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Realistic Grand Unification and Nucleon Decay

[$SO(10)$ GUT]

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LHC, Dark Matter and Unification

Outline

- Intro: Shortcomings, Problems & Puzzles of SM / MSSM
→ New Physics
- Motivations for GUT
- Some shortcomings & problems
--status of min. SUSY SU(5) [p-decay]
- Realistic SO(10) Model with Interesting Predictions :
GUT breaking, -TD splitting –Proton lifetime
Calculable thresholds
- Upper bounds on proton lifetimes [in d=5, d=6 modes]
- Realistic Fermion Sector
- Summary

Shortcomings of SM:

SM Interactions : $SU(3)_C \times SU(2)_L \times U(1)_Y \rightarrow (8 \text{ gluons}) + (3 + 1 \text{ EW Bosons})$

$$\begin{pmatrix} \mathbf{u} \\ \mathbf{d} \end{pmatrix} = \mathbf{q}(\mathbf{3}, \mathbf{2}, \mathbf{-1/3}) \quad \mathbf{u}^c(\mathbf{\bar{3}}, \mathbf{1}, \mathbf{4/3}) \quad \mathbf{d}^c(\mathbf{\bar{3}}, \mathbf{1}, \mathbf{-2/3})$$

$$\begin{pmatrix} \mathbf{\nu} \\ \mathbf{e} \end{pmatrix} = \mathbf{l}(\mathbf{1}, \mathbf{\bar{2}}, \mathbf{1}) \quad \mathbf{e}^c(\mathbf{1}, \mathbf{1}, \mathbf{-2})$$

Fractional $U(1)_Y$ charges - Charge quantization ?

$$\text{Breaking: } SU(3)_C \times SU(2)_L \times U(1)_Y \xrightarrow{\langle \mathbf{H} \rangle} SU(3)_C \times U(1)_{\text{em}}$$

Higgs $H(1, 2, 1)$ is required (undiscovered yet)

Why/how it is light? –Gauge Hierarchy Problem

Evidences for New Physics:

Atmospheric & Solar Neutrino Data

$$\Delta m_{\text{atm}}^2 \simeq 2.5 \cdot 10^{-3} \text{ eV}^2$$

$$\Delta m_{\text{sol}}^2 \simeq 7.6 \cdot 10^{-5} \text{ eV}^2$$

$$\sin^2 \theta_{23} = 0.52$$

$$\sin^2 \theta_{12} = 0.312$$

Third mixing angle: $\theta_{13} \lesssim 0.2$

Unknown phase: δ_{lept}

are of great importance for leptonic CP viol.

- **Origin of these scales and mixings?**

Unexplained in SM/MSSM $\leftarrow m_\nu \lesssim 10^{-4} \text{ eV}$ **Without New Physics**

- Charged fermion masses & mixings

Observed Noticeable Hierarchies:

$$\lambda_t \sim 1, \quad \lambda_u : \lambda_c : \lambda_t \sim \lambda^8 : \lambda^4 : 1$$

$$\lambda_b \sim \lambda_\tau \sim \frac{m_b}{m_t} \tan \beta, \quad \lambda_d : \lambda_s : \lambda_b \sim \lambda^4 : \lambda^2$$

With $\lambda=0.2$

$$\lambda_e : \lambda_\mu : \lambda_\tau \sim \lambda^5 : \lambda^2 : 1$$

$$V_{us} \approx \lambda, \quad V_{cb} \approx \lambda^2, \quad V_{ub} = \lambda^4 - \lambda^3$$

What is origin of these hierarchies?

Is there any relation or sum rule?

Why three families?

Within SM no answer to these questions...

More problems/puzzle of SM:

-- SM has no candidate for a Dark Matter:
[what is $\sim 85\%$ of total matter?]

-- Baryon asymmetry $\Delta B \sim 10^{-10}$

How this asymmetry is generated?

[EW baryogenesis does not give enough assym.]

Additional strong evidences of physics beyond SM

***Reasonable extension solving these problems of SM
should be found***

[perhaps explain also inflation, dark energy...]

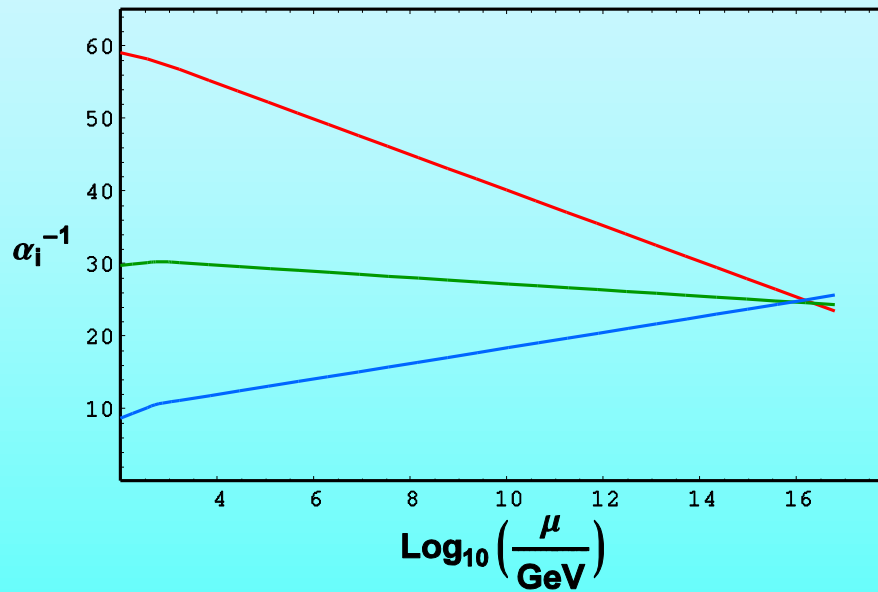
SUSY solves some (not all) problems/puzzles

- **Low scale ($\sim$ TeV) SUSY**
 - **Solution of Hierarchy Problem**

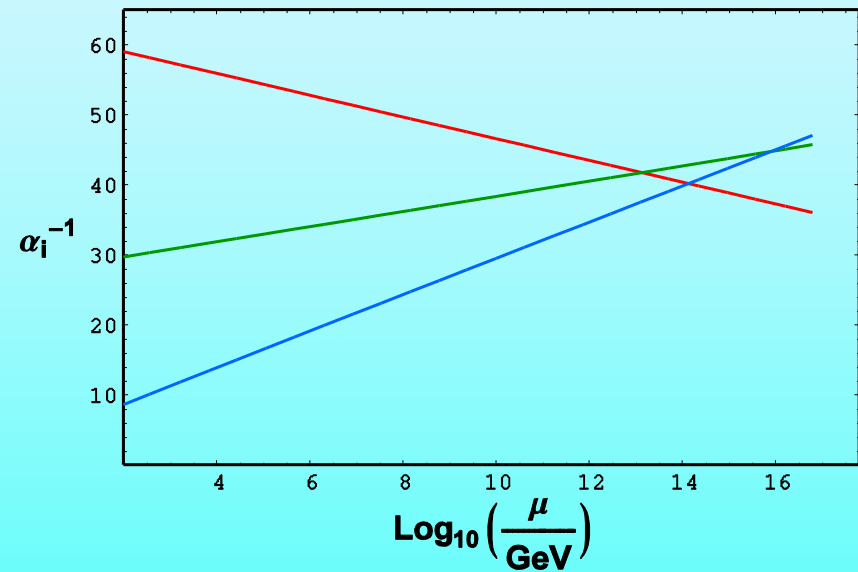
- **In MSSM (R-parity) LSP Neutralino** $(\tilde{H}_1^0, \tilde{H}_2^0, \tilde{B}, \tilde{W}^0) \rightarrow$
 - **Dark Matter candidate!**

- **In MSSM → Good coupling unification**
 - **GUT is revamped!**

SUSY Unification vs. non-SUSY



MSSM



SM

SUSY Grand Unification

offers more solutions to some problems/puzzles

- **Matter Unification**

In $SU(5)$: $(q, u^c, e^c) = 10$, $(d^c, l) = \bar{5}$

In $SO(10)$: $(q, u^c, e^c, d^c, l, \nu_R) = 16$

→ Charge Quantization - $\frac{Y(q)}{Y(u^c)} = -\frac{1}{4}$, $\frac{Y(q)}{Y(e^c)} = \frac{1}{6}$, ...

And interesting asymptotic relations [in $SO(10)$]:

$$\lambda_t = \lambda_b = \lambda_\tau \text{ , } m_{\nu D} = m_t \dots$$

Neutrino masses via see-saw

$$SO(10) \rightarrow \mathbf{V}_R$$

→ Oscillations

→ Leptogenesis

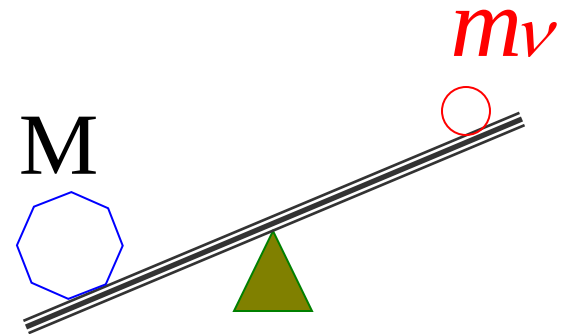
$$\mathbf{V}^c \equiv N \square (1, 1, 0)$$

SM singlet

$$l N \langle H \rangle \quad M N N \quad \rightarrow \Delta L = 2 \quad \text{Lepton number viol.}$$

$$\begin{pmatrix} 0 & \langle H \rangle \\ \langle H \rangle & M \end{pmatrix}$$

$$m_\nu \sim \frac{\langle H \rangle^2}{M}$$



$$M_N \simeq M$$

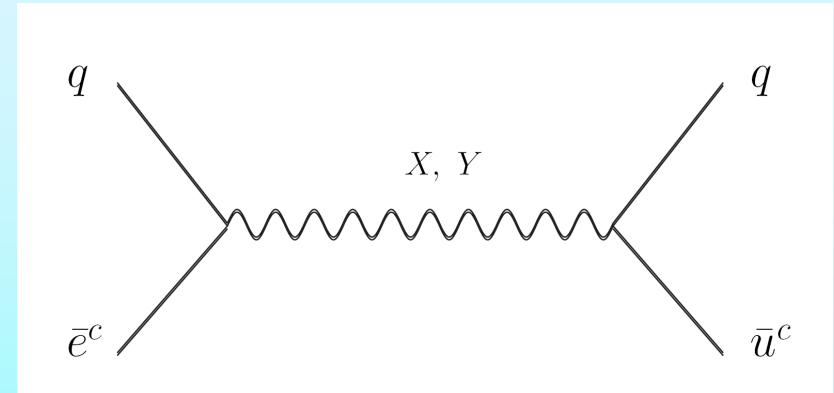
$$M \sim 10^{14} \text{ GeV} \rightarrow m_\nu \sim \text{few} \cdot 0.01 \text{ eV}$$

GUT Main Prediction:

Baryon Number Violation: $\Delta B \neq 0 \rightarrow$ Proton Decay

- Gauge Mediated d=6 Decay**

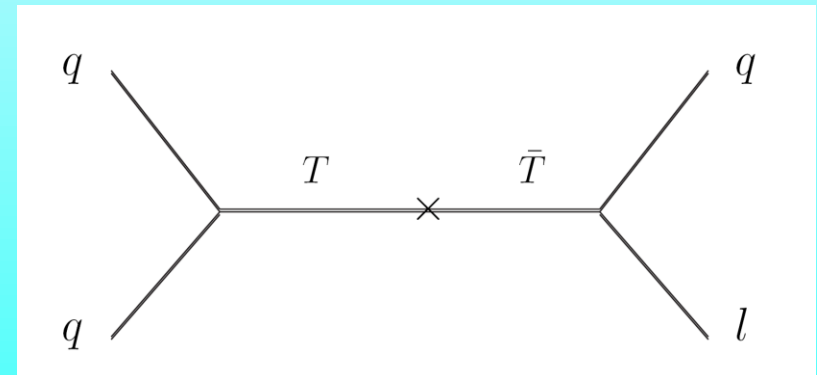
$X, Y \subset \text{GUT/SM}$



- In SUSY: new d=5 Decays**

$T, \bar{T} \subset \text{"Unified Higgses"} :$

$H(5)=(h_u, T), \bar{H}(\bar{5})=(h_d, \bar{T})$



Too Fast in SU(5)

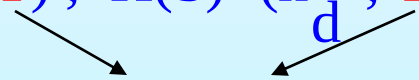
SUSY GUT $\rightarrow$

- Charge Quantization, Unification of multiplets $\subset 16$ of $SO(10)$
- Neutrino Masses (via V_R of $SO(10)$); L-violation
- Successful Coupling Unification
- Stab. Hierarchy (Light Higgs) $\leftarrow$ low SUSY scale
- Dark Matter Candidate (LSP)
- Baryogenesis via Leptogenesis
- Prediction: B-violation $\rightarrow$ proton decay

SUSY GUT Problem: Doublet-Triplet (DT) Splitting

SUSY SU(5) example

`Scalar' sector: $\Sigma(24)$, $H(5)=(h_u, \textcolor{red}{T})$, $\bar{H}(\bar{5})=(h_d, \bar{\textcolor{red}{T}})$



Colored triplets

$$W_{sc} = W(\Sigma) + W(\Sigma, H)$$

$$W(\Sigma) = M_\Sigma \Sigma^2 + \lambda_\Sigma \Sigma^3 \leftarrow \text{for GUT breaking (may involve more fields)}$$

$$\langle \Sigma \rangle = V \text{Diag}(2, 2, 2, -3, -3) \Rightarrow SU(5) \rightarrow SU(3)_c \times SU(2)_L \times U(1)_Y$$

$$W(\Sigma, H) = M_H H \bar{H} + \lambda \Sigma H \bar{H} \leftarrow \text{for DT splitting}$$

$$M_D = M_H - 3\lambda V \leq O(100 \text{ GeV}) \quad \textcolor{red}{\text{Fine Tuning}}$$

$$M_T = M_H + 2\lambda V \geq M_{GUT} \approx 2 \cdot 10^{16} \text{ GeV}$$

What is origin of this $\sim 10^{-13}$ hierarchy (tuning) ?
Unexplained in simple SU(5)

SUSY GUT puzzles:

- **GUT Symmetry Breaking? (flat directions/goldstones)**
- **Doublet-Triplet Splitting**
- **How/why μ -Term ~ 100 GeV ? (harder in GUT)**
- **Proton Stability (especially $d=5$ decay)**
- **Fermion Masses & Mixings (flavor problem)**
- **SUSY FCNC (**s**flavor problem)**
- **Minimal & Economical System**
 - **Calculability of GUT Threshold Corrections**
 - **Perturbativity all the way up to M_{Planck}**

All these issues are closely related and

**Unless *Unified* solution is found,
none of the predictions can be trusted..**

Realistic SUSY SO(10)

SO(10) ->

Solution of DT hierarchy via missing VEV

Dimopoulos, Wilczek'81

Babu, Barr'93

Barr, Raby'97

- `Higgs' System: $H(10) \supset h_u + h_d + T_H + \bar{T}_H \longleftrightarrow 16_3 16_3 H$

$A(45) + C(16) + \bar{C}(16^*)$ for $SO(10) \xrightarrow{BR} SU(3) \times SU(2) \times U(1)$

$C'(16) + \bar{C}'(16^*)$ and $Z(1) + S(1)$

Insuring desirable sym. br. & **NO** flat dir./pseudo-Golds.

- Additional Symmetries: $U(1)_A \times Z_2$

Symmetry Breaking, All order DT splitting,
mu -term, Nucleon stability (predictions)
Realistic & simple fermion pattern

Some More Studies of Missing VEV Mechanism

Several 45's →
Large GUT thresholds

Babu, Barr '93
Chacko, Mohapatra '99

Economical Higgs system
With $45+16+16^*+10$
→ Small GUT thresholds

Babu, Pati, Wilczek '99
Barr, Raby '97

Anomalous $U(1)$ → all order
Hierarchy, But Several 45's
→ Large GUT thresholds

Berezhiani, Tavartkiladze '97
Maekawa '01
Maekawa, Yamashita '02

\$U(1)_{A \times Z_2}\$ Transformations:

	\$A(45)\$	\$H(10)\$	\$H'(10)\$	\$C(16)\$	\$\bar{C}(\overline{16})\$	\$Z\$	\$S\$	\$C'(16)\$	\$\bar{C}'(\overline{16})\$	\$16_{1,2}\$	\$16_3\$
\$\mathcal{U}(1)\$	0	1	-1	\$\frac{k+4}{2k}\$	\$-\frac{1}{2}\$	\$\frac{2}{k}\$	\$\frac{2}{k}\$	\$\frac{k-4}{2k}\$	\$-\frac{k+8}{2k}\$	-1	\$-\frac{1}{2}\$
\$Z_2\$	-	+	-	+	+	-	+	+	+	\$P_{1,2}\$	+

`Scalar' Superpotential (fixed):

$$W(A) = M_A \text{tr} A^2 + \frac{\lambda_A}{M_*} (\text{tr} A^2)^2 + \frac{\lambda'_A}{M_*} \text{tr} A^4 ,$$

$$W(A, C, C') = C \left(\frac{a_1}{M_*} Z A + \frac{b_1}{M_*} C \bar{C} + c_1 S \right) \bar{C}' + C' \left(\frac{a_2}{M_*} Z A + \frac{b_2}{M_*} C \bar{C} + c_2 S \right) \bar{C}$$

$$W(DT) = \lambda_1 H A H' + \lambda_{H'} \frac{(S^k, Z^k)}{M_*^{k-1}} (H')^2 + \lambda_2 H \bar{C} \bar{C} + \frac{\lambda_3}{M_*} A H' C C' .$$

Missing VEV Solution:

$$\langle A \rangle = i\sigma_2 \otimes \text{Diag} (a, a, a, 0, 0)$$

(incl. FI-term)

Fixed VEVs:

$$\langle A \rangle, \langle C \rangle, \langle \bar{C} \rangle, \langle Z \rangle, \langle S \rangle \neq 0$$

Symmetry breaking and VEVs:

Anom. D-term: $V_D = g_A^2 (\xi + \Sigma q_\phi |\phi|^2)^2$

$$c^2 + |z|^2 + |s|^2 = -\frac{k}{2}\xi$$

At least one VEV is fixed. This trigger all remaining VEVs:

F=0 directions: $-\frac{3a_1}{M_*}za + \frac{b_1}{M_*}c^2 + c_1s = 0, \quad -\frac{3a_2}{M_*}za + \frac{b_2}{M_*}c^2 + c_2s = 0$



$$c, s, z \neq 0$$

No flat directions, no pseudo-goldstones
Only Light MSSM states including doublets are massless

DT Splitting to All Orders

$$M_{D,T} = \begin{pmatrix} \bar{5}_H \\ \bar{5}_{H'} \\ \bar{5}_C \\ \bar{5}_{C'} \end{pmatrix} \begin{pmatrix} 5_H & 5_{H'} & 5_{\bar{C}} & 5_{\bar{C}'} \\ 0 & \eta_{D,T} \lambda_1 a & \lambda_2 c & 0 \\ -\eta_{D,T} \lambda_1 a & M_{H'} & 0 & 0 \\ 0 & 0 & 0 & \kappa_{D,T} Y_1 \\ 0 & Y_{D,T} & \kappa_{D,T} Y_2 & M_{C'} \end{pmatrix}$$

with $\eta_D = 0$, $\eta_T = 1$, $\kappa_D = 3$, $\kappa_T = 2$.

$\Rightarrow$ massless doublet pair $M(h_u, h_d)=0$,
and *all* $M(\text{triplets})$ -heavy

In SUSY limit $\mu - \text{term} = 0$

After SUSY breaking $\mu \sim m_{\text{susy}}$

μ -Term Generation after SUSY breaking

$$\langle A(45) \rangle \sim \begin{pmatrix} M_{\text{GUT}} & & & & \\ & M_{\text{GUT}} & & & \\ & & M_{\text{GUT}} & & \\ & & & m_{\text{susy}} & \\ & & & & m_{\text{susy}} \end{pmatrix}$$

$$H A H' \implies \mu \sim m_{\text{susy}}$$

***This can always happen with
linear terms ($\sim m_{\text{susy}}$) in potential***

μ -Term Generation by Triggered VEV $\sim m_{\text{susy}}$

GUT VEV Fields: $\Phi_i^0 = v_i + \Phi_i \quad \langle \Phi_i \rangle = 0$

$$W(\Phi_i) = \frac{1}{2} \Phi_i M_{\Phi}^{ij} \Phi_j + \dots$$

Soft SUSY br. terms

$$\Phi^\dagger M_{\Phi}^\dagger M_{\Phi} \Phi$$

$$m_{\text{susy}} M_G^2 \phi_{C'}(\nu^c)$$

$$m_{\text{susy}} M_G^2 \phi_{\bar{C}'}(\bar{\nu}^c)$$

Two categories of fields: $\Phi_i = (\hat{\Phi}_{\hat{i}}, \tilde{\Phi}_{\tilde{i}})$

$$V = \hat{\Phi}^\dagger \hat{M}_{\Phi}^\dagger \hat{M}_{\Phi} \hat{\Phi} + \tilde{\Phi}^\dagger \tilde{M}_{\Phi}^\dagger \tilde{M}_{\Phi} \tilde{\Phi} + \hat{\Phi}^\dagger \mathcal{M}_{\Phi} \tilde{\Phi} + \tilde{\Phi}^\dagger \mathcal{M}_{\Phi}^\dagger \hat{\Phi} + m_{\text{susy}}(\hat{\Phi}^\dagger \hat{\rho} + \hat{\rho}^\dagger \hat{\Phi})$$

$$\langle \hat{\Phi}_{\hat{i}} \rangle = -m_{\text{susy}} \left[\hat{M}_{\Phi}^\dagger \hat{M}_{\Phi} - \mathcal{M}_{\Phi} (\tilde{M}_{\Phi}^\dagger \tilde{M}_{\Phi})^{-1} \mathcal{M}_{\Phi}^\dagger \right]_{\hat{i}\hat{j}}^{-1} \hat{\rho}_{\hat{j}}$$

$$\langle \tilde{\Phi}_{\tilde{i}} \rangle = - \left[(\tilde{M}_{\Phi}^\dagger \tilde{M}_{\Phi})^{-1} \mathcal{M}_{\Phi}^\dagger \right]_{\tilde{i}\hat{j}} \langle \hat{\Phi}_{\hat{j}} \rangle$$

← Triggered VEV $\sim m_{\text{susy}}$

Applying for present SO(10):

Gauge choice

$$Z = (z + Z_0)e^\Omega, \quad S = (s + S_0)e^\Omega, \quad \phi_C(\nu^c) = (c + \frac{\Delta}{\sqrt{2}})e^{\Delta'+\Omega}$$

$$\phi_{\bar{C}}(\bar{\nu}^c) = (c + \frac{\Delta}{\sqrt{2}})e^{-\Delta'}, \quad \phi_{C'}(\nu^c) = \phi' e^{\Delta'-\Omega}, \quad \phi_{\bar{C}'}(\bar{\nu}^c) = \bar{\phi}' e^{-\Delta'-2\Omega}$$

$$A(45) = \langle A(45) \rangle \oplus \frac{1}{\sqrt{24}}\eta_a \oplus \frac{1}{\sqrt{8}}\eta_b,$$

$$M_\Phi = \begin{pmatrix} \phi' & \bar{\phi}' & \eta_b & \eta_a & \Delta & S_0 \\ \phi' & \bar{\phi}' & \frac{a_2 z c}{M_* 2\sqrt{8}} & -\frac{3a_2 z c}{M_* 2\sqrt{6}} & \frac{\sqrt{2}b_2 c^2}{M_*} & c_2 c \\ \bar{\phi}' & \phi' & \frac{a_1 z c}{M_* 2\sqrt{8}} & -\frac{3a_1 z c}{M_* 2\sqrt{6}} & \frac{\sqrt{2}b_1 c^2}{M_*} & c_1 c \\ \eta_b & \frac{a_2 z c}{M_* 2\sqrt{8}} & \frac{a_1 z c}{M_* 2\sqrt{8}} & M_{\eta_b} & 0 & 0 \\ \eta_a & -\frac{3a_2 z c}{M_* 2\sqrt{6}} & -\frac{3a_1 z c}{M_* 2\sqrt{6}} & 0 & M_{\eta_b} & 0 \\ \Delta & \frac{\sqrt{2}b_2 c^2}{M_*} & \frac{\sqrt{2}b_1 c^2}{M_*} & 0 & 0 & 0 \\ S_0 & c_2 c & c_1 c & 0 & 0 & 0 \end{pmatrix}$$

←Singlet mass matrix.
All massive!

State identification: $\hat{\Phi}^T = (\phi', \bar{\phi}')$ $\tilde{\Phi}^T = (\eta_b, \eta_a, \Delta_0, S_0)$

VEV in B-L direction: $\langle \eta_b \rangle \simeq 3m_{\text{susy}}$

$$\lambda_1 H A H' \rightarrow \lambda_1 \frac{\langle \eta_b \rangle}{\sqrt{8}} h_u h_d \sim m_{\text{susy}} h_u h_d \quad \mu \sim m_{\text{susy}} \sim \text{TeV}$$

GUT Spectrum (all heavy)

Well defined spectrum:

5-plets:

$$M_{D,T} = \begin{pmatrix} \bar{5}_H & \bar{5}_{H'} & \bar{5}_C & \bar{5}_{C'} \\ 0 & \eta_{D,T}\lambda_1 a & \lambda_2 c & 0 \\ -\eta_{D,T}\lambda_1 a & M_{H'} & 0 & 0 \\ 0 & 0 & 0 & \kappa_{D,T}Y_1 \\ 0 & Y_{D,T} & \kappa_{D,T}Y_2 & M_{C'} \end{pmatrix}$$

with $\eta_D = 0$, $\eta_T = 1$, $\kappa_D = 3$, $\kappa_T = 2$.

$$\frac{M_{D_1} M_{D_2} M_{D_3}}{M_{T_1} M_{T_2} M_{T_3} M_{T_4}} = \frac{9}{4M_{\text{eff}} \cos \gamma} , \quad \text{with} \quad \frac{1}{M_{\text{eff}}} = (M_T^{-1})_{11} = \frac{M_{H'}}{\lambda_1^2 a^2}$$

10-plets:

$$M(\Psi^{10}) = \begin{pmatrix} \bar{\Psi}_A^{10} & \bar{\Psi}_{\bar{C}}^{10} & \bar{\Psi}_{\bar{C}'}^{10} \\ \Psi_A^{10} & 0 & X_1 \\ \Psi_C^{10} & 0 & \kappa_\Psi Y_1 \\ \Psi_{C'}^{10} & X_2 & \kappa_\Psi Y_2 & M'\eta \end{pmatrix}$$

with $\Psi = (u^c, q, e^c)$, $\kappa_\Psi = (2, 1, 0)$, M_Ψ

10's fragments masses:

Matter: $\mathcal{U}_1^c \mathcal{U}_2^c = Y_1 Y_2 (4 + \tilde{p}^2)$, $\mathcal{Q}_1 \mathcal{Q}_2 = Y_1 Y_2 (1 + \tilde{p}^2)$, $\mathcal{E}_1^c \mathcal{E}_2^c = Y_1 Y_2 \hat{p}^2$

$$\tilde{p} = p \quad \tilde{p}^2 = \frac{|X_1|^2}{|Y_1|^2} = \frac{|X_2|^2}{|Y_2|^2} \quad , \quad \hat{p}^2 = \tilde{p}^2 \left| 1 - \frac{M_\Sigma M_{C'}}{2X_1 X_2} \right|$$

Gauge: $M^2(X, Y) = g^2 a^2 \equiv M_X^2$, $M^2(X', Y') = M_X^2 (1 + p^2)$

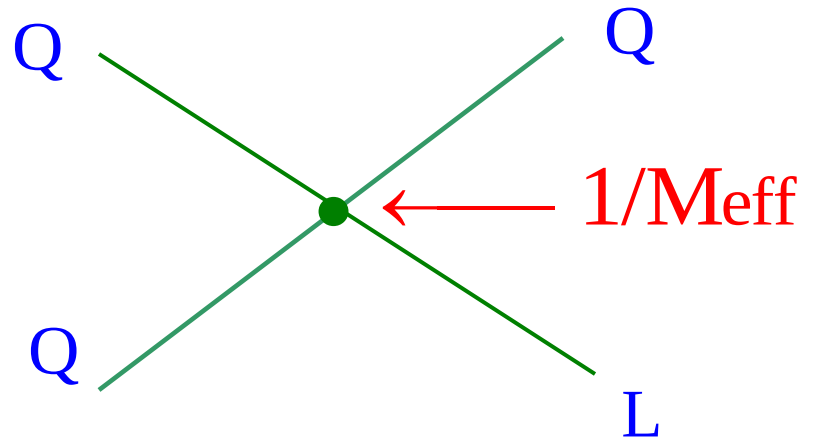
$$M^2(V_{u^c, \bar{u}^c}) = M_X^2 (4 + p^2) \quad , \quad M^2(V_{e^c, \bar{e}^c}) = M_X^2 p^2 \quad p^2 = \frac{4c^2}{a^2}$$

3-matter + 1 vector superfield $\leftrightarrow$ N=4 SYM multiplet

Gauge β – function = 0

**$\leftarrow$ Great reduction
of thresholds**

M_{eff} : In d=5 decay



In RG Equations $\rightarrow$

$$\frac{M_{D_1} M_{D_2} M_{D_3}}{M_{T_1} M_{T_2} M_{T_3} M_{T_4}} = \frac{9}{4M_{\text{eff}} \cos \gamma}$$

$$\cos \gamma \simeq \tan \beta/60$$

$$\alpha_U^{-1}(\Lambda) = \alpha_i^{-1}(M_Z) - \frac{b_i}{2\pi} \ln \frac{\Lambda}{M_Z} + \Delta_{i,w}^{(2)} + \Delta_i^{\text{GUT}}$$

Calculable Thresholds!

EW/SUSY Scale Thresholds

$(m_{\tilde{q}}, M_{\tilde{W}})(\text{GeV})$	$(m_0, m_{1/2})(\text{GeV})$	$(\Delta_{1,w}^{(2)}, \Delta_{2,w}^{(2)}, \Delta_{3,w}^{(2)})$
(600, 130)	(450.7, 158)	(0.2113, 0.05328, 0.7747)
(1200, 130)	(1039, 239.5)	(0.4761, 0.3124, 1.037)
(1200, 200)	(1134, 156.4)	(0.4717, 0.4100, 1.178)
(1800, 300)	(1564, 355.5)	(0.6148, 0.6483, 1.4624)
(1800, 125)	(1761, 149.2)	(0.616, 0.4471, 1.1757)
(1500, 250)	(1301, 297.6)	(0.5505, 0.5409, 1.3343)
(1500, 125)	(1452, 150)	(0.5536, 0.3826, 1.107)

RG and Gauge Coupling Unification

$$\ln \frac{M_{\text{eff}} \cos \gamma}{M_Z} = \frac{5\pi}{6} \left(3(\alpha_2^{-1} + \Delta_{2,w}^{(2)} - \frac{1}{6\pi}) - 2(\alpha_3^{-1} + \Delta_{3,w}^{(2)} - \frac{1}{4\pi}) - (\alpha_1^{-1} + \Delta_{1,w}^{(2)}) \right) \\ - \ln \frac{4\kappa^{5/2}}{9} + \ln \frac{(4+p^2)^{3/2}(1+\tilde{p}^2)^2}{(4+\tilde{p}^2)^{3/2}(1+p^2)^2} + \ln \frac{p}{\hat{p}},$$

$$\ln \frac{(M_X^2 M_\Sigma)^{1/3}}{M_Z} = \frac{\pi}{18} \left(5(\alpha_1^{-1} + \Delta_{1,w}^{(2)}) - 3(\alpha_2^{-1} + \Delta_{2,w}^{(2)} - \frac{1}{6\pi}) - 2(\alpha_3^{-1} + \Delta_{3,w}^{(2)} - \frac{1}{4\pi}) \right) \\ + \frac{1}{6} \ln \kappa - \frac{1}{6} \ln \frac{(4+p^2)(1+\tilde{p}^2)^2}{(4+\tilde{p}^2)(1+p^2)^2} - \frac{1}{3} \ln \frac{p}{\hat{p}}.$$

Model Gives: $p=\tilde{p}$; with $\hat{p}/p \simeq 10^{-4}$, $\cos \gamma \approx 1/20$

$$\alpha_3(M_Z) = 0.1176, \quad M_{\text{eff}} = \text{few} \times 10^{19} \text{ GeV}$$

$$(M_X^2 M_\Sigma)^{1/3} \approx 10^{16} \text{ GeV}$$

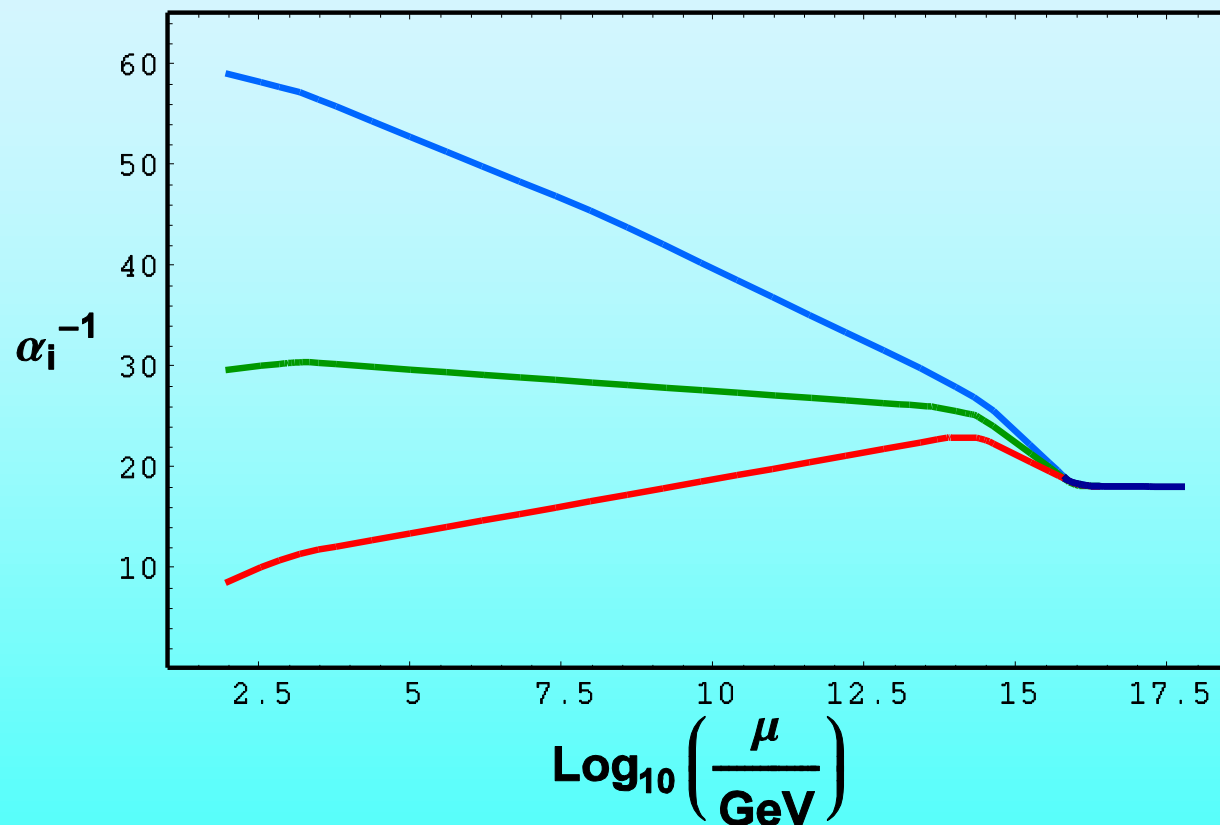
[Compare with SU(5) - Hisano, Murayama, Yanagida'93]

Large M_{eff} suppress Nucleon decay:

$$\Gamma_{d=5}^{-1}(p \rightarrow \bar{\nu} K^+) = 3 \times 10^{33} \text{ yrs} \left(\frac{0.012 \text{ GeV}^3}{|\beta_H|} \right)^2 \left(\frac{6.8}{\bar{A}_S^\alpha} \right)^2 \left(\frac{1.25}{R_L} \right)^2 \left(\frac{M_{\text{eff}}}{4.51 \times 10^{19} \text{ GeV}} \right)^2 \times \\ \times \left(\frac{m_{\tilde{q}}}{1.5 \text{ TeV}} \right)^4 \left(\frac{190 \text{ GeV}}{m_{\tilde{W}}} \right)^2 \left(\frac{3.1}{K_{d=5}^\nu} \right) .$$

And compatible with coupling unification..

Gauge Coupling Unification



Compare with SUSY SU(5)

$$M_{\text{eff}} \cos \gamma \rightarrow M_T \quad \square \quad d=5 \text{ decay } (p \rightarrow \bar{\nu} K^+)$$

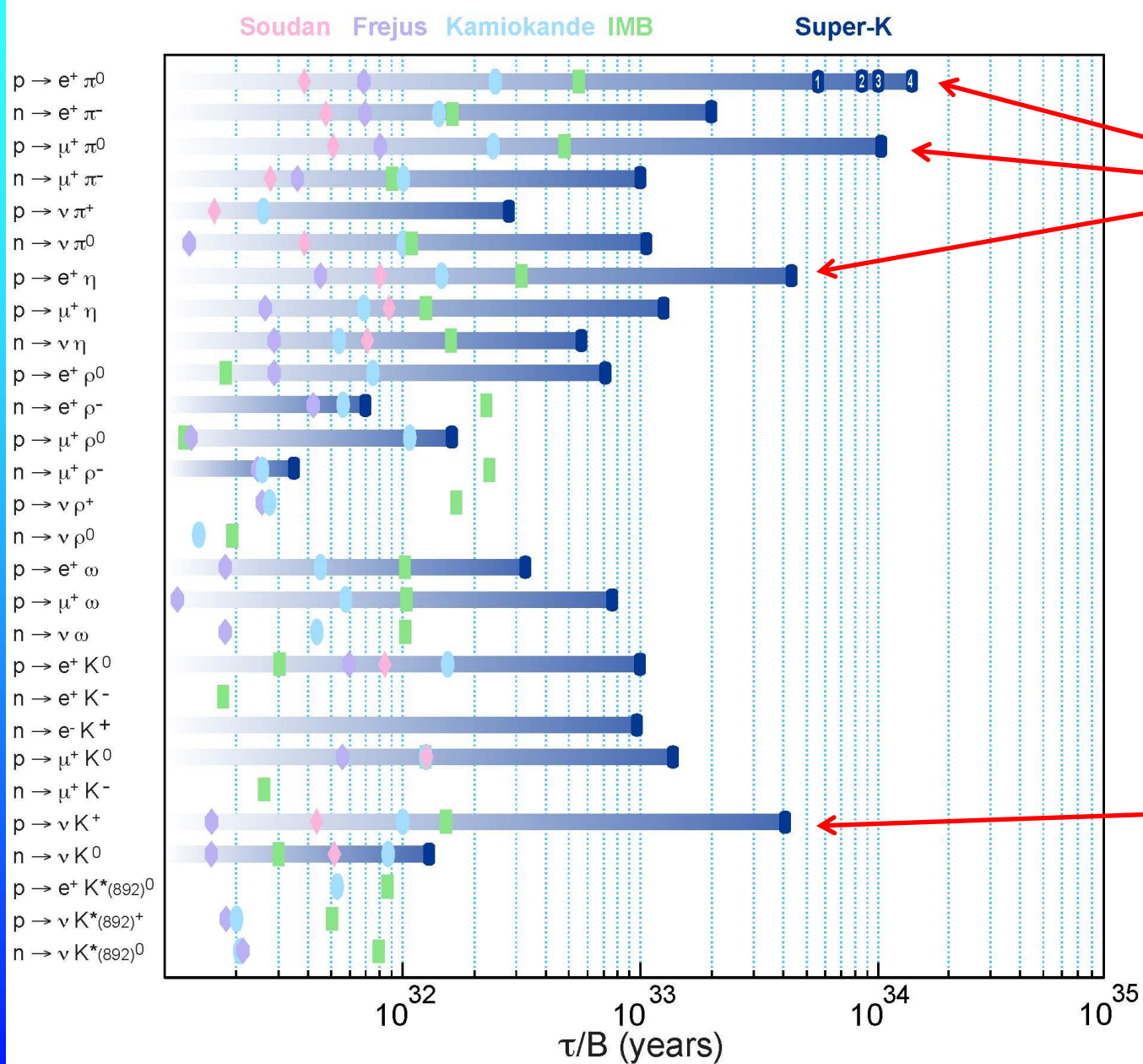
• Minimal renorm. SUSY SU(5) $\rightarrow$

$$\Gamma_{d=5}^{-1}(p \rightarrow \bar{\nu} K^+) \simeq 1.2 \cdot 10^{31} \text{ yrs} \times \left(\frac{0.012 \text{ GeV}^3}{\beta_H} \right)^2 \left(\frac{7}{\bar{A}_S^\alpha} \right)^2 \left(\frac{1.25}{R_L} \right)^2 \times \\ \times \left(\frac{M_T}{2 \cdot 10^{16} \text{ GeV}} \right)^2 \left(\frac{m_{\tilde{q}}}{1.5 \text{ TeV}} \right)^4 \left(\frac{190 \text{ GeV}}{M_{\tilde{W}}} \right)^2 ,$$

For $\tau_{\text{exp}}(p \rightarrow \bar{\nu} K^+) \gtrsim 4 \cdot 10^{33} \text{ yrs.}$ **One needs** $M_T \gtrsim 3.6 \cdot 10^{17} \text{ GeV}$

How large can be M_T ?

Exp. limits on Nucleon lifetime [from talk of M. Miura,BLV2011]



**In d=5
suppressed
by λ_u**

**GUT's
dominant
d=5 mode**

- **Minimal renorm. SUSY SU(5) →**

RG:
$$\ln \frac{M_T}{M_Z} = \frac{5\pi}{6} \left(3(\alpha_2^{-1} + \Delta_{2,w}^{(2)} - \frac{1}{6\pi}) - 2(\alpha_3^{-1} + \Delta_{3,w}^{(2)} - \frac{1}{4\pi}) - (\alpha_1^{-1} + \Delta_{1,w}^{(2)}) \right)$$

$$\alpha_3(M_Z) = 0.12 \rightarrow M_T \simeq 7 \cdot 10^{14} \text{ GeV} \Rightarrow \tau(p \rightarrow \bar{\nu} K^+) \simeq 1.5 \cdot 10^{28} \text{ yrs}$$

$$M_T \gtrsim 2 \cdot 10^{16} \text{ GeV} \Rightarrow \alpha_3(M_Z) \gtrsim 0.132 \quad [\leftarrow \text{also problem of unification}]$$

In gross conflict with experiments:

$$\alpha_3^{exp}(M_Z) = 0.1176 \pm 0.002$$

$$\tau_{exp}(p \rightarrow \bar{\nu} K^+) \gtrsim 4 \cdot 10^{33} \text{ yrs.}$$

- **One solution:**
SUSY SU(5) with high dim. Ops. →
Large threshold corrections →

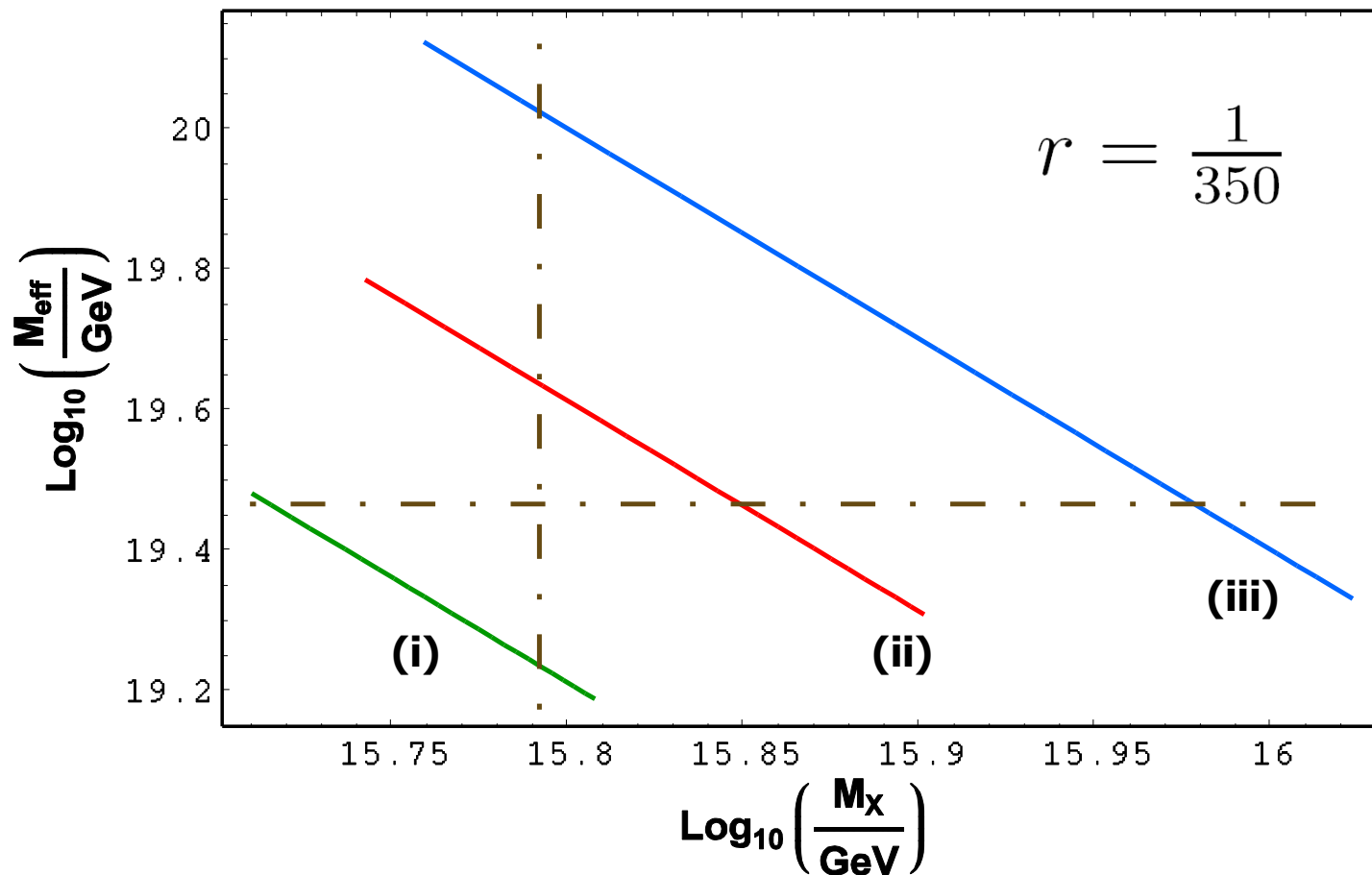
(Bajc, Perez, Senjanovic '02)

$$M_T \geq 10^{17} \text{ GeV}$$

Considered SO(10) has no these problems

Correlation Between M_{eff} & M_X

$$M_{\text{eff}} \simeq 10^{19} \text{GeV} \cdot \left(\frac{10^{16} \text{GeV}}{M_X} \right)^3 \left(\frac{1/100}{r} \right) \left(\frac{0.63 \times 3}{\eta_\gamma \tan \beta} \right) \left\{ \frac{\exp[2\pi(\Delta_{2,w}^{(2)} - \Delta_{3,w}^{(2)} - \delta\alpha_3^{-1})]}{2.24 \cdot 10^{-2}} \right\}$$



$$r \equiv M_\Sigma/M_X$$

$$m_{\tilde{q}} = 1.5 \text{ TeV}$$

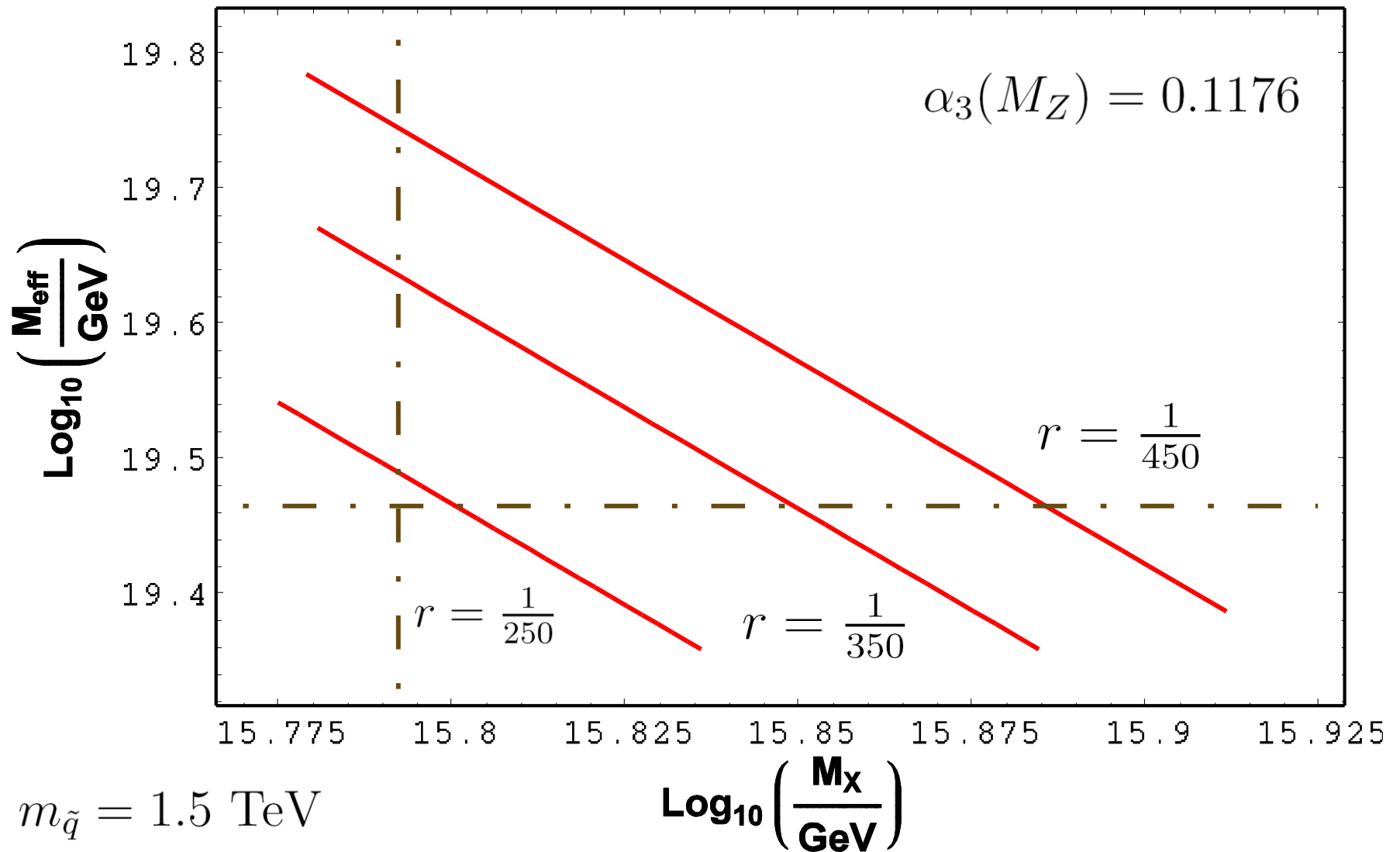
$$M_{\tilde{W}} = 190 \text{ GeV}$$

(i) $\alpha_3 = 0.1156$

(ii) $\alpha_3 = 0.1176$

(iii) $\alpha_3 = 0.1196$

Correlation Between M_{eff} & M_X



→ Correlation Between **d=5** & **d=6** Proton Decays and Upper Bounds on Lifetimes

Symmetries give/suggest ranges: $\frac{1}{10} \lesssim r (= M_{\Sigma}/M_X) \lesssim \frac{1}{500}$

$$1.4 \cdot 10^{19} \text{ GeV} \leq M_{\text{eff}} \leq 10^{20} \text{ GeV}$$

‘Naturalness’ Requirement: $m_{\tilde{q}} \lesssim 1.5 \text{ TeV}$

Empirical Bound: $M_{\tilde{W}} \gtrsim 190 \text{ GeV}$

& Correlation Leads to:

$$\Gamma_{d=6}^{-1}(p \rightarrow e^+ \pi^0) \lesssim 1.4 \times 10^{35} \text{ yrs}$$

$$\Gamma^{-1}(p \rightarrow \bar{\nu} K^+) \leq 6.5 \times 10^{34} \text{ yrs}$$

Potentially observable with improvement of exp. sensitivity by factor 10

Comment: For calculating d=5 Proton decay,
well defined Yukawa sector is important

Yukawa Sector with Q4 Flavor Symmetry

Q4 → Interesting (predictive) Textures,
Solves SUSY FCNC problem

Pouliot, Seiberg '93
(*) Babu, Kubo '05

$$\vec{q} \equiv (\hat{q}_1, \hat{q}_2) \quad m_{\text{susy}}^2 (|\tilde{q}_1|^2 + |\tilde{q}_2|^2)$$

$$Q_4: \vec{16} \equiv (16_1, 16_2) \sim \mathbf{2}, \quad 16_3 \sim \mathbf{1} \quad \vec{X}, \vec{Y} \sim \mathbf{2} - \text{Flavons}$$

$$(*): \quad \mathbf{1}' \times \mathbf{1}' = \mathbf{1}'' \times \mathbf{1}'' = \mathbf{1}''' \times \mathbf{1}''' = \mathbf{1} \quad \mathbf{1}' \times \mathbf{1}'' = \mathbf{1}'''$$

$$\mathbf{1}'' \times \mathbf{1}''' = \mathbf{1}' \quad \mathbf{1}''' \times \mathbf{1}' = \mathbf{1}''$$

$$\begin{pmatrix} x_1 \\ x_2 \end{pmatrix}_2 \times \begin{pmatrix} y_1 \\ y_2 \end{pmatrix}_2 = (x_1 y_2 - x_2 y_1)_{\mathbf{1}} \oplus (x_1 y_1 + x_2 y_2)_{\mathbf{1}'} \oplus (x_1 y_2 + x_2 y_1)_{\mathbf{1}''} \oplus (x_1 y_1 - x_2 y_2)_{\mathbf{1}'''}$$

Q4 Breaking: No flat directions and massless modes

$$\langle \vec{X} \rangle = (x_1, 0) \quad \langle \vec{Y} \rangle = (y_1, 0) \quad x_1, y_1 \neq 0$$

Additional Singlets: $S_1 \sim 1', \quad S_2 \sim 1, \quad \hat{X}, \hat{Y} \sim 1''$

For VEV fixing and mass generation

$$W_{\text{Flavon}} = S_1 \left(\frac{Z^6}{M_*^6} \vec{X}^2 + \frac{1}{M_*^4} \vec{X}^6 \right) + S_2 \left(\frac{1}{M_*^2} \vec{X}^2 \vec{Y}^2 + \frac{1}{M_*^8} Z^{10} \right) + \hat{X} \vec{X}^2 + \hat{Y} \vec{Y}^2$$

$$F_Z = F_{S_{1,2}} = F_{\vec{X}} = F_{\vec{Y}} = 0$$

$$\langle S_1 \rangle = \langle S_2 \rangle = 0 \quad x_1 \sim z \left(\frac{z}{M_*} \right)^{1/2} \sim \frac{M_*}{40} \quad y_1 \sim z^5 / (x_1 M_*^3) \sim \frac{M_*}{200}$$

$$\hat{X} \vec{X}^2 + \hat{Y} \vec{Y}^2 \rightarrow \hat{X} X_1 X_2 + \hat{Y} Y_1 Y_2$$

All states are heavy

Effective Yukawa Interactions are fixed by symmetries → Predictive

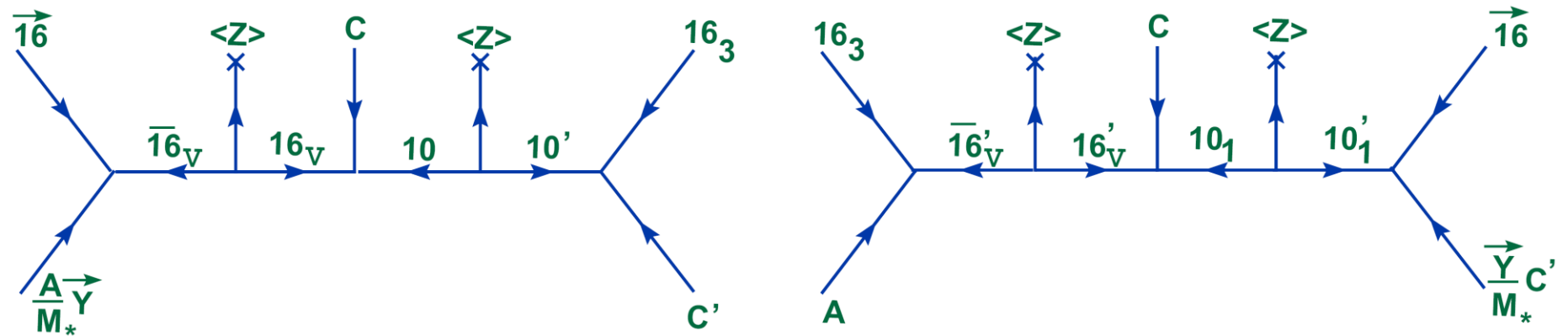
$$W_{\text{Yukawa}}^{(D)} = 16_3 16_3 H + \frac{\vec{X}}{M_*} \vec{16} 16_3 H + \frac{SZ^2 A}{M_*^4} \vec{16} \vec{16} H + \frac{Z^3 C}{M_*^4} \vec{16} \vec{16} C' +$$

$$\frac{AC \vec{Y}}{M_* \langle Z \rangle^2} \left(\vec{16} \cdot 16_3 + 16_3 \cdot \vec{16} \right) C' + \frac{\vec{X}^2}{M_*^3} (\vec{16}^2) C C' .$$

$$\langle \vec{X} \rangle \simeq \left(\frac{M_*}{40}, 0 \right) \quad \langle \vec{Y} \rangle \simeq \left(\frac{M_*}{200}, 0 \right)$$

Can be obtained by integrating of heavy states

Generation of Effective Yukawa Couplings



This 16, 10-plet exchange gives Clebsch **3** for lepton vs. quark
 → Good relation

Planck Scale induced d=5 p-decay is strongly suppressed

By model's symmetries: **QQQL –type ops.**

$$Z \vec{X} \vec{Y}^2 \vec{16}^3 16_3, \quad S \vec{Y}^2 \vec{16}^2 16_3^2, \quad Z^2 S \vec{Y} \vec{16} 16_3^3$$

Emerged terms $q_1 q_2 q_3 l_i / M_*$ **have suppression** $\lesssim \text{few} \times 10^{-9}$

Adequate suppression

Mass Matrices

$$Y_u = \begin{matrix} & u_1^c & u_2^c & u_3^c \\ \begin{matrix} u_1 \\ u_2 \\ u_3 \end{matrix} & \begin{pmatrix} 0 & \epsilon' & 0 \\ -\epsilon' & 0 & \sigma \\ 0 & \sigma & 1 \end{pmatrix} \end{matrix} \lambda_t$$

$$Y_d = \begin{matrix} & d_1^c & d_2^c & d_3^c \\ \begin{matrix} d_1 \\ d_2 \\ d_3 \end{matrix} & \begin{pmatrix} 0 & \epsilon' + \eta' & 0 \\ -\epsilon' - \eta' & \xi_{22}^d & \sigma + \epsilon \\ 0 & \sigma + \bar{\epsilon} & 1 \end{pmatrix} \end{matrix} \lambda_b$$

$$Y_e = \begin{matrix} & e_1^c & e_2^c & e_3^c \\ \begin{matrix} e_1 \\ e_2 \\ e_3 \end{matrix} & \begin{pmatrix} 0 & -3\epsilon' - \eta' & 0 \\ 3\epsilon' + \eta & 3\xi_{22}^d & \sigma + 3\bar{\epsilon} \\ 0 & \sigma + 3\epsilon & 1 \end{pmatrix} \end{matrix} \lambda_b$$

$$Y_\nu = \begin{matrix} & \nu_1^c & \nu_2^c & \nu_3^c \\ \begin{matrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{matrix} & \begin{pmatrix} 0 & -3\epsilon' & 0 \\ 3\epsilon' & 0 & \sigma \\ 0 & \sigma & 1 \end{pmatrix} \end{matrix} \lambda_t$$

$$\lambda_b = \lambda_t \cos \gamma$$

$$\sigma = 0.0508, \epsilon = -0.0188 + 0.0333i \quad \bar{\epsilon} = 0.106 + 0.0754i$$

Input:

$$\epsilon' = 1.56 \cdot 10^{-4}, \eta' = -0.00474 + 0.00177i, \xi_{22}^d = 0.014e^{4.1i}$$

At low energies: (perform RG running)

Input: $\tan \beta = 3, m_t(m_t) = 160 \text{ GeV} \quad m_\tau(M_Z) = 1.746 \text{ GeV}$



- $m_e = 0.51 \text{ MeV} \quad m_\mu = 105.66 \text{ MeV} \quad m_\tau = 1.776 \text{ GeV}$

- $m_u(2 \text{ GeV}) = 3.55 \text{ MeV}, m_c(m_c) = 1.15 \text{ GeV}$

- $m_b(m_b) = 4.67 \text{ GeV}$

- $m_d(2 \text{ GeV}) = 6.45 \text{ MeV}, m_s(2 \text{ GeV}) = 137.6 \text{ MeV}$

- **At M(Z) scale:**

$$|V_{us}| = 0.225 \ , \quad |V_{cb}| = 0.0414 \ , \quad |V_{ub}| = 0.0034 \ , \quad |V_{td}| = 0.00878$$

CP violation: • $\bar{\eta} = 0.334 \ , \ \bar{\rho} = 0.12$ $\bar{\rho} + i\bar{\eta} = -V_{ud}V_{ub}^*/(V_{cd}V_{cb}^*)$
($\sin 2\beta = 0.663$)

All in good agreement with experiments

RG from GUT scale down to low energies:

$$\left. \frac{m_{u,c}}{m_t} \right|_{m_t} = \eta_t^3 \frac{m_{u,c}^0}{m_t^0} \quad m_u(2 \text{ GeV}) = 1.8 m_u(m_t), \quad m_c(m_c) = 2.11 m_c(m_t)$$

$$\eta_t = 1.1097 \quad \eta_t = \exp \left[\frac{1}{16\pi^2} \int_{M_Z}^{M_G} \lambda_t^2(\mu) d \ln \mu \right]$$

$$\frac{m_b}{m_\tau} = \eta_t^{-1} R_{b\tau} \frac{m_b^0}{m_\tau^0} \quad R_{b\tau} = \exp \left[\frac{1}{3\pi} \int_{M_Z}^{M_G} (4\alpha_3(\mu) - \alpha_1(\mu)) d \ln \mu \right]$$

$$\left. \frac{m_{d,s}}{m_b} \right|_{M_Z} = \left. \frac{m_{d,s}}{m_b} \right|_{m_t} = 1.1159 \frac{m_{d,s}^0}{m_b^0}$$

$$V_{\alpha\beta} = \eta_t V_{\alpha\beta}^0 \quad \text{for } \alpha\beta = (ub, cb, td, ts) \quad V_{\alpha\beta} = V_{\alpha\beta}^0 \quad \alpha\beta = (ud, us, cd, cs, tb)$$

$$|V_{us}| = |V_{us}^0| \quad |V_{cb}| = \eta_t |V_{cb}^0| \quad |V_{ub}| = \eta_t |V_{ub}^0| \quad \bar{\eta} = \bar{\eta}^0$$

'Majorana' Couplings:

$$W_{\text{Yukawa}}^{(M)} = \frac{Z^{k-4} \vec{Y}^2}{M_*^{k-3} M_{N''}^2} \vec{16}^2 \bar{C}^2 + \frac{Z^{k-2} \vec{Y}}{M_*^{k-2} M_{N'}^2} \vec{16} 16_3 \bar{C}^2 + \frac{Z^{k-1} S}{M_*^{k-1} M_N^2} 16_3^2 \bar{C}^2$$

$$M_R = \begin{matrix} & \nu_1^c & \nu_2^c & \nu_3^c \\ \nu_1^c & \left(\begin{array}{ccc} b & 0 & 0 \\ 0 & b & a \\ 0 & a & 1 \end{array} \right) & & \\ \nu_2^c & & & \\ \nu_3^c & & & \end{matrix} M_0 \quad \leftarrow 3 \text{ parameters}$$

• **Input:** $\{ (a, b) \quad M_0 \}$ **or** $\{ \sqrt{\Delta m_{\text{atm}}^2}, \theta_{12} \text{ and } \theta_{23} \}$

• **Output/prediction:** $\sqrt{\Delta m_{\text{sol}}^2 / \Delta m_{\text{atm}}^2} \simeq m_2 / m_3 \text{ and } \theta_{13}$

Neutrino Masses & Mixings

$$\mathbf{m}_\nu = \mathbf{m}_D \frac{1}{\mathbf{M}_{\nu R}} \mathbf{m}^T$$

- **With input:**

$$M_0 = 1.89 \cdot 10^{13} \text{ GeV}$$

$$\sqrt{\Delta m_{\text{atm}}^2} \approx 0.05 \text{ eV}$$

$$a = 0.0252 e^{-0.018i}, b = 1.61 \cdot 10^{-6} e^{-1.592i}$$

$$\theta_{12} \simeq 30^\circ$$

$$\theta_{23} \simeq 43^\circ$$

- **Output/prediction:**

$$\sqrt{\Delta m_{\text{sol}}^2 / \Delta m_{\text{atm}}^2} \simeq m_2 / m_3 \simeq 0.13$$

$$\theta_{13} \simeq 3.6^\circ$$

Summary

- Introduction / Motivations

Presented minimal/economical SUSY SO(10)

- Minimal Higgs System, Simple GUT Breaking and Natural DT Splitting to all orders
- Natural mu-term Generation
- Calculable GUT Threshold Corrections

Summary (continued)

- Stable Proton with Predictive (& Testable)
Correlation Between $d=5$ & $d=6$ Decay Modes
Upper bounds on decay modes
- Simple, realistic fermion pattern by Q_4 flavor symmetry,
and No SUSY FCNC problem
- Realistic Neutrino sector

Thank You