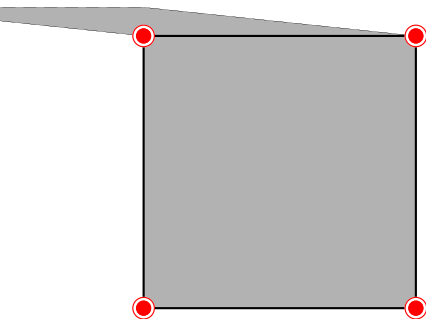


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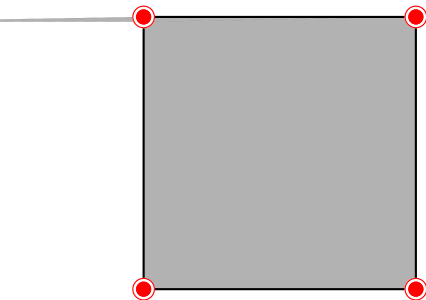




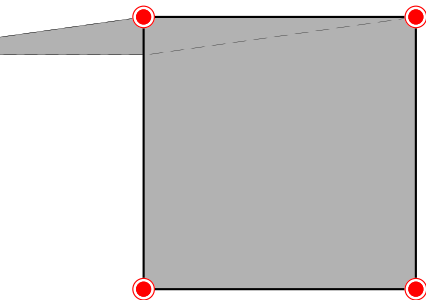
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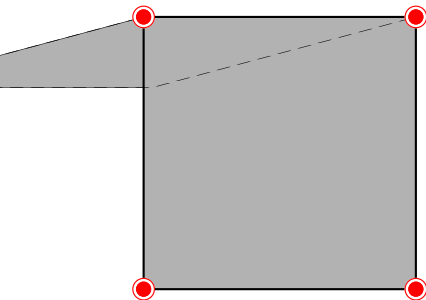
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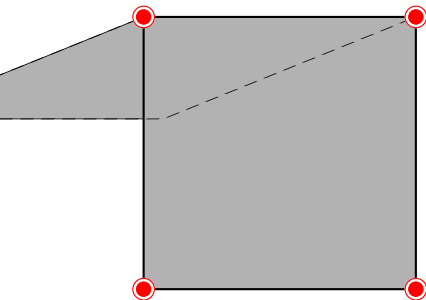
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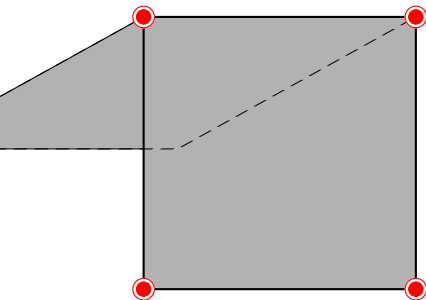
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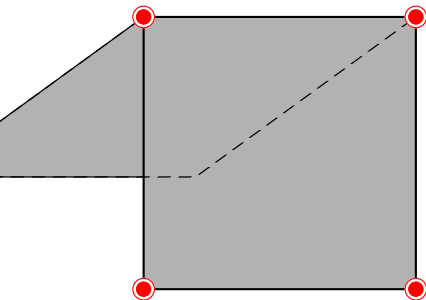
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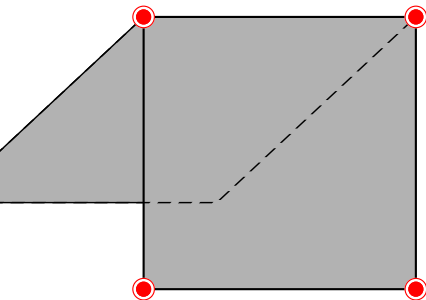
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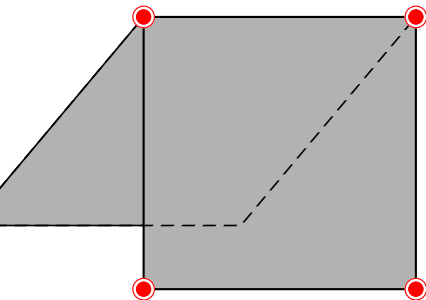


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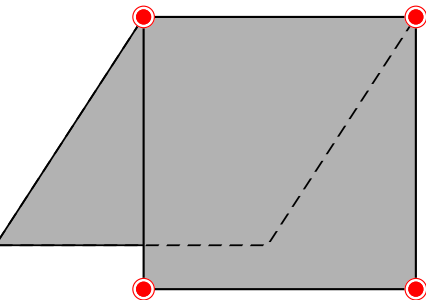




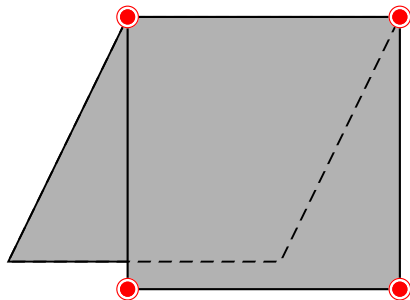
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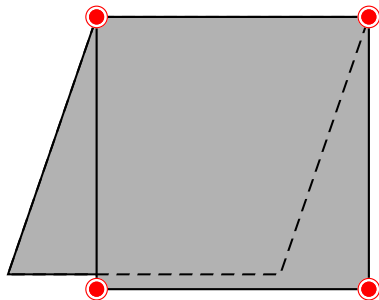
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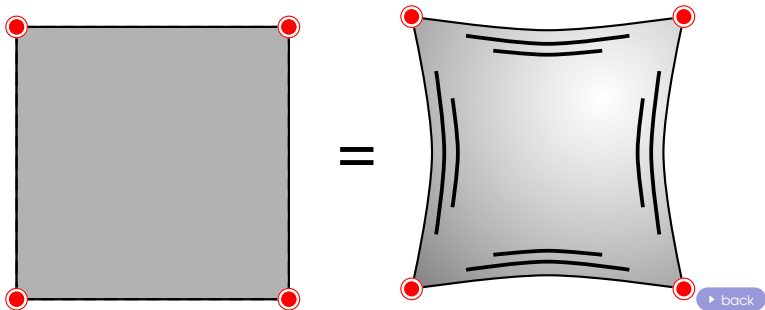
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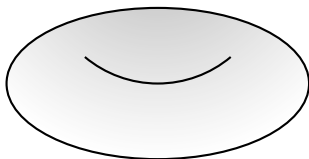


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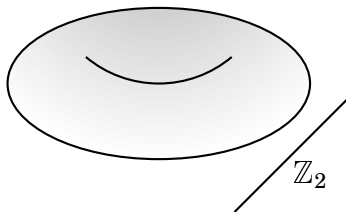
# $\mathbb{Z}_2$ orbifold plane & $R$ symmetries

☞ **Crucial:**  $\mathbb{Z}_4^R$  symmetry arises as a remnant of the Lorentz group in compact dimensions



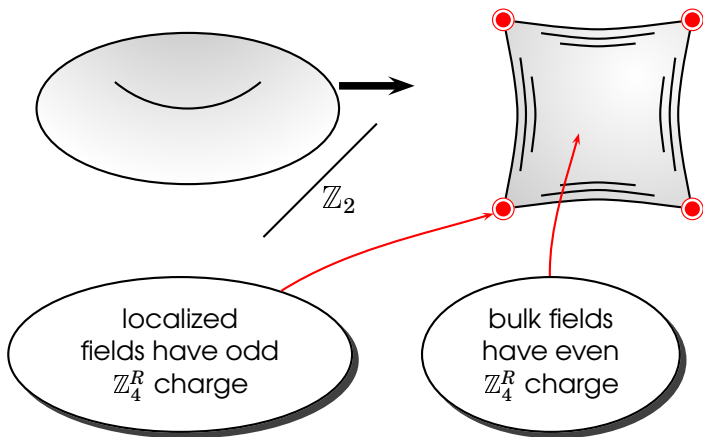
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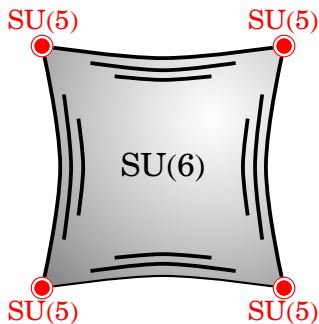
☞ **Crucial:**  $\mathbb{Z}_4^R$  symmetry arises as a remnant of the Lorentz group in compact dimensions

➡ **Remainder of this talk:** discuss globally consistent string model with these features

more details on heterotic orbifolds will be provided in tomorrow's talk by P. Vaudrevange

# $\mathbb{Z}_2 \times \mathbb{Z}_2$ orbifold example

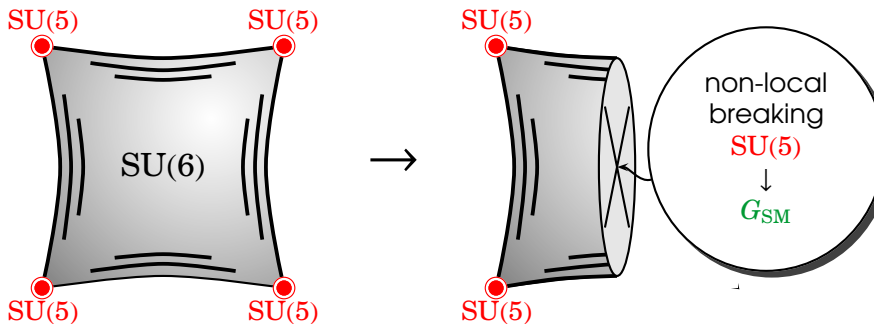
M. Błaszczyk, S. Groot Nibbelink, M.R., F. Ruehle, M. Trapletti, P. Vaudrevange (2009)



- ① step: 6 generation  $\mathbb{Z}_2 \times \mathbb{Z}_2$  model with  $SU(5)$  symmetry

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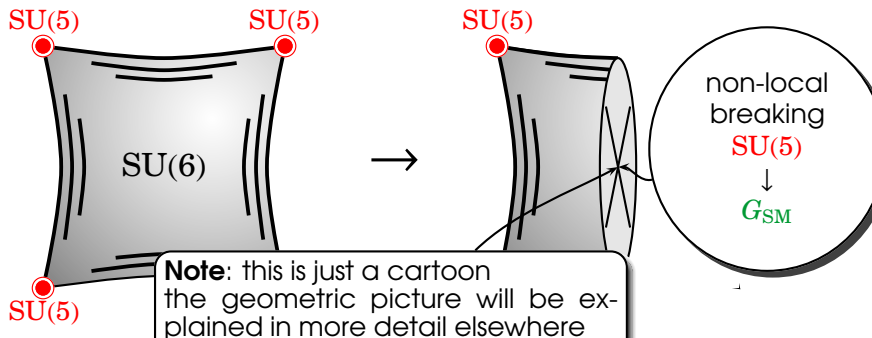
- ① step: 6 generation  $\mathbb{Z}_2 \times \mathbb{Z}_2$  model with  $SU(5)$  symmetry
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  - breaks  $SU(5) \rightarrow SU(3)_C \times SU(2)_L \times U(1)_Y$
  - reduces the number of generations to 3

analogous mechanism in CY MSSMs Bouchard &amp; Donagi (2005)

Braun, He, Ovrut, Pantev (2005)

$\mathbb{Z}_2 \times \mathbb{Z}_2$  orbifold example

M. Blaszczyk, S. Groot Nibbelink, M.R., F. Ruehle, M. Trapletti, P. Vaudrevange (2009)



① step: 6 generations

M. Fischer, M.R., P. Vaudrevange (to appear)

symmetry

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# Main features

- 1 GUT symmetry breaking **non-local**  
↪ no 'logarithmic running above the GUT scale'

Hebecker, Trapletti (2004)

- ↪ **precision gauge unification**  
with **distinctive pattern of soft masses**

Raby, M.R., Schmidt-Hoberg (2009)

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     $\leadsto$  complete blow-up without breaking SM gauge symmetry in principle possible

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- 5 Various appealing features:
  - vacua where **exotics** decouple at the linear level in SM singlets
  - non-trivial Yukawa couplings
  - gauge-top unification
  - $SU(5)$  relation  $y_\tau \simeq y_b$  (but also for light generations)

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➡ Successful string embedding of  $\mathbb{Z}_4^R$  possible!

SUSY vacua with  $\mathbb{Z}_4^R$ 

☞ Recall: situation for gauge theories with generic superpotential

e.g. Luty & Taylor (1995)

solutions of  $D$ -equations  $\cap$  solutions of  $F$ -equations = non-trivial

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SUSY vacua with  $\mathbb{Z}_4^R$  (cont'd)

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☞ Have identified configurations with  $N \geq M$  in our  $\mathbb{Z}_2 \times \mathbb{Z}_2$  model(s)



$\mathbb{Z}_4^R$  phenomenology

☞ Gauge invariant superpotential terms up to order 4

$$\begin{aligned}
 \mathcal{W} = & \mu H_d H_u + \kappa_i L_i H_u \\
 & + Y_e^{ij} L_i H_d \bar{E}_j + Y_d^{ij} Q_i H_d \bar{D}_j + Y_u^{ij} Q_i H_u \bar{U}_j \\
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$\mathbb{Z}_4^R$  phenomenology

☞ Gauge invariant superpotential terms up to order 4

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forbidden at the perturbative level

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appear at non-perturbative level

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also forbidden at  
non-perturbative level by  
non-anomalous  $\mathbb{Z}_2$  subgroup  
which is equivalent  
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non-perturbative generation of  $\mu$  solves the  $\mu$  problem

$\mathbb{Z}_4^R$  phenomenology

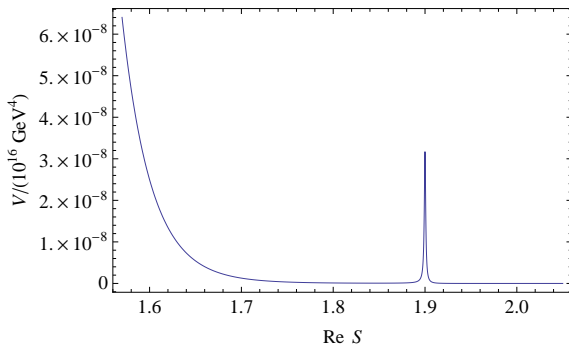
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non-perturbatively generated terms harmless

# Minimal realization of $\mathbb{Z}_4^R$

☞ MSSM + Kähler stabilized dilaton



- non-perturbative corrections to the Kähler potential lead to a bump in the potential of  $\text{Re } S$
- $\text{Im } S$  has a flat potential  $\leadsto$  GS axion remains light

# Minimal realization of $\mathbb{Z}_4^R$

☞ MSSM + Kähler stabilized dilaton

☞ Non-perturbative superpotential

$$\mathcal{W}_{\text{np}} \supset M_{\text{P}}^3 e^{-bS}$$

is  $\mathbb{Z}_4^R$  covariant (i.e. has  $R$  charge 2) as  $S \rightarrow S + \frac{i}{2} \Delta_{\text{GS}}$

☞ Comments:

- Of course  $\mathcal{W}_{\text{np}}$  is just the effective description of some hidden sector strong dynamics
- $\mathbb{Z}_4^R$  anomaly universality leads to non-trivial constraints on the ( $\beta$ -function) coefficient  $b$
- discrete shift of dilaton not uniquely fixed:

$$4\pi \Delta_{\text{GS}} \equiv \frac{1}{24} A_{\text{grav-grav-}\mathbb{Z}_4^R} = A_{G-G-\mathbb{Z}_4^R} \pmod{2}$$



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☞ Effective  $\mu$  term and  $QQQL$  coefficients

$$\mathcal{W}_{\text{np}} \supset A M_{\text{P}} e^{-bS} H_d H_u + M_{\text{P}}^{-1} e^{-bS} \bar{\kappa}_{ijkl}^{(1)} Q_i Q_j Q_k L_\ell + \dots$$

are also  $\mathbb{Z}_4^R$  covariant

# $\mathbb{Z}_4^R$ phenomenology

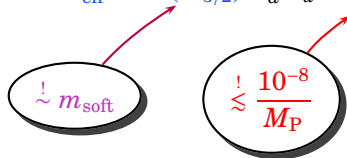
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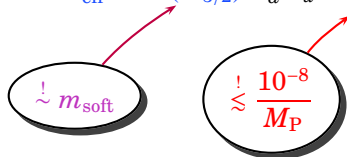


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➡ General singlet extension of the MSSM w/  $m_N \sim m_{3/2}$   
(no domain wall/tadpole problems)

**Summary**

**&**

**outlook**



# Summary

## ☞ Assumptions:

- (i) anomaly freedom (allow for GS anomaly cancellation)
- (ii)  $\mu$  term forbidden at perturbative level
- (iii) Yukawa couplings and Weinberg neutrino mass operator allowed
- (iv) SU(5) or SO(10) GUT relations for quarks and leptons

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## ☞ Have shown:

1. assuming (i) & SU(5) relations:
  - ↪ only  $R$  symmetries can forbid the  $\mu$  term
2. assuming (i)–(iii) & SO(10) relations:
  - ↪ unique  $\mathbb{Z}_4^R$  symmetry
3. assuming (i)–(iii) & SU(5) relations:
  - ↪ only five discrete symmetries possible
4.  $R$  symmetries are not available in 4D GUTs
  - ↪ no ‘natural’ solution to doublet–triplet splitting in 4D!

# Summary



A simple 'anomalous'  $\mathbb{Z}_4^R$  symmetry can

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universal anomaly coefficients  
 universal charges for matter  
 forbid  $\mu$  @ tree-level  
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}  $\leadsto$  unique  $\mathbb{Z}_4^R$

$\mathbb{Z}_4^R \leadsto$ 
 {
   
 dim. 4 proton decay operators completely forbidden
   
 dim. 5 proton decay operators highly suppressed
   
 $\mu$  appears non-perturbatively

# Summary

- ➡ Embedding into string theory allows us to understand where the  $\mathbb{Z}_4^R$  symmetry comes from: it may arise as a discrete remnant of [Lorentz symmetry in extra dimensions](#)

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  - exact MSSM spectrum
  - non-trivial Yukawa couplings
  - exact matter parity
  - $\mu \sim m_{3/2}$
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# Summary & outlook

- ☞ Embedding into string theory allows us to understand where the  $\mathbb{Z}_4^R$  symmetry comes from: it may arise as a discrete remnant of [Lorentz symmetry in extra dimensions](#)
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  - dimension five proton decay operators sufficiently suppressed
- ☞ (Unique)  $\mathbb{Z}_4^R$  will also be available in other constructions (F-theory, *D*-branes, ...)



**Vielen  
Dank!**

# Green–Schwarz anomaly cancellation

- ☞ Under ‘anomalous’  $U(1)$  symmetry the path integral measure exhibits non-trivial transformation

Fujikawa (1979)

$$\mathcal{D}\Psi \mathcal{D}\bar{\Psi} \rightarrow \mathbf{J}(\alpha) \mathcal{D}\Psi \mathcal{D}\bar{\Psi} \quad \text{with non-trivial } \mathbf{J}(\alpha)$$

# Green-Schwarz anomaly cancellation

- Under 'anomalous'  $U(1)$  symmetry the path integral measure exhibits non-trivial transformation Fujikawa (1979)
- One can absorb the change of the path integral measure in a change of Lagrangean

$$\Delta \mathcal{L}_{\text{anomaly}} = \frac{\alpha}{32\pi^2} F_{\text{anom}} \tilde{F}_{\text{anom}} A_{U(1)^3_{\text{anom}}} + \sum_G \frac{\alpha}{32\pi^2} F^a \tilde{F}^a A_{G-G-U(1)_{\text{anom}}} - \frac{\alpha}{384\pi^2} \mathcal{R} \tilde{\mathcal{R}} A_{\text{grav-grav-}U(1)_{\text{anom}}}$$

sum over all gauge factors

anomaly coefficients

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- Provided the Lagrangean also includes **axion** couplings

$$\mathcal{L} \supset -\frac{a}{8} F_{\text{anom}} \tilde{F}_{\text{anom}} - \frac{a}{8} F^a \tilde{F}^a + \frac{a}{4} \mathcal{R} \tilde{\mathcal{R}}$$

$\Delta \mathcal{L}_{\text{anomaly}}$  can be compensated by a shift of the **axion**  $a$  if the **anomaly coefficients** are **universal**

# Discrete GS anomaly cancellation

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☞ Specifically for a  $\mathbb{Z}_N$  transformation

$$\Phi^{(f)} \rightarrow e^{-i \frac{2\pi}{N} q^{(f)}} \Phi^{(f)}$$

the **dilaton** (containing the **axion**) has to transform as

$$\mathbf{S} \rightarrow \mathbf{S} + \frac{i}{2} \Delta_{\text{GS}}$$

where

$$\pi N \Delta_{\text{GS}} \equiv \frac{1}{24} A_{\text{grav-grav-}\mathbb{Z}_N} = A_{G-G-\mathbb{Z}_N} \pmod{\eta} \quad \forall G$$

$\mathbb{Z}_4^R$  literature

- ☞ Anomaly-free version of this  $\mathbb{Z}_4^R$  with extra matter has been discussed previously

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- no discussion of mixed hypercharge nor gravitational anomalies