

Discrete symmetries in GUTs and in the MSSM

Michael Ratz



Bonn, November 7, 2011

Based on:

- H.M. Lee, S. Raby, G. Ross, M.R., R. Schieren & P. Vaudrevange, Phys. Lett. **B** 694, 491-495 (2011) & Nucl. Phys. **B** 850, 1-30 (2011)
- R. Kappl, B. Petersen, S. Raby, M.R., R. Schieren & P. Vaudrevange, Nucl. Phys. **B** 847, 325-349 (2011)
- M. Fallbacher, M.R. & P. Vaudrevange, Phys. Lett. **B** 705, 503-506 (2011)

MSSM: good features and open questions

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 - 😢 dimension four and five proton decay operators
 - 😢 CP and flavor problems
- ➡ Supersymmetry alone seems not to be enough

Disclaimer & apologies

The topic

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- :($\mu/B\mu$ problem
- :(dimension four and five proton decay operators

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This ignores many interesting developments in model building:

- flavor symmetries:
 - discrete vs. continuous
 - VEV alignment
- CP violation
 - spontaneous? or geometric?
 - relation to baryon asymmetry?
 - ...

Proton decay operators

- ☞ Gauge invariant superpotential terms up to order 4 include

$$\begin{aligned}\mathcal{W} = & \mu H_d H_u + \kappa_i L_i H_u \\ & + Y_e^{ij} L_i H_d \bar{E}_j + Y_d^{ij} Q_i H_d \bar{D}_j + Y_u^{ij} Q_i H_u \bar{U}_j \\ & + \lambda_{ijk} L_i L_j \bar{E}_k + \lambda'_{ijk} L_i Q_j \bar{D}_k + \lambda''_{ijk} \bar{U}_i \bar{D}_j \bar{D}_k \\ & + \kappa_{ij}^{(0)} H_u L_i H_u L_j + \kappa_{ijkl}^{(1)} Q_i Q_j Q_k L_\ell + \kappa_{ijkl}^{(2)} \bar{U}_i \bar{U}_j \bar{D}_k \bar{E}_\ell\end{aligned}$$

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forbidden by matter parity

Farrar & Fayet (1978); Dimopoulos, Raby & Wilczek (1981)

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forbidden by proton hexality

Babu, Gogoladze & Wang (2002); Dreiner, Luhn & Thormeier (2006)

- ☞ Proton hexality = matter parity + baryon triality

Proton hexality

Ibáñez & Ross (1992); Babu, Gogoladze & Wang (2002); Dreiner, Luhn & Thormeier (2006)

☞ Proton hexality P_6 = matter parity $\mathbb{Z}_2^M \times$ baryon triality B_3

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- 😊 **unique anomaly-free** symmetry with the above features
... with the common notion of **anomaly freedom**

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☞ However:

- 😊 not consistent with unification for matter (i.e. inconsistent with universal discrete charges for all matter fields)

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- 😊 embedding into string theory not yet fully convincing

Outline

- ① Introduction & Motivation ✓
- ② Anomaly-free discrete symmetries & grand unification
- ③ String theory completion
- ④ Summary

Anomaly–free discrete symmetries and grand unification

- anomaly cancellation
- consistency with unification

Proton hexality

- ☞ Disturbing aspects of proton hexality
 - (?): not consistent with (grand) unification for matter

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need to be strongly suppressed

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Discrete anomaly cancellation

- Example: anomaly coefficients for \mathbb{Z}_N symmetries

Ibáñez & Ross (1990)

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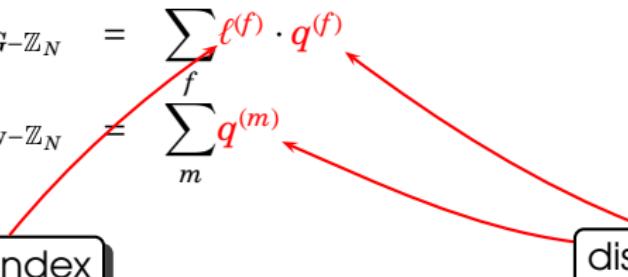
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Dynkin index discrete charges

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traditional anomaly constraints:

all A coefficients vanish ($\pmod{\eta}$)

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▶ details

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- ☞ **Note:** discrete GS anomaly cancellation at work in many explicit string models

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3. assuming (i)–(iii) & $SU(5)$ relations:
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4. R symmetries are not available in 4D GUTs

Claim 1: Non- R symmetries cannot forbid μ

H.M. Lee, S. Raby, M.R., G. Ross, R. Schieren, K. Schmidt-Hoberg, P. Vaudrevange (2011)

- ☞ Anomaly coefficients for non- R symmetry with $SU(5)$ relations for matter charges

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bottom-line:

non- R \mathbb{Z}_N symmetry cannot forbid μ term

Claim 2: $SO(10)$ implies unique symmetry

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superpotential has R charge 2

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- ➡ first conclusion:

$$q_{H_u} = q_{H_d} = 0 \pmod{N}$$

Claim 2: SO(10) implies unique symmetry (cont'd)

- ☞ Anomaly coefficients for Abelian discrete R symmetry

$$A_{\text{SU}(3)^2 - \mathbb{Z}_N^R} = 6(\textcolor{blue}{q} - 1) + 3 = 6\textcolor{blue}{q} - 3$$

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$$A_{\text{SU}(2)^2 - \mathbb{Z}_N^R} - A_{\text{SU}(3)^2 - \mathbb{Z}_N^R} = 0$$

$$\curvearrowright \textcolor{violet}{q}_{H_u} + \textcolor{violet}{q}_{H_d} = 4 \pmod{\begin{cases} 2N & \text{for } N \text{ odd} \\ N & \text{for } N \text{ even} \end{cases}}$$

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$N = 2$ or $N = 4$

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$$A_{\text{SU}(2)^2 - \mathbb{Z}_N^R} = \boxed{\text{however: there is no meaningful } \mathbb{Z}_2^R \text{ symmetry}}$$

cf. e.g. Dine & Kehayias (2009)

$$q_{H_u} + q_{H_d} \equiv 0 \pmod{N} \quad \text{for } N \text{ even}$$

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Unique \mathbb{Z}_4^R symmetry

☞ We know:

- it is a \mathbb{Z}_4^R symmetry
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$$A_{\text{U}(1)_Y^2 - \mathbb{Z}_N^R} = 6q + \frac{3}{5} \cdot \frac{1}{2} \cdot (q_{H_u} + q_{H_d} - 2)$$

e.g. $q_{H_u} = q_{H_d} = 16$

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gravitino contribution gaugino contributions

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$$\frac{1}{24} A_{\text{grav}^2 - \mathbb{Z}_N^R} = \frac{1}{24} [-21 + 8 + 3 + 1 + 48(q - 1) + 2(q_{H_u} + q_{H_d} - 2) - 1]$$

only defined $\pmod{4}$

axino contribution

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bottom-line:

- \mathbb{Z}_4^R is anomaly free via GS mechanism
- GS axino contribution important for gravitational anomaly

Claim 3: only 5 symmetries obey SU(5) relations

H.M. Lee, S. Raby, M.R., G. Ross, R. Schieren, K. Schmidt-Hoberg, P. Vaudrevange (2011)

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- ☞ There are only five viable charge assignments

N	$q_{\mathbf{10}}$	$q_{\bar{\mathbf{5}}}$	q_{H_u}	q_{H_d}	ρ	$A_0^R(\text{MSSM})$
4	1	1	0	0	1	1
6	5	3	4	0	0	1
8	1	5	0	4	1	3
12	5	9	4	0	3	1
24	5	9	16	12	9	7

Recall

gravitational anomaly

$$A_{G^2-\mathbb{Z}_N} = \sum_f \ell^{(f)} q^{(f)} \stackrel{!}{=} \rho \bmod \eta$$

$$A_{\text{grav}^2-\mathbb{Z}_N} = \sum_m q^{(m)} \stackrel{!}{=} \rho \bmod \eta$$

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- ☞ All \mathbb{Z}_N^R symmetries can be obtained from $\mathbb{Z}_N^{R'} \times SO(10)$ by spontaneous breaking
- ☞ N divides 24: hint at realization of \mathbb{Z}_N^R as discrete rotational symmetry in orbifolds

(The geometry of orbifolds with $N = 1$ SUSY is constrained that the order of discrete R symmetries also divides 24)

No-Go for R symmetries in 4D

M. Fallbacher, M.R., P. Vaudrevange (2011)

☞ Assumptions:

- (i) GUT model in four dimensions based on $G \supset \text{SU}(5)$

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☞ Will prove that it is impossible to get low-energy effective theory with both:

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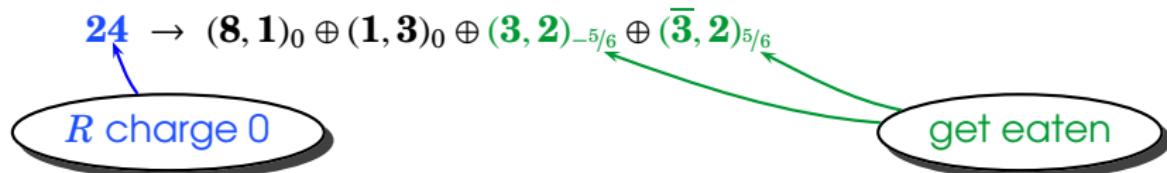
The basic argument

- ☞ Consider $SU(5)$ model with an (arbitrary) R symmetry and a **24-plet** breaking $SU(5) \rightarrow G_{\text{SM}}$

$$\mathbf{24} \rightarrow (\mathbf{8}, \mathbf{1})_0 \oplus (\mathbf{1}, \mathbf{3})_0 \oplus (\mathbf{3}, \mathbf{2})_{-5/6} \oplus (\overline{\mathbf{3}}, \mathbf{2})_{5/6}$$

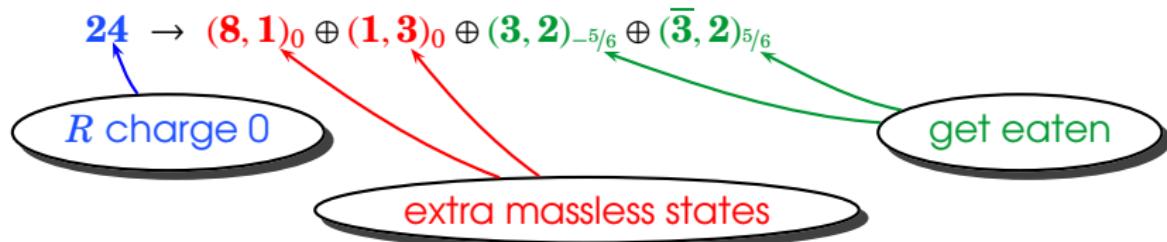
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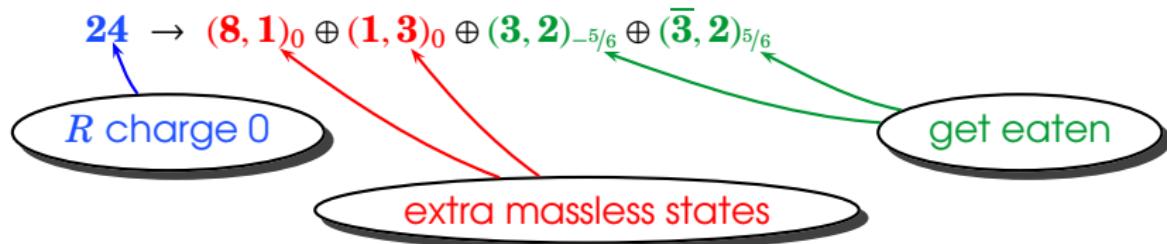
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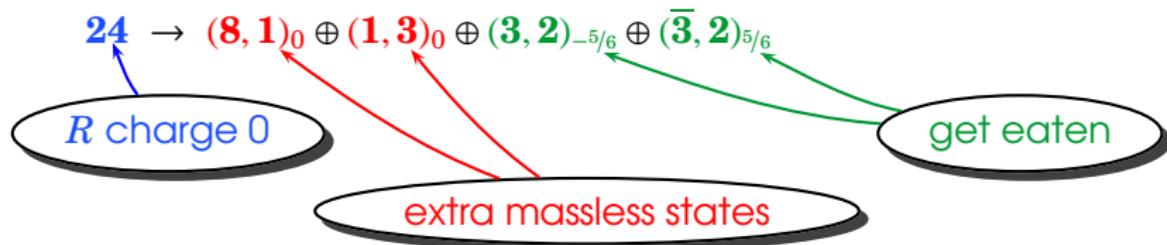
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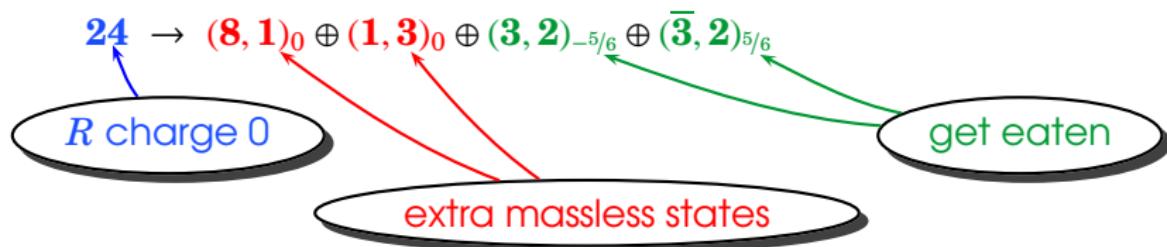
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- ☞ Loophole for **infinitely many** **24-plets**

Generalizing the basic argument & discussion

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bottom-line:

'Natural' solutions to the
 μ and/or doublet-triplet splitting problems
are not available in four dimensions!

Higher-dimensional GUTs

and

string realization

- evading the no-go theorem
- origin of \mathbb{Z}_4^R
- higher-dimensional operators (effective μ term etc.)

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- ☞ **Remainder of this talk:** explicit string-derived example

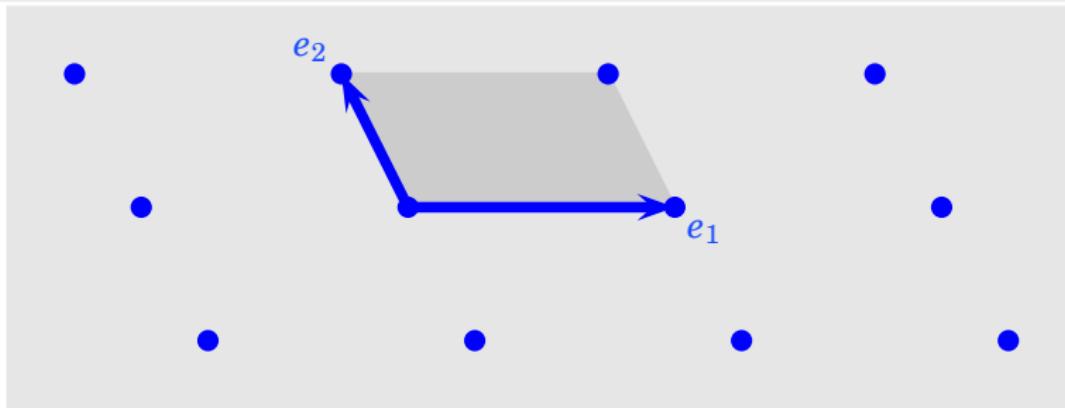
based on: M. Blaszczyk, S. Groot Nibbelink, M.R., F. Ruehle, M. Trapletti, P. Vaudrevange (2009)

R. Kappl, B. Petersen, S. Raby, M.R., R. Schieren & P. Vaudrevange (2011)

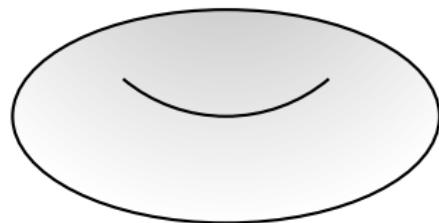
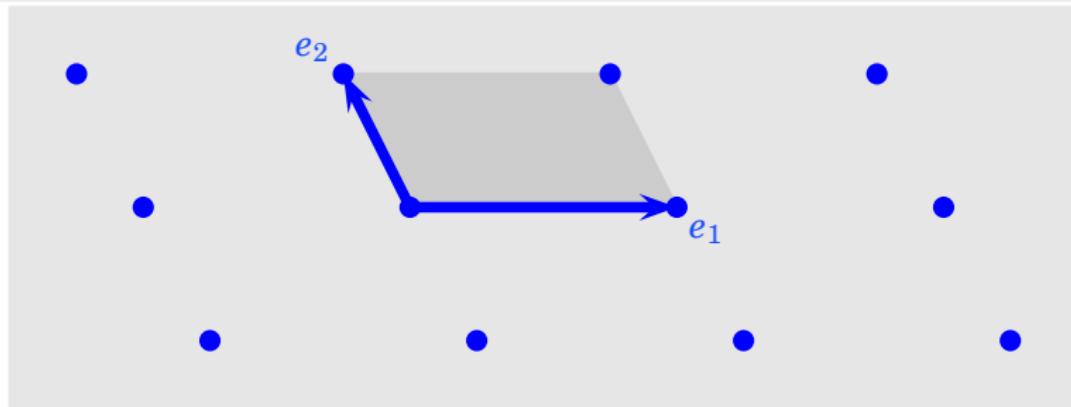
The \mathbb{Z}_2 orbifold plane

2D space with $SO(2)$ rotational symmetry

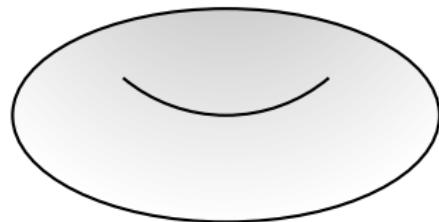
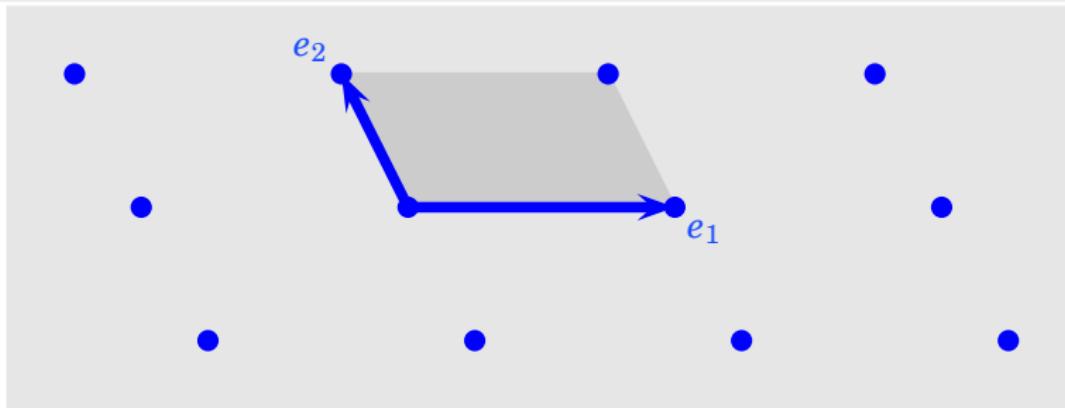
The \mathbb{Z}_2 orbifold plane



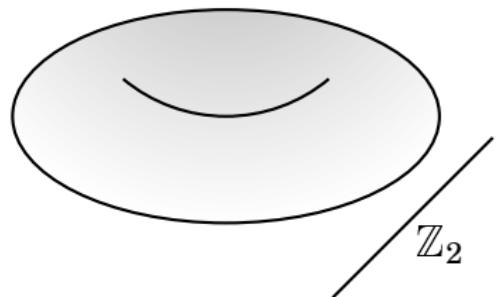
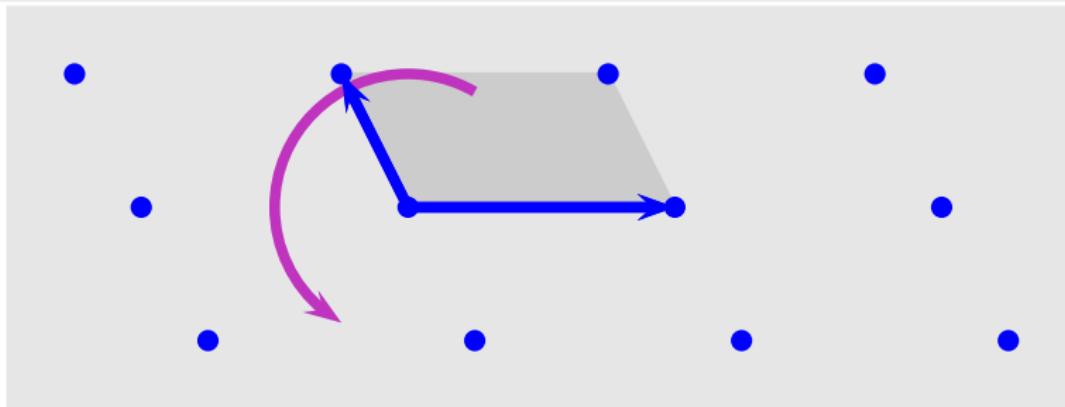
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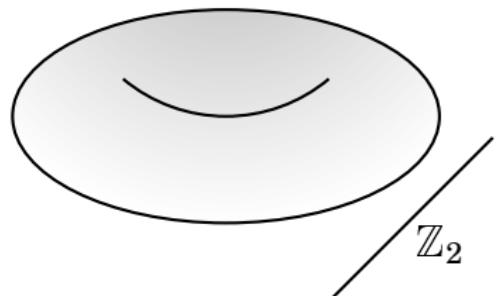
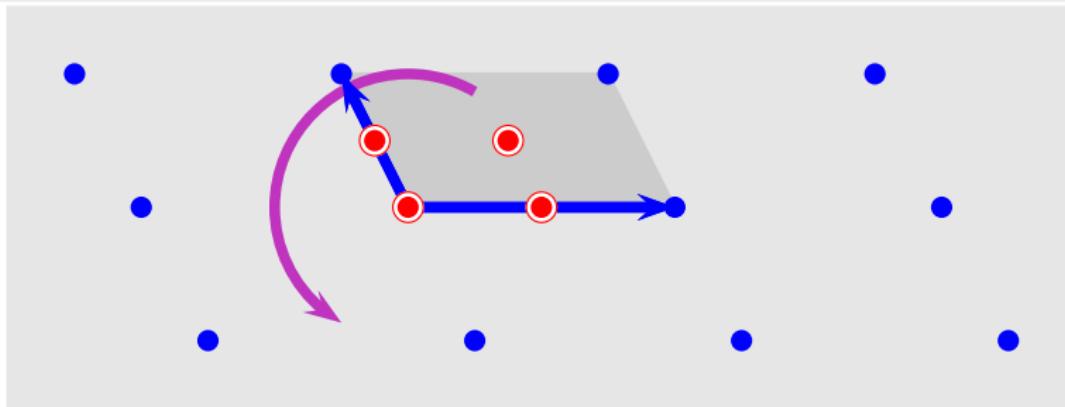
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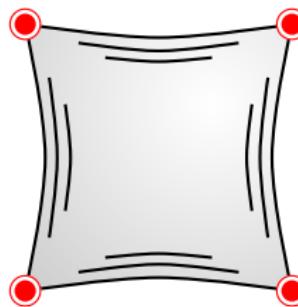
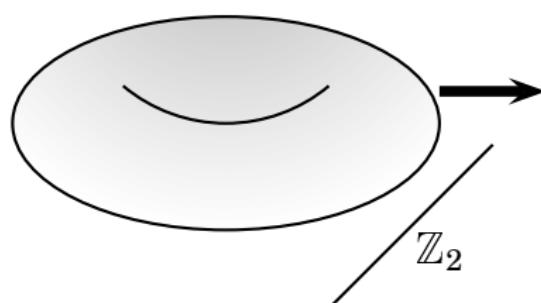
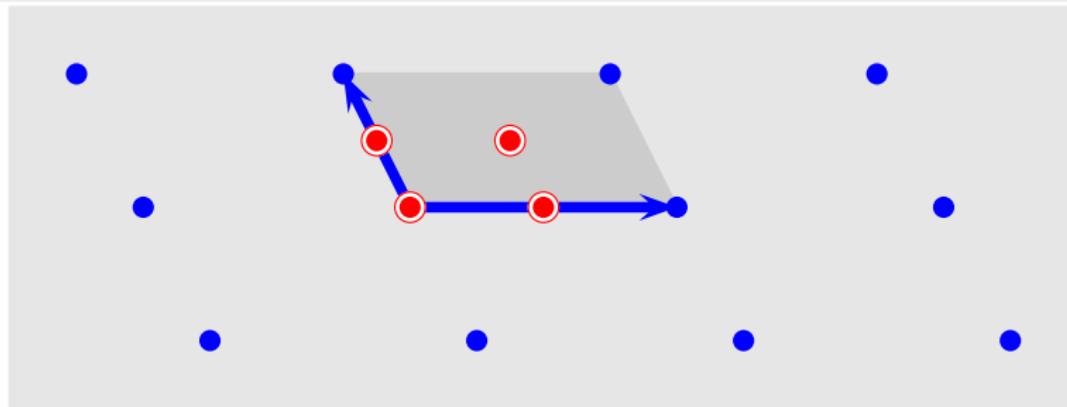
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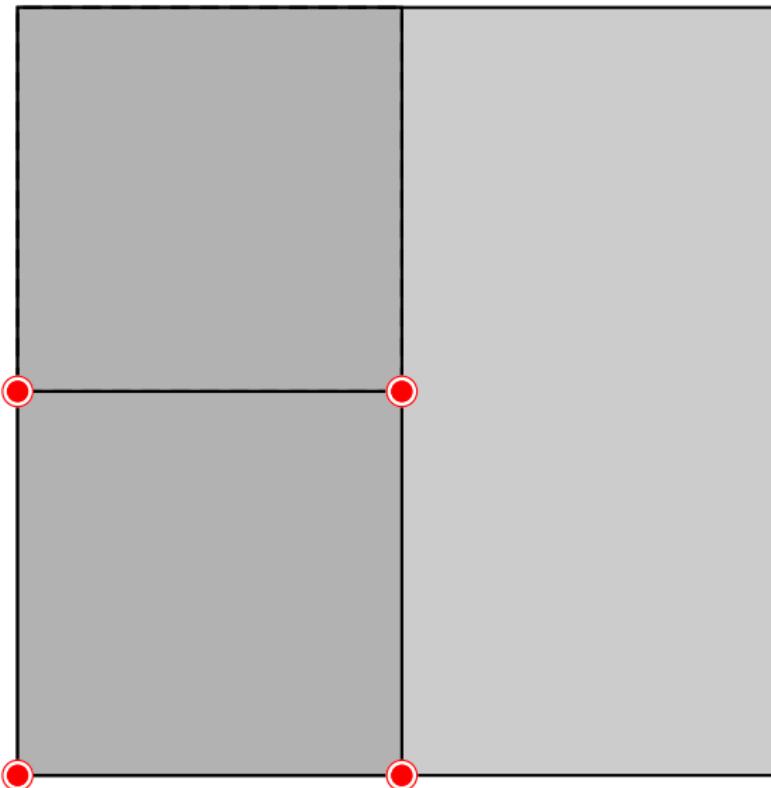
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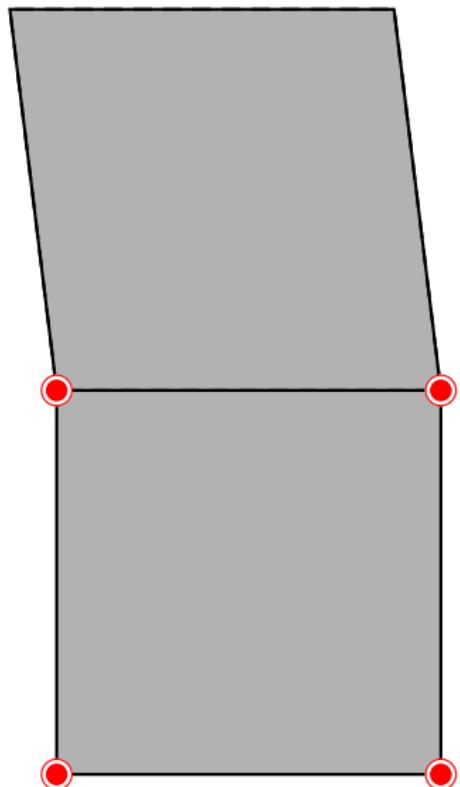
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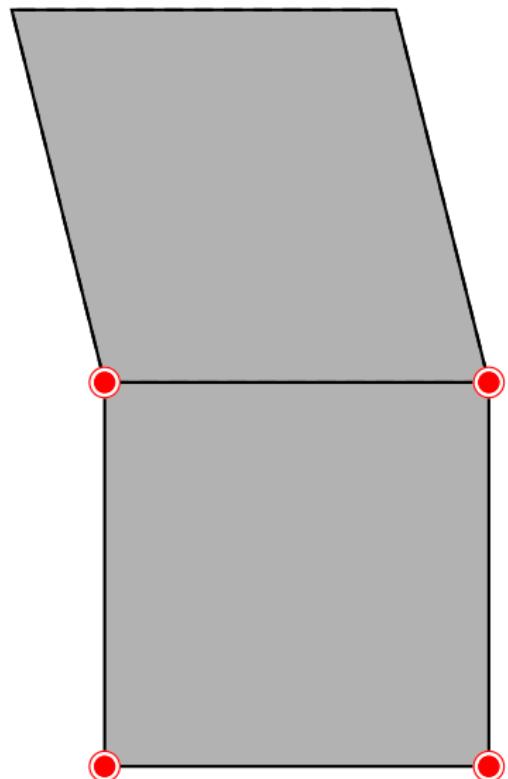
\mathbb{Z}_2 orbifold pillow



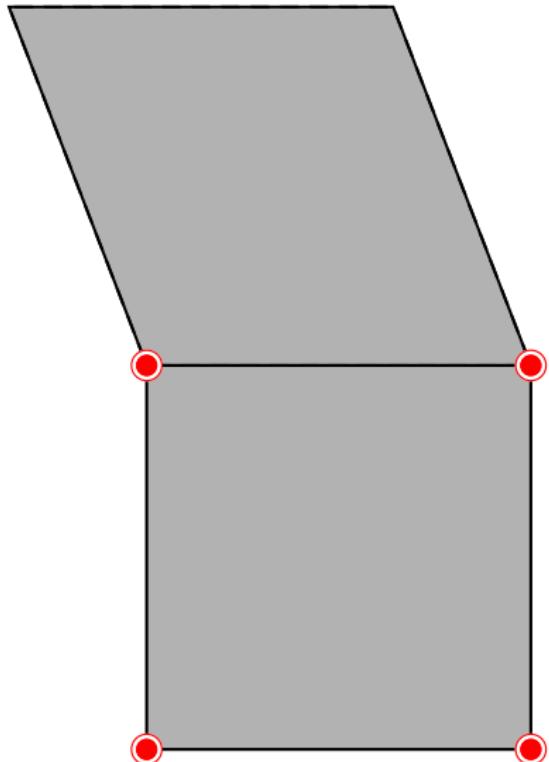
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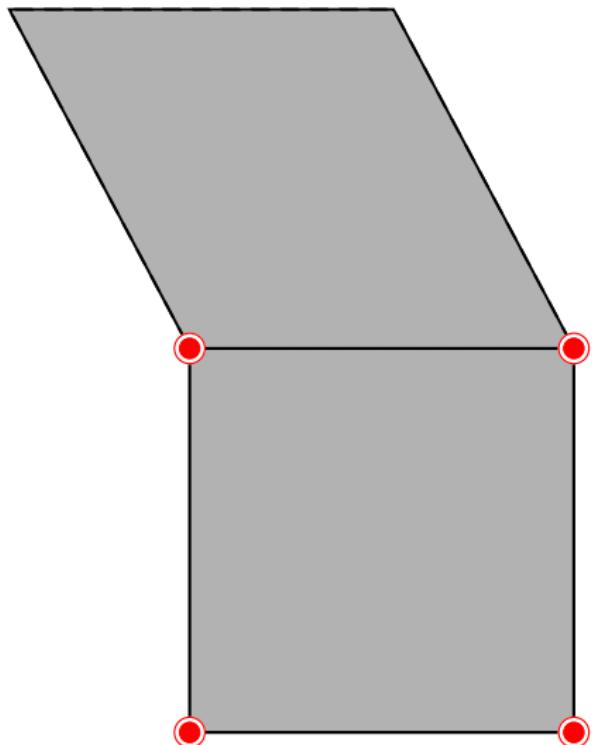
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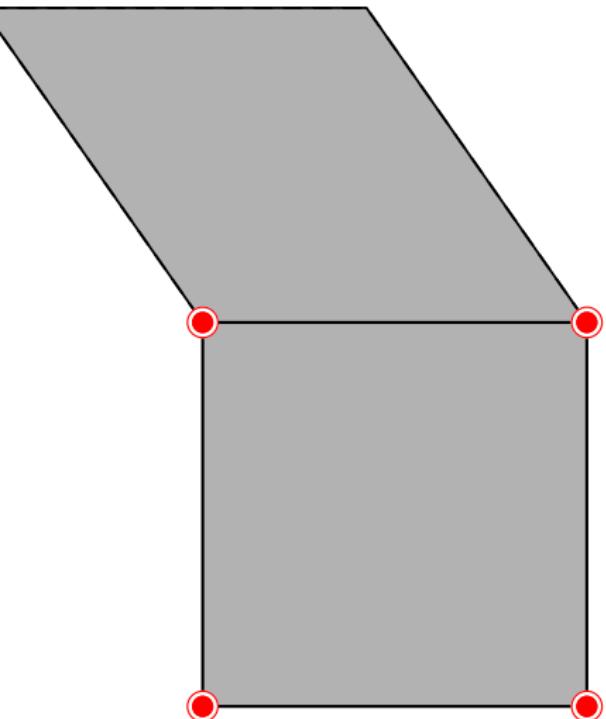
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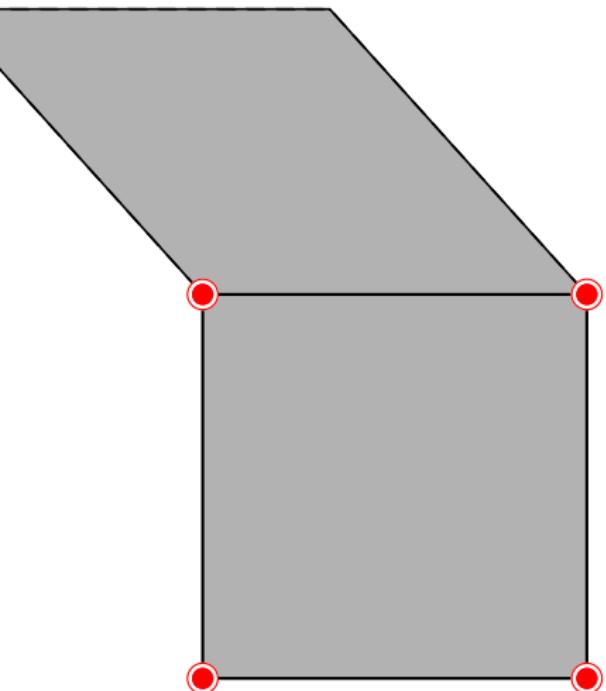
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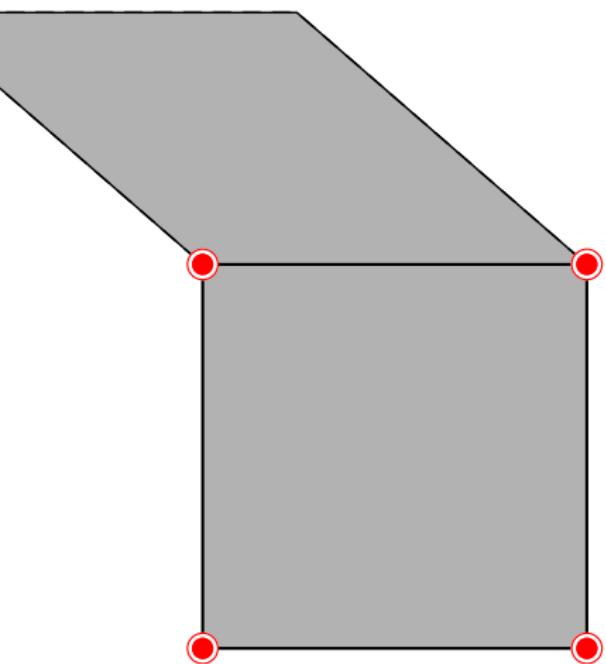
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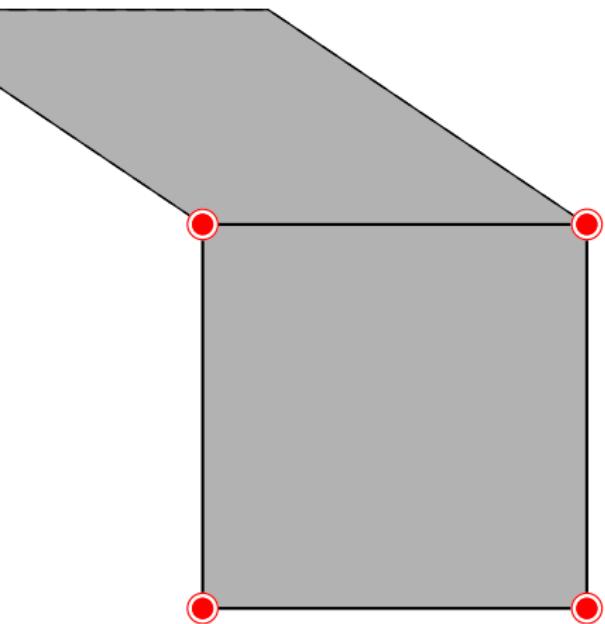
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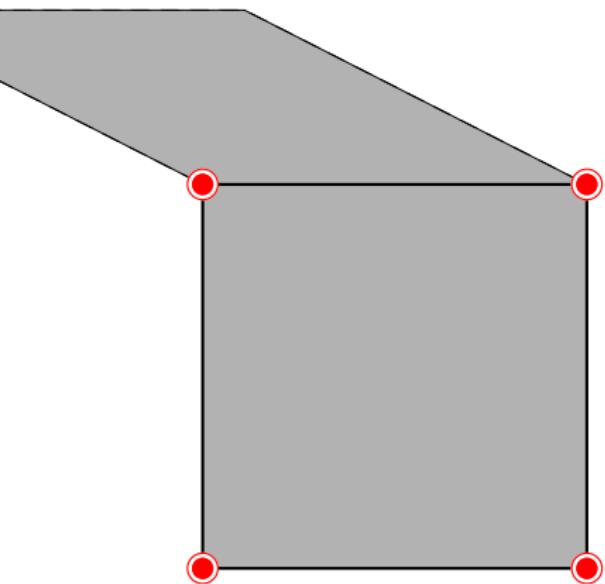
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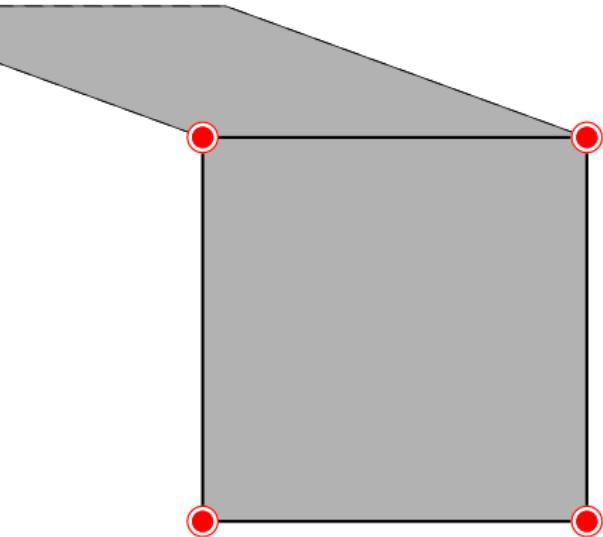
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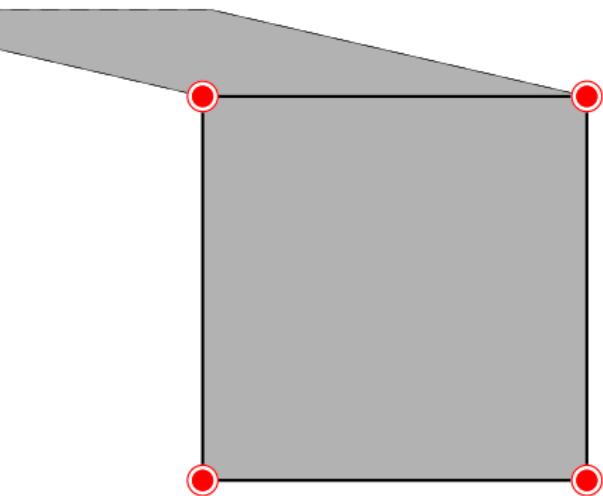
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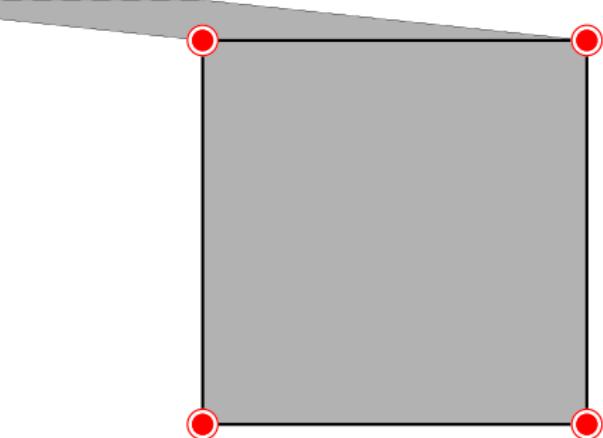
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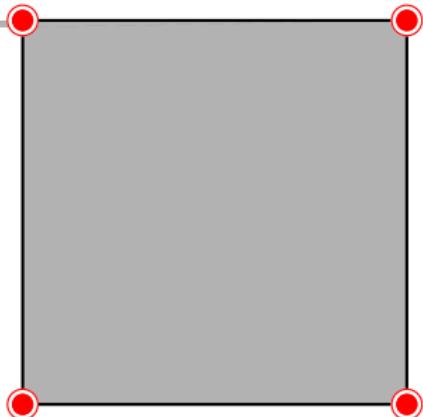
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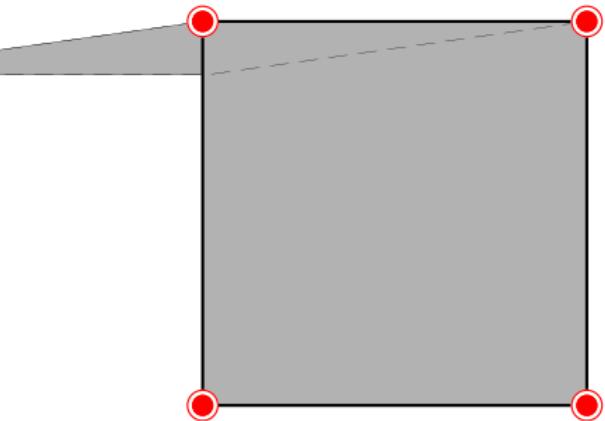
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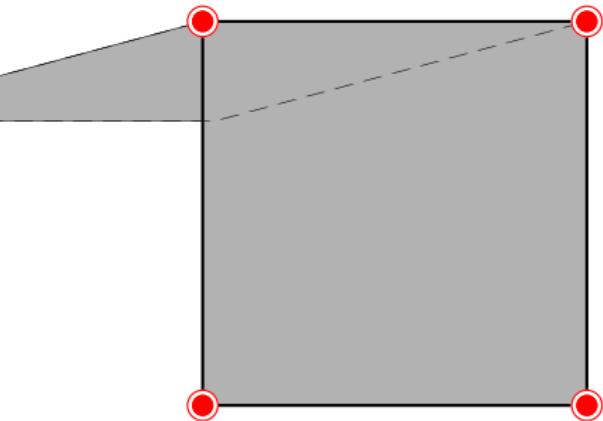
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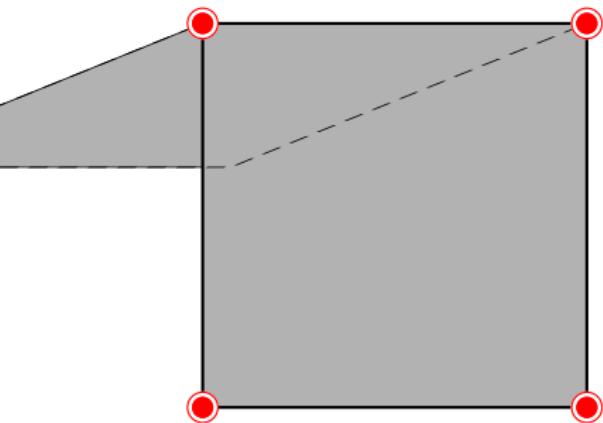
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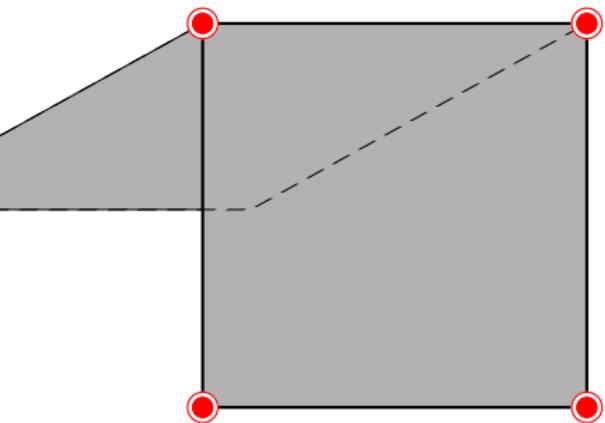
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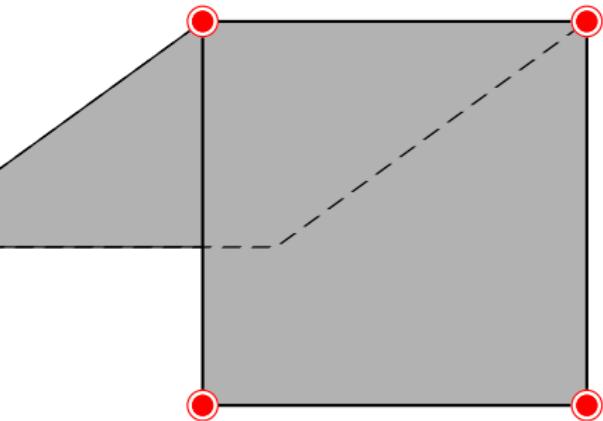
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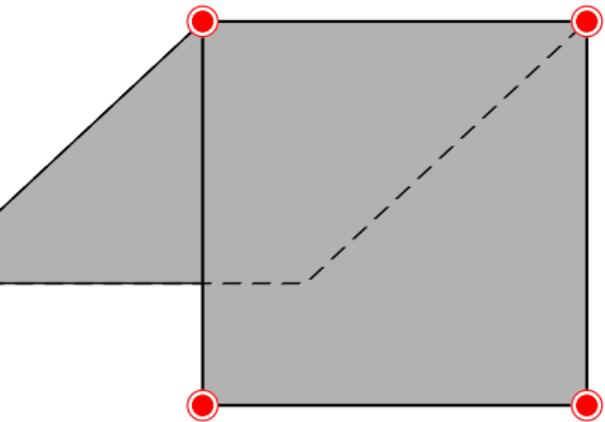
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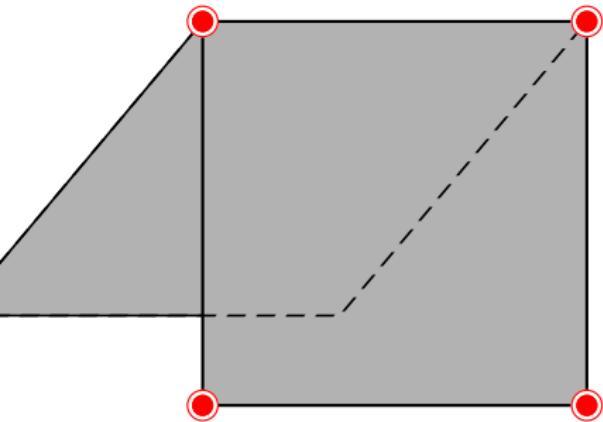
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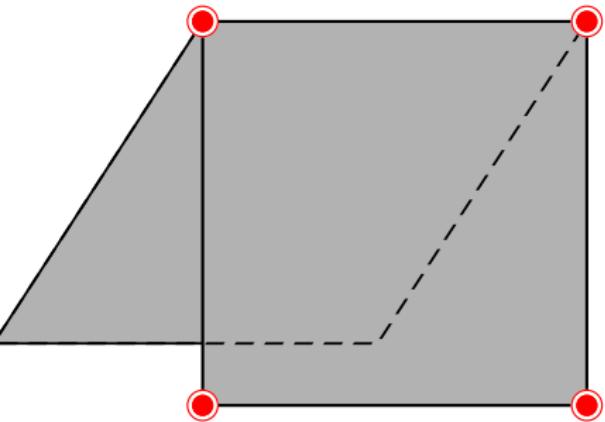
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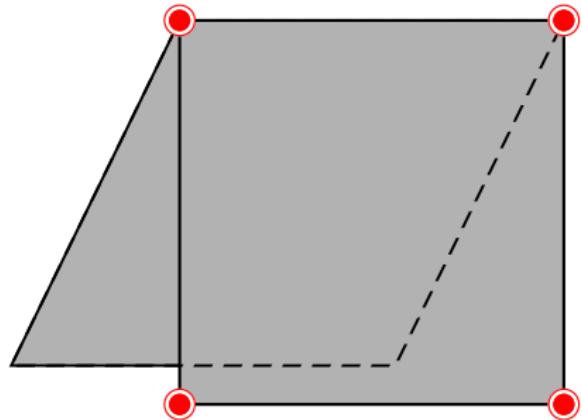
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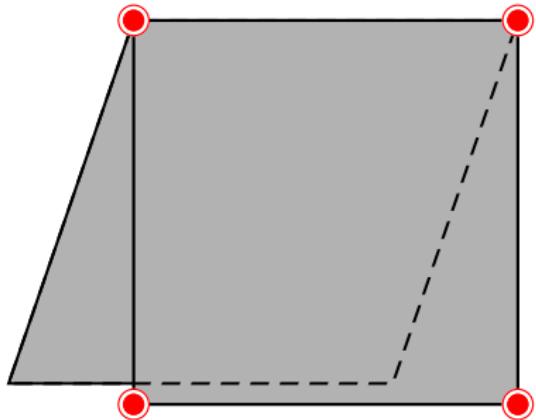
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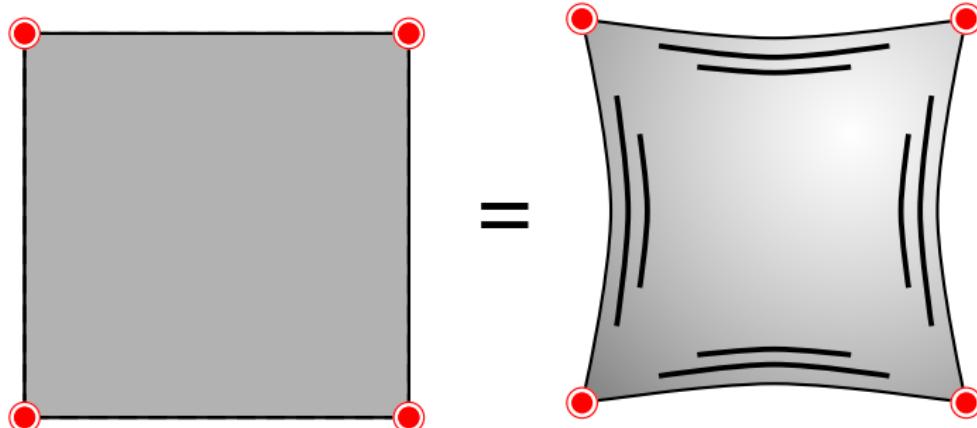
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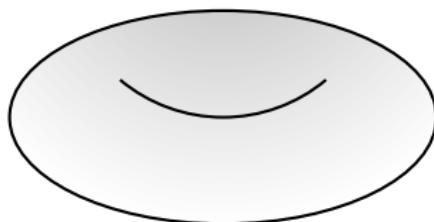


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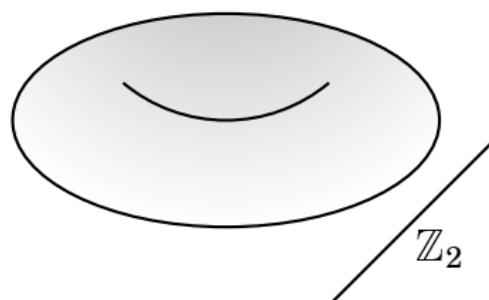
\mathbb{Z}_2 orbifold plane & R symmetries

- ☞ **Crucial:** \mathbb{Z}_4^R symmetry arises as a remnant of the Lorentz group in compact dimensions



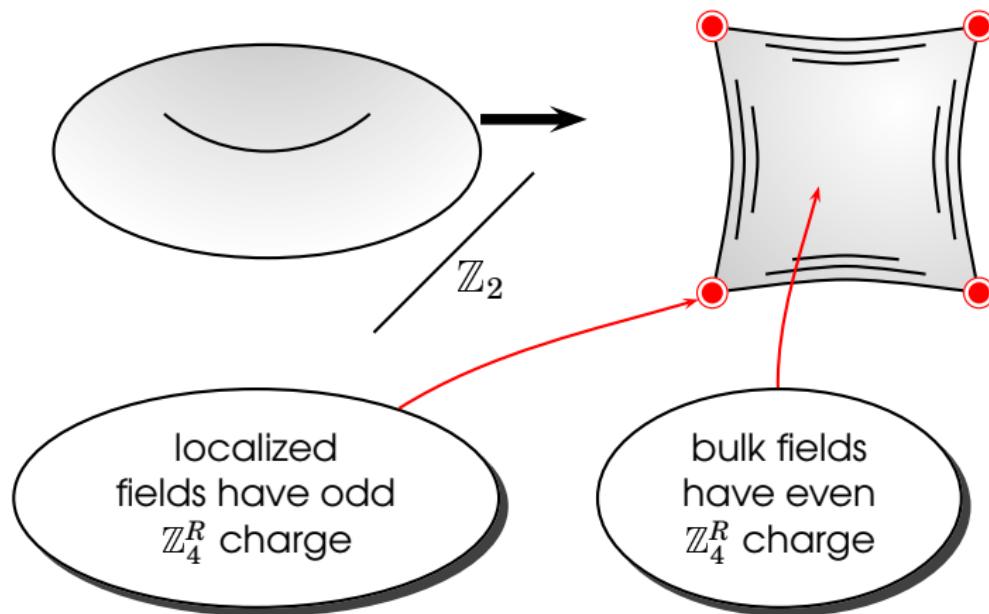
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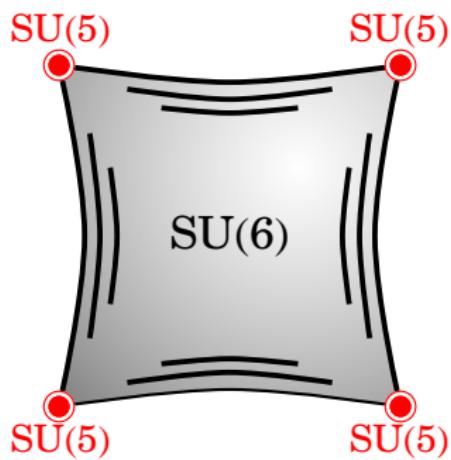
\mathbb{Z}_2 orbifold plane & R symmetries

- ☞ **Crucial:** \mathbb{Z}_4^R symmetry arises as a remnant of the Lorentz group in compact dimensions
- ➡ **Remainder of this talk:** discuss globally consistent string model with these features

more details on heterotic orbifolds will be provided in tomorrow's talk by [P. Vaudrevange](#)

$\mathbb{Z}_2 \times \mathbb{Z}_2$ orbifold example

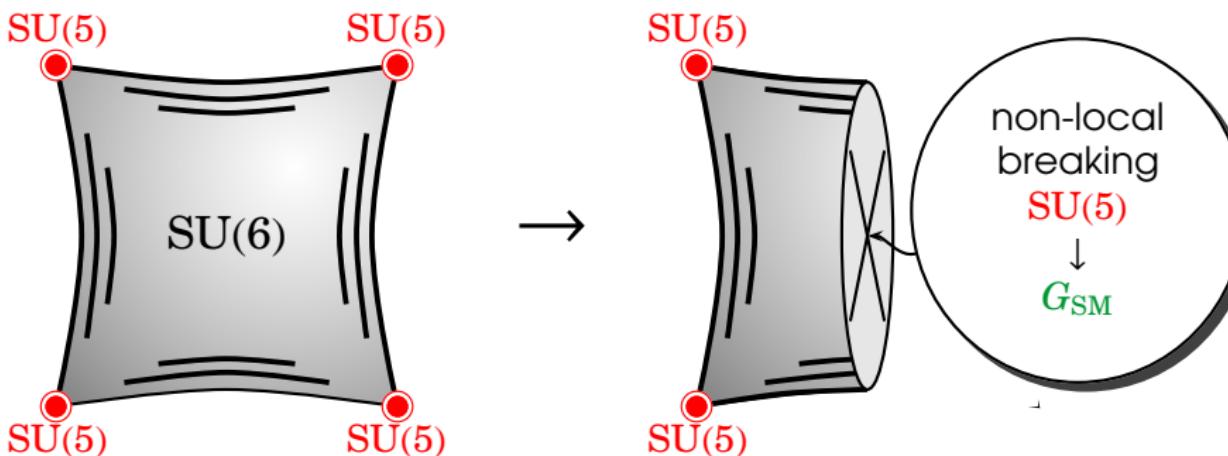
M. Blaszczyk, S. Groot Nibbelink, M.R., F. Ruehle, M. Trapletti, P. Vaudrevange (2009)



- ① step: 6 generation $\mathbb{Z}_2 \times \mathbb{Z}_2$ model with $SU(5)$ symmetry

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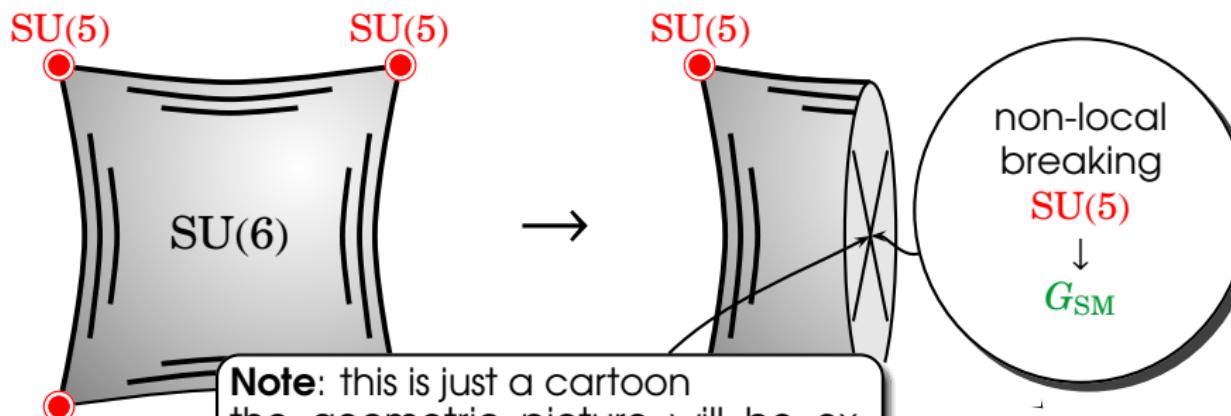
- 1 step: 6 generation $\mathbb{Z}_2 \times \mathbb{Z}_2$ model with $SU(5)$ symmetry
- 2 step: mod out a freely acting \mathbb{Z}_2 symmetry which:
 - breaks $SU(5) \rightarrow SU(3)_C \times SU(2)_L \times U(1)_Y$
 - reduces the number of generations to 3

analogous mechanism in CY MSSMs Bouchard & Donagi (2005)

Braun, He, Ovrut, Pantev (2005)

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① step: 6 ge

M. Fischer, M.R., P. Vaudrevange (to appear)

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Main features

① GUT symmetry breaking **non-local**

~ no 'logarithmic running above the GUT scale'

Hebecker, Trapletti (2004)

~ **precision gauge unification**

with **distinctive pattern of soft masses**

Raby, M.R., Schmidt-Hoberg (2009)

Main features

- ① GUT symmetry breaking **non-local**
- ② **No localized flux** in **hypercharge** direction
~ complete blow-up without breaking SM gauge symmetry in principle possible

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- ③ 4D gauge group:
 $SU(3)_C \times SU(2)_L \times U(1)_Y \times U(1)_{B-L} \times [SU(3) \times SU(2)^2 \times U(1)^7]$

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- ⑤ Various appealing features:
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 - non-trivial Yukawa couplings
 - gauge-top unification
 - SU(5) relation $y_\tau \simeq y_b$ (but also for light generations)

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- 😊 Various good features
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- ➡ Successful string embedding of \mathbb{Z}_4^R possible!

SUSY vacua with \mathbb{Z}_4^R

- ☞ Recall: situation for gauge theories with generic superpotential

e.g. [Luty & Taylor \(1995\)](#)

solutions of D -equations \cap solutions of F -equations = non-trivial

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SUSY vacua with \mathbb{Z}_4^R (cont'd)

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- ➡ expect solutions for $N \geq M$
- ☞ Have identified configurations with $N \geq M$ in our $\mathbb{Z}_2 \times \mathbb{Z}_2$ model(s)

\mathbb{Z}_4^R phenomenology

- ☞ Gauge invariant superpotential terms up to order 4

$$\begin{aligned}\mathcal{W} = & \mu H_d H_u + \kappa_i L_i H_u \\ & + Y_e^{ij} L_i H_d \bar{E}_j + Y_d^{ij} Q_i H_d \bar{D}_j + Y_u^{ij} Q_i H_u \bar{U}_j \\ & + \lambda_{ijk} L_i L_j \bar{E}_k + \lambda'_{ijk} L_i Q_j \bar{D}_k + \lambda''_{ijk} \bar{U}_i \bar{D}_j \bar{D}_k \\ & + \kappa_{ij}^{(0)} H_u L_i H_u L_j + \kappa_{ijkl}^{(1)} Q_i Q_j Q_k L_\ell + \kappa_{ijkl}^{(2)} \bar{U}_i \bar{U}_j \bar{D}_k \bar{E}_\ell + \dots\end{aligned}$$

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forbidden at the perturbative level

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 \end{aligned}$$

appear at non-perturbative level

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 \end{aligned}$$

also forbidden at
non-perturbative level by
non-anomalous \mathbb{Z}_2 subgroup
which is equivalent
to matter parity

\mathbb{Z}_4^R phenomenology

- ☞ Gauge invariant superpotential terms up to order 4

$$\begin{aligned}
 \mathcal{W} = & \cancel{\mu H_d H_u + \kappa_i L_i H_u} \\
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non-perturbative generation of μ solves the μ problem

\mathbb{Z}_4^R phenomenology

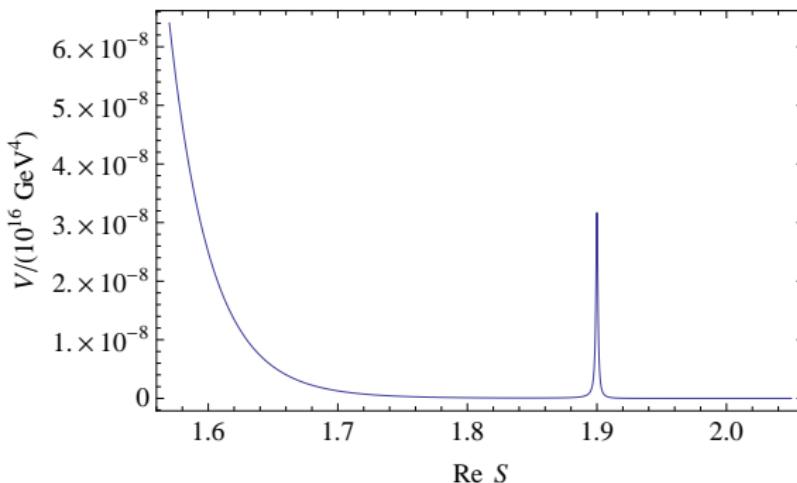
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 \end{aligned}$$

non-perturbatively generated terms harmless

Minimal realization of \mathbb{Z}_4^R

☞ MSSM + Kähler stabilized dilaton



- non-perturbative corrections to the Kähler potential lead to a bump in the potential of $\text{Re } \mathcal{S}$
- $\text{Im } \mathcal{S}$ has a flat potential \sim GS axion remains light

Minimal realization of \mathbb{Z}_4^R

- ☞ MSSM + Kähler stabilized dilaton
- ☞ Non-perturbative superpotential

$$\mathcal{W}_{\text{np}} \supset M_P^3 e^{-b S}$$

is \mathbb{Z}_4^R covariant (i.e. has R charge 2) as $S \rightarrow S + \frac{i}{2} \Delta_{\text{GS}}$

- ☞ Comments:

- Of course \mathcal{W}_{np} is just the effective description of some hidden sector strong dynamics
- \mathbb{Z}_4^R anomaly universality leads to non-trivial constraints on the (β -function) coefficient b
- discrete shift of dilaton not uniquely fixed:

$$4\pi \Delta_{\text{GS}} \equiv \frac{1}{24} A_{\text{grav-grav-}\mathbb{Z}_4^R} = A_{G-G-\mathbb{Z}_4^R} \bmod 2$$

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$$\mathcal{W}_{\text{np}} \supset A M_P e^{-b S} H_d H_u + M_P^{-1} e^{-b S} \bar{\kappa}_{ijkl}^{(1)} Q_i Q_j Q_k L_\ell + \dots$$

are also \mathbb{Z}_4^R covariant

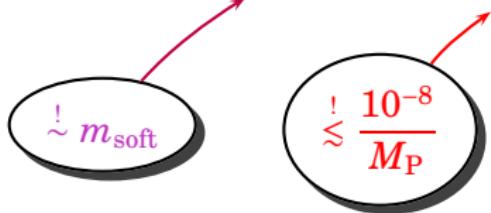
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! $\sim m_{\text{soft}}$

! $\lesssim \frac{10^{-8}}{M_P}$

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- ➡ General singlet extension of the MSSM w/ $m_{\mathcal{N}} \sim m_{3/2}$ (no domain wall/tadpole problems)

Summary

&

outlook

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- ☞ Assumptions:
 - (i) anomaly freedom (allow for GS anomaly cancellation)
 - (ii) μ term forbidden at perturbative level
 - (iii) Yukawa couplings and Weinberg neutrino mass operator allowed
 - (iv) SU(5) or SO(10) GUT relations for quarks and leptons

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- ☞ Have shown:
 1. assuming (i) & $SU(5)$ relations:
 \sim only R symmetries can forbid the μ term
 2. assuming (i)–(iii) & $SO(10)$ relations:
 \sim unique \mathbb{Z}_4^R symmetry
 3. assuming (i)–(iii) & $SU(5)$ relations:
 \sim only five discrete symmetries possible
 4. R symmetries are not available in 4D GUTs
 \sim no ‘natural’ solution to doublet–triplet splitting in 4D!

Summary

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$\mathbb{Z}_4^R \leadsto \left\{ \begin{array}{l} \text{dim. 4 proton decay operators completely forbidden} \\ \text{dim. 5 proton decay operators highly suppressed} \\ \mu \text{ appears non-perturbatively} \end{array} \right.$

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- ☞ Guided by the (unique) \mathbb{Z}_4^R symmetry we have constructed a globally consistent string model with:
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Summary & outlook

- ☞ Embedding into string theory allows us to understand where the \mathbb{Z}_4^R symmetry comes from: it may arise as a discrete remnant of [Lorentz symmetry in extra dimensions](#)
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- ☞ (Unique) \mathbb{Z}_4^R will also be available in other constructions (F-theory, D -branes, ...)

**Vielen
Dank!**

Green-Schwarz anomaly cancellation

- ☞ Under ‘anomalous’ U(1) symmetry the path integral measure exhibits non-trivial transformation

Fujikawa (1979)

$$\mathcal{D}\Psi \mathcal{D}\bar{\Psi} \rightarrow \mathcal{J}(\alpha) \mathcal{D}\Psi \mathcal{D}\bar{\Psi} \quad \text{with non-trivial } \mathcal{J}(\alpha)$$

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- Under 'anomalous' U(1) symmetry the path integral measure exhibits non-trivial transformation
- One can absorb the change of the path integral measure in a change of Lagrangean

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$$\Delta \mathcal{L}_{\text{anomaly}} = \frac{\alpha}{32\pi^2} F_{\text{anom}} \tilde{F}_{\text{anom}} A_{U(1)_{\text{anom}}^3} + \sum_G \frac{\alpha}{32\pi^2} F^a \tilde{F}^a A_{G-G-U(1)_{\text{anom}}} - \frac{\alpha}{384\pi^2} \mathcal{R} \tilde{\mathcal{R}} A_{\text{grav-grav-U(1)_{anom}}}$$

sum over all gauge factors

anomaly coefficients

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- Provided the Lagrangean also includes **axion** couplings

$$\mathcal{L} \supset -\frac{a}{8} F_{\text{anom}} \tilde{F}_{\text{anom}} - \frac{a}{8} F^a \tilde{F}^a + \frac{a}{4} \mathcal{R} \tilde{\mathcal{R}}$$

$\Delta \mathcal{L}_{\text{anomaly}}$ can be compensated by a shift of the **axion** a if the **anomaly coefficients** are **universal**

Green & Schwarz (1984)

Discrete GS anomaly cancellation

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- ☞ Specifically for a \mathbb{Z}_N transformation

$$\Phi^{(f)} \rightarrow e^{-i \frac{2\pi}{N} q^{(f)}} \Phi^{(f)}$$

the **dilaton** (containing the **axion**) has to transform as

$$S \rightarrow S + \frac{i}{2} \Delta_{\text{GS}}$$

where

$$\pi N \Delta_{\text{GS}} \equiv \frac{1}{24} A_{\text{grav-grav-}\mathbb{Z}_N} = A_{G-G-\mathbb{Z}_N} \bmod \eta \quad \forall G$$

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- no discussion of mixed hypercharge nor gravitational anomalies