

# A unique $\mathbb{Z}_4^R$ symmetry for the MSSM

Michael Ratz



Bad Honnef, October 7, 2010

Based on:

- M. Blaszczyk, S. Groot Nibbelink, F. Ruehle, M.R., M. Trapletti & P. Vaudrevange, Phys. Lett. B 683, 340-348 (2010)
- F. Brümmer, R. Kappl, M.R. & K. Schmidt-Hoberg, JHEP 1004:006 (2010)
- H.M. Lee, S. Raby, G. Ross, M.R., R. Schieren & P. Vaudrevange, <http://arxiv.org/abs/1009.0905> & to appear
- R. Kappl, B. Petersen, M.R., R. Schieren & P. Vaudrevange, to appear

# MSSM: good features and open questions

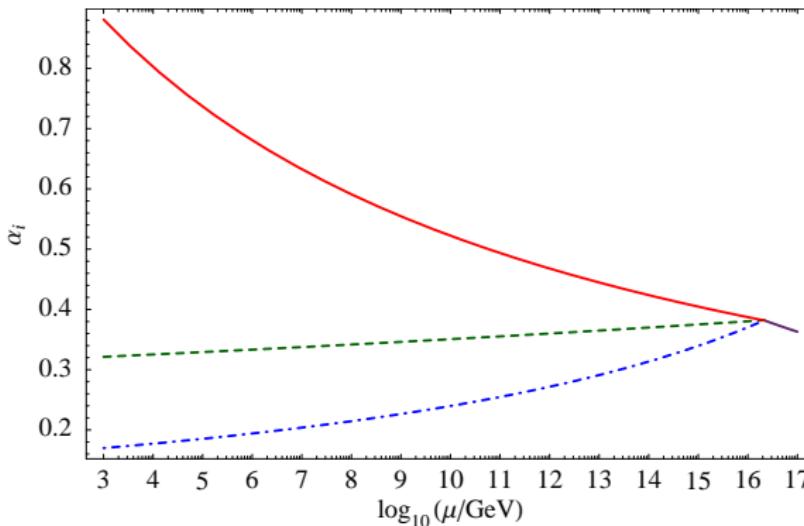
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  - 😢 dimension four and five proton decay operators
  - 😢 CP and flavor problems
- ➡ Supersymmetry alone seems not to be enough

# Outline

- ① Introduction & Motivation ✓
- ② A simple  $\mathbb{Z}_4^R$  symmetry can explain
  - suppressed  $\mu$  term
  - proton stability
- ③ String theory realization
- ④ Summary

# Proton decay operators

- ☞ Gauge invariant superpotential terms up to order 4 include

$$\begin{aligned}\mathcal{W} = & \mu \overline{H}H + \kappa_i L_i H \\ & + Y_e^{ij} L_i \overline{H} \overline{E}_j + Y_d^{ij} Q_i \overline{H} \overline{D}_j + Y_u^{ij} Q_i H \overline{U}_j \\ & + \lambda_{ijk} L_i L_j \overline{E}_k + \lambda'_{ijk} L_i Q_j \overline{D}_k + \lambda''_{ijk} \overline{U}_i \overline{D}_j \overline{D}_k \\ & + \kappa_{ij}^{(0)} H L_i H L_j + \kappa_{ijkl}^{(1)} Q_i Q_j Q_k L_\ell + \kappa_{ijkl}^{(2)} \overline{U}_i \overline{U}_j \overline{D}_k \overline{E}_\ell\end{aligned}$$

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forbidden by matter parity

Farrar & Fayet (1978); Dimopoulos, Raby & Wilczek (1981)

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Babu, Gogoladze & Wang (2002); Dreiner, Luhn & Thormeier (2006)

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... with the common notion of **anomaly freedom**

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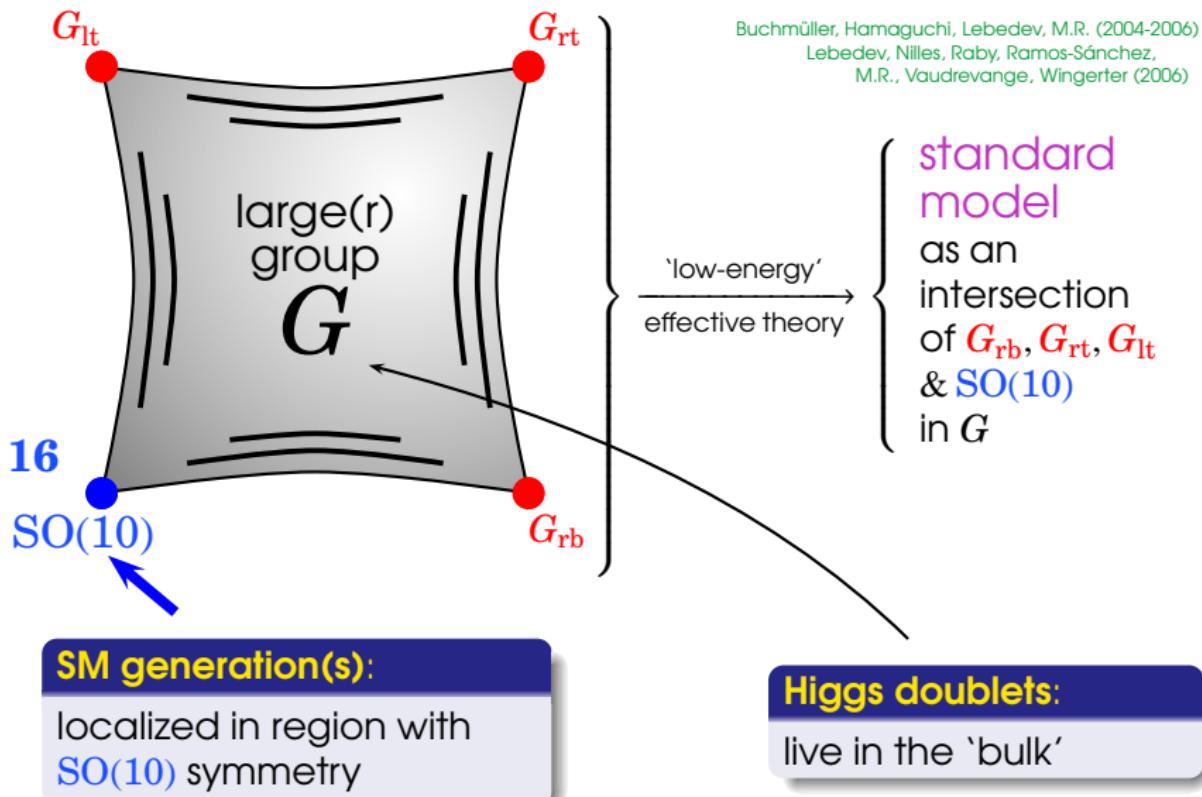
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Local grand unification (using **small** extra dimensions)

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- ➡ Two prejudices from string model building:
  - 1 Local Grand Unification
  - 2 'anomalous' discrete symmetries whose anomalies are canceled the Green-Schwarz mechanism

# From anomaly freedom to anomaly universality

Dine & Graesser (2004); Araki, Kobayashi, Kubo, Ramos-Sánchez, M.R. & Vaudrevange (2008)

- ☞ Important lesson from explicit string-derived (MSSM) models

## 'anomalous' discrete symmetries:

Anomalies of discrete symmetries canceled by Green-Schwarz mechanism

- ☞ The 'anomalies' in the discrete symmetries do **not** arise from a mixing with the 'anomalous'  $U(1)$

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Example: anomaly coefficients for  $\mathbb{Z}_N$

$$A_{G^2 - \mathbb{Z}_N} = \sum_f \ell^{(f)} \cdot q^{(f)}$$

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sum over all representations of  $G$

$$A_{\text{grav}^2 - \mathbb{Z}_N} = \sum_m q^{(m)}$$

sum over all fermions

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Dynkin index

discrete charges

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$$A_{G^2-\mathbb{Z}_N} = \sum_f \ell^{(f)} \cdot q^{(f)} \stackrel{!}{=} 0 \pmod{\eta}$$

$$\eta := \begin{cases} N & \text{for } N \text{ odd} \\ N/2 & \text{for } N \text{ even} \end{cases}$$

$$A_{\text{grav}^2-\mathbb{Z}_N} = \sum_m q^{(m)} \stackrel{!}{=} 0 \pmod{\eta}$$

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**anomaly universality:**  
all  $A$  coefficients equal

# Green-Schwarz anomaly cancellation

- ☞ Under ‘anomalous’ U(1) symmetry the path integral measure exhibits non-trivial transformation

Fujikawa (1979)

$$\mathcal{D}\Psi \mathcal{D}\bar{\Psi} \rightarrow \mathcal{J}(\alpha) \mathcal{D}\Psi \mathcal{D}\bar{\Psi} \quad \text{with non-trivial } \mathcal{J}(\alpha)$$

# Green-Schwarz anomaly cancellation

- Under 'anomalous' U(1) symmetry the path integral measure exhibits non-trivial transformation
- One can absorb the change of the path integral measure in a change of Lagrangean

Fujikawa (1979)

$$\Delta\mathcal{L}_{\text{anomaly}} = \frac{\alpha}{32\pi^2} F_{\text{anom}} \tilde{F}_{\text{anom}} A_{U(1)_{\text{anom}}^3} + \sum_G \frac{\alpha}{32\pi^2} F^a \tilde{F}^a A_{G-G-U(1)_{\text{anom}}} - \frac{\alpha}{384\pi^2} \mathcal{R} \tilde{\mathcal{R}} A_{\text{grav-grav-U(1)_{anom}}}$$

sum over all gauge factors

anomaly coefficients

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- Provided the Lagrangean also includes **axion** couplings

$$\mathcal{L} \supset -\frac{a}{8} F_{\text{anom}} \tilde{F}_{\text{anom}} - \frac{a}{8} F^a \tilde{F}^a + \frac{a}{4} \mathcal{R} \tilde{\mathcal{R}}$$

$\Delta \mathcal{L}_{\text{anomaly}}$  can be compensated by a shift of the **axion  $a$**  if the **anomaly coefficients** are **universal**

Green &amp; Schwarz (1984)

# Discrete GS anomaly cancellation

- ☞ The analysis applies also for discrete symmetries

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- ☞ Specifically for a  $\mathbb{Z}_N$  transformation

$$\Phi^{(f)} \rightarrow e^{-i \frac{2\pi}{N} q^{(f)}} \Phi^{(f)}$$

the **dilaton** (containing the **axion**) has to transform as

$$S \rightarrow S + \frac{i}{2} \Delta_{\text{GS}}$$

where

$$\pi N \Delta_{\text{GS}} \equiv \frac{1}{24} A_{\text{grav-grav-}\mathbb{Z}_N} = A_{G-G-\mathbb{Z}_N} \bmod \eta \quad \forall G$$

# A unique $\mathbb{Z}_4^R$ symmetry

☞ Assumptions:

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- $\mu$  term forbidden at perturbative level
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## Want to prove:

There is a unique  $\mathbb{Z}_4^R$  symmetry in the MSSM with these features

# Claim 1: it has to be an $R$ symmetry

- ☞ Anomaly coefficients for non- $R$  symmetry with  $SU(5)$  relations for matter charges

$$A_{SU(3)^2 - \mathbb{Z}_N} = \frac{9}{2} \mathbf{q_{10}} + \frac{3}{2} \mathbf{q_{\bar{5}}}$$

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**bottom-line:**

non- $R$   $\mathbb{Z}_N$  symmetry cannot forbid  $\mu$  term

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## Claim 3: The order has to be 4 (or 2)

- ☞ Anomaly coefficients for Abelian discrete  $R$  symmetry

$$A_{\text{SU}(3)^2 - \mathbb{Z}_N^R} = 6(\textcolor{blue}{q} - 1) + 3 = 6\textcolor{blue}{q} - 3$$

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$N = 2$  or  $N = 4$

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$$A_{\text{SU}(2)^2 - \mathbb{Z}_N^R} = \boxed{\text{however: there is no meaningful } \mathbb{Z}_2^R \text{ symmetry}}$$

cf. e.g. Dine & Kehayias (2009)

$$q_H \equiv q_{\bar{H}} \pmod{N} \quad \text{for } N \text{ even}$$

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$N = 4$  unique

# Unique $\mathbb{Z}_4^R$ symmetry

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- Higgs fields have charge  $q_H = q_{\bar{H}} = 0 \pmod{4}$

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e.g.  $q_H = q_{\bar{H}} = 16$

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gravitino contribution      gaugino contributions

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$$\frac{1}{24} A_{\text{grav}^2 - \mathbb{Z}_N^R} = \frac{1}{24} [-21 + 8 + 3 + 1 + 48(q - 1) + 2(q_H + q_{\bar{H}} - 2) - 1]$$

only defined  $\pmod{4}$

axino contribution

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**bottom-line:**

- $\mathbb{Z}_4^R$  is anomaly free via GS mechanism
- GS axino contribution important for gravitational anomaly

# $\mathbb{Z}_4^R$ literature

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- no discussion of mixed hypercharge nor gravitational anomalies

# Comment on schemes with SU(5) relations

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- ☞ There are only five viable charge assignments

$N$	$q_{\mathbf{10}}$	$q_{\bar{\mathbf{5}}}$	$q_H$	$q_{\bar{H}}$	$\rho$	$A_0^R(\text{MSSM})$
4	1	1	0	0	1	1
6	5	3	4	0	0	1
8	1	5	0	4	1	3
12	5	9	4	0	3	1
24	5	9	16	12	9	7

Recall

$$A_{G^2-\mathbb{Z}_N} = \sum_f \ell^{(f)} q^{(f)} \stackrel{!}{=} \rho \bmod \eta$$

$$A_{\text{grav}^2-\mathbb{Z}_N} = \sum_m q^{(m)} \stackrel{!}{=} \rho \bmod \eta$$

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- ☞  $N$  divides 24: hint at realization of  $\mathbb{Z}_N^R$  as discrete rotational symmetry in orbifolds

(The geometry of orbifolds with  $N = 1$  SUSY is constrained that the order of discrete  $R$  symmetries also divides 24)

# Implications of $\mathbb{Z}_4^R$

- ☞ Gauge invariant superpotential terms up to order 4

$$\begin{aligned}\mathcal{W} = & \mu \overline{H}H + \kappa_i L_i H \\ & + Y_e^{ij} L_i \overline{H} \overline{E}_j + Y_d^{ij} Q_i \overline{H} \overline{D}_j + Y_u^{ij} Q_i H \overline{U}_j \\ & + \lambda_{ijk} L_i L_j \overline{E}_k + \lambda'_{ijk} L_i Q_j \overline{D}_k + \lambda''_{ijk} \overline{U}_i \overline{D}_j \overline{D}_k \\ & + \kappa_{ij}^{(0)} H L_i H L_j + \kappa_{ijkl}^{(1)} Q_i Q_j Q_k L_\ell + \kappa_{ijkl}^{(2)} \overline{U}_i \overline{U}_j \overline{D}_k \overline{E}_\ell + \dots\end{aligned}$$

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appear at non-perturbative level

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also forbidden at  
non-perturbative level by  
non-anomalous  $\mathbb{Z}_2$  subgroup  
which is equivalent  
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non-perturbative generation of  $\mu$  solves the  $\mu$  problem

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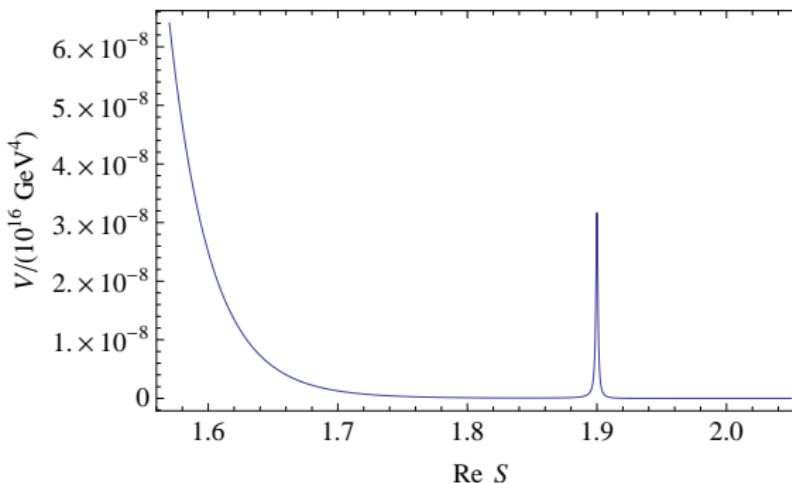
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 & + \lambda_{ijk} L_i L_j \overline{E}_k + \lambda'_{ijk} L_i Q_j \overline{D}_k + \lambda''_{ijk} \overline{U}_i \overline{D}_j \overline{D}_k \\
 & + \kappa_{ij}^{(0)} H L_i H L_j + \kappa_{ijkl}^{(1)} Q_i Q_j Q_k L_\ell + \kappa_{ijkl}^{(2)} \overline{U}_i \overline{U}_j \overline{D}_k \overline{E}_\ell + \dots
 \end{aligned}$$

non-perturbatively generated terms harmless

# Minimal realization of $\mathbb{Z}_4^R$

☞ MSSM + Kähler stabilized dilaton



- non-perturbative corrections to the Kähler potential lead to a bump in the potential of  $\text{Re } S$
- $\text{Im } S$  has a flat potential  $\sim$  GS axion remains light

# Minimal realization of $\mathbb{Z}_4^R$

- ☞ MSSM + Kähler stabilized dilaton
- ☞ Non-perturbative superpotential

$$\mathcal{W}_{\text{np}} \supset M_P^3 e^{-b S}$$

is  $\mathbb{Z}_4^R$  covariant (i.e. has  $R$  charge 2) as  $S \rightarrow S + \frac{i}{2} \Delta_{\text{GS}}$

- ☞ Comments:
  - Of course  $\mathcal{W}_{\text{np}}$  is just the effective description of some hidden sector strong dynamics
  - $\mathbb{Z}_4^R$  anomaly universality leads to non-trivial constraints on the ( $\beta$ -function) coefficient  $b$

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- ☞  $\langle \mathcal{W} \rangle$  breaks  $\mathbb{Z}_4^R$  down to matter parity
- ☞ Why is  $\mu \sim \langle \mathcal{W} \rangle$ ?

# Explicit

## string theory

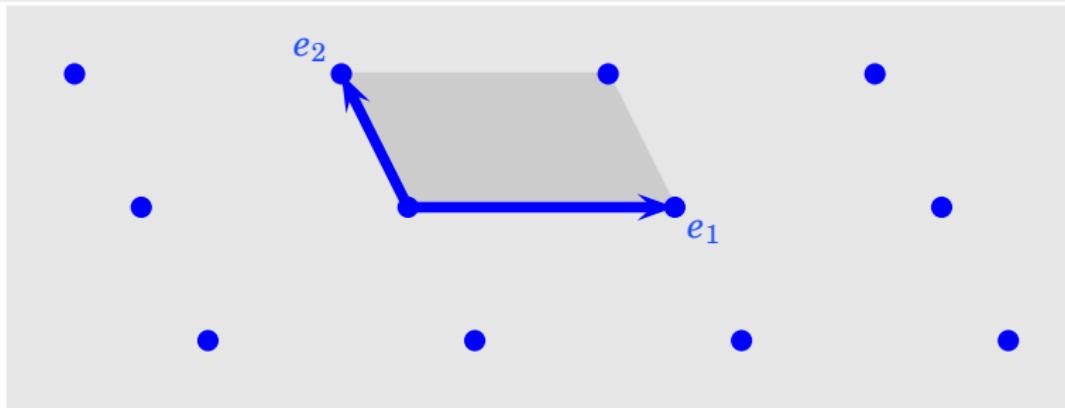
### realization

- origin of  $\mathbb{Z}_4^R$
- higher-dimensional operators (effective  $\mu$  term etc.)

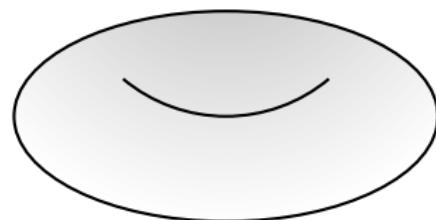
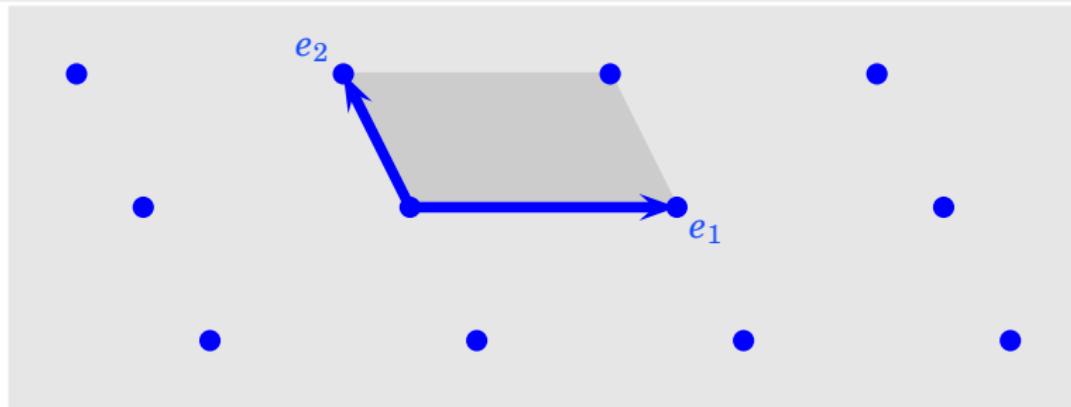
# The $\mathbb{Z}_2$ orbifold plane

2D space with  $SO(2)$  rotational symmetry

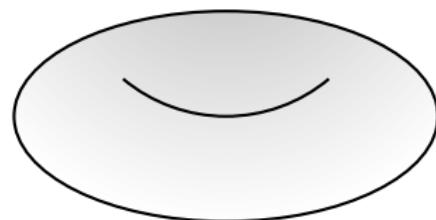
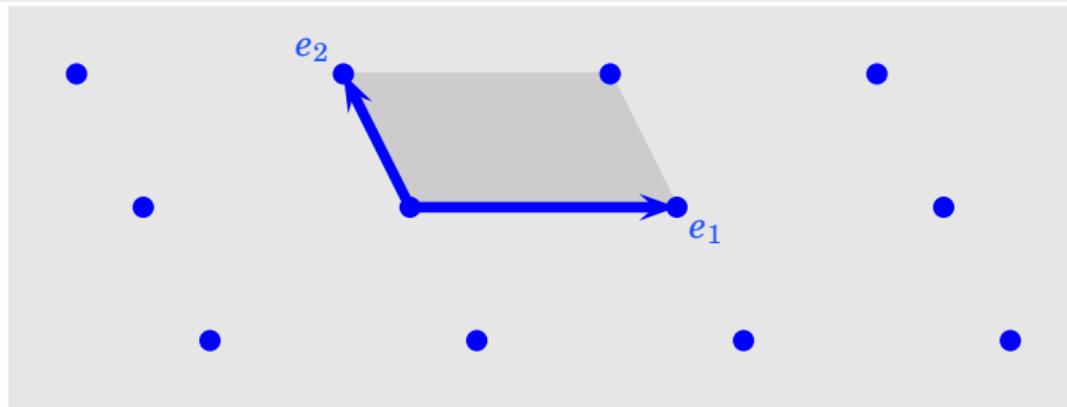
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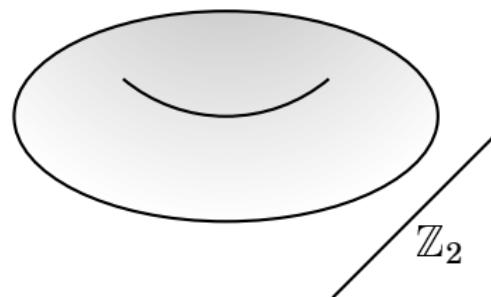
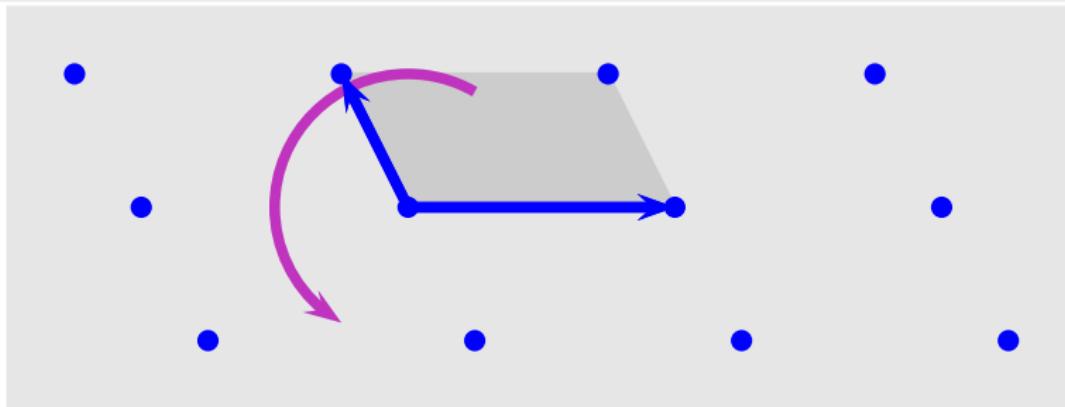
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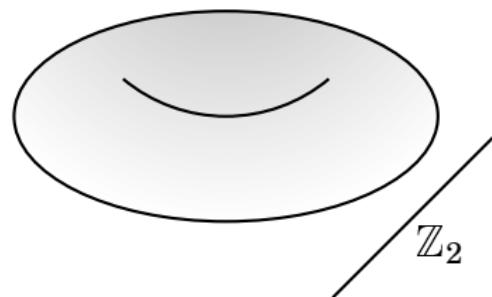
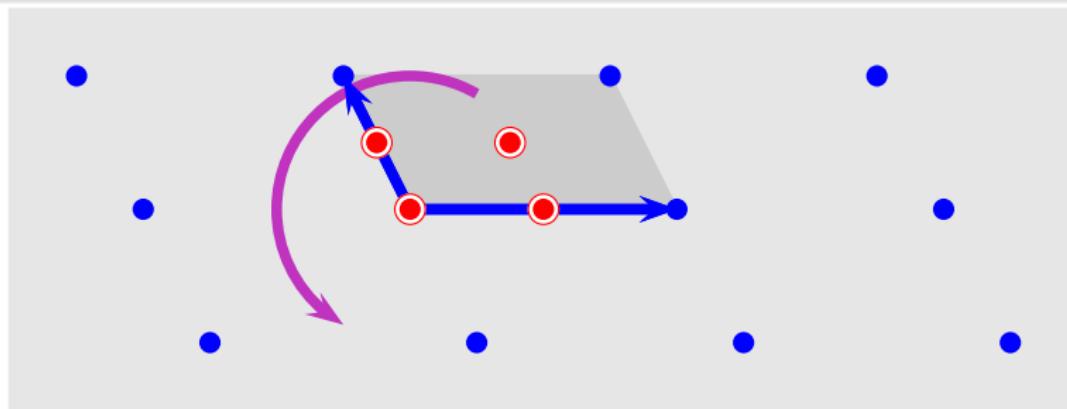
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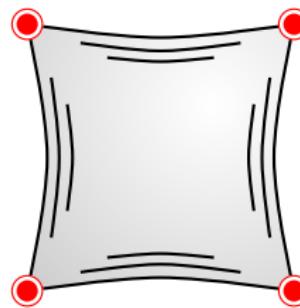
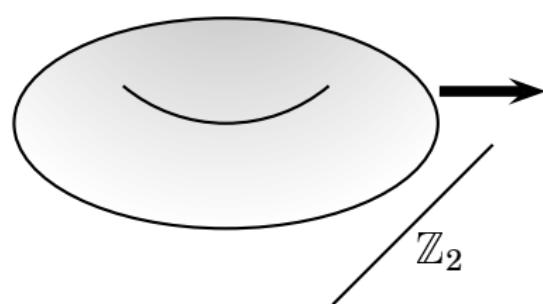
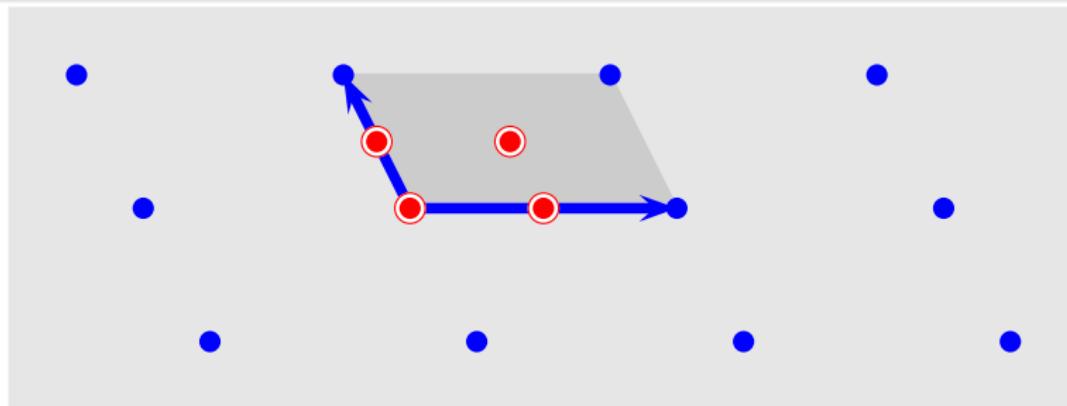
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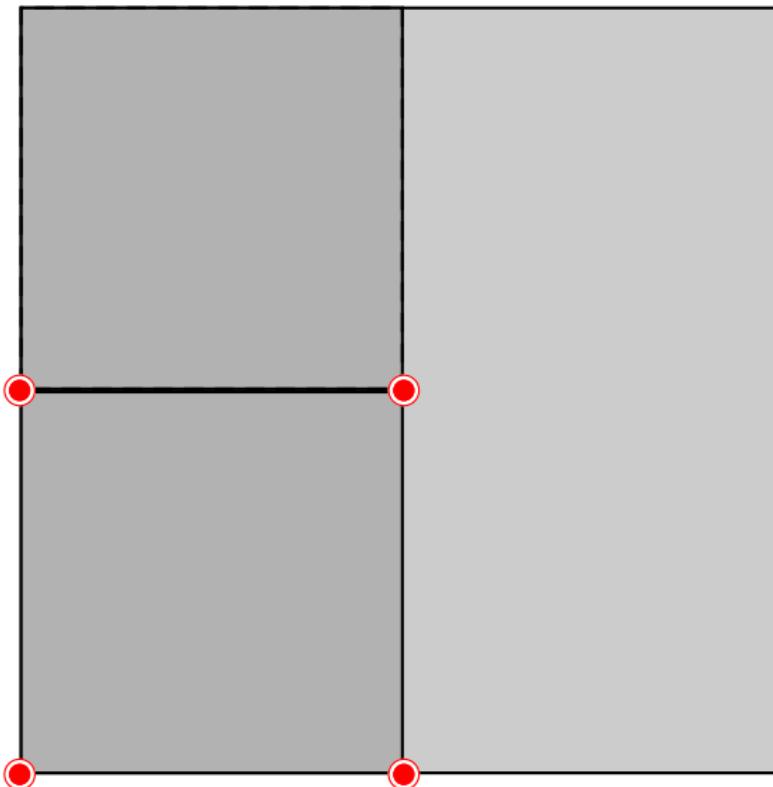
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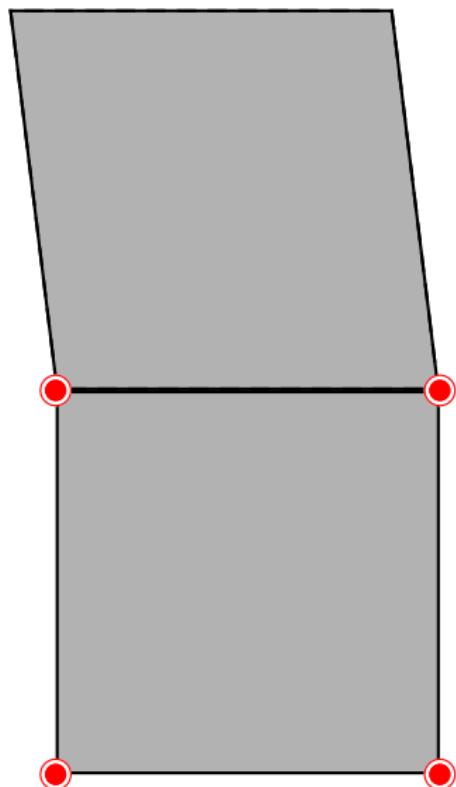
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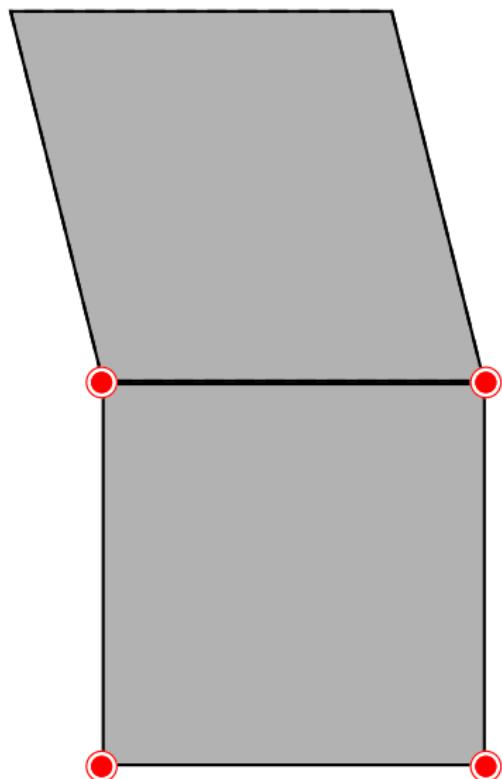
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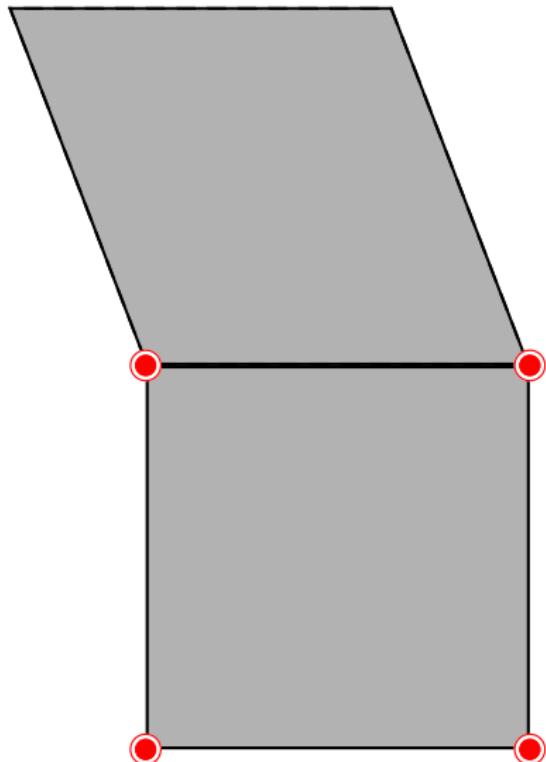
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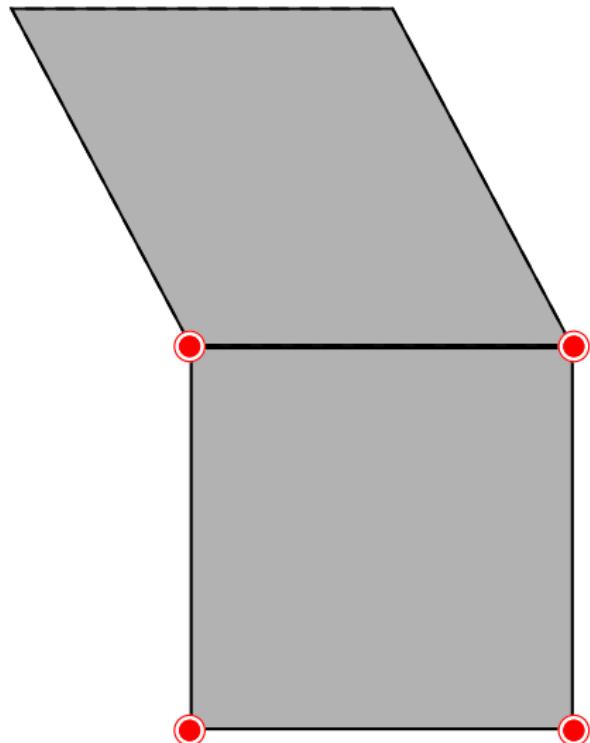
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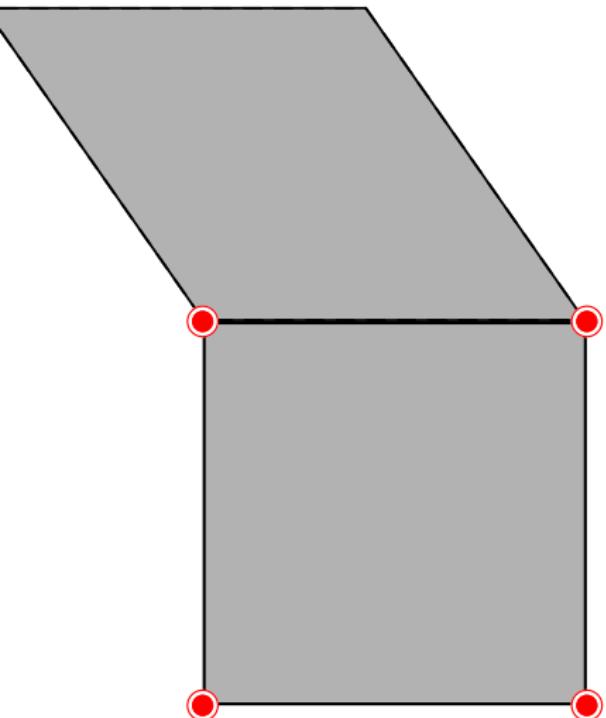
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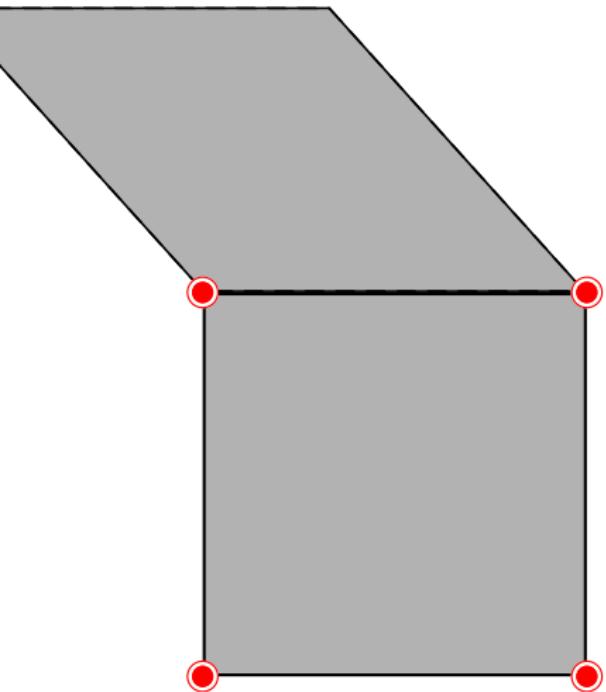
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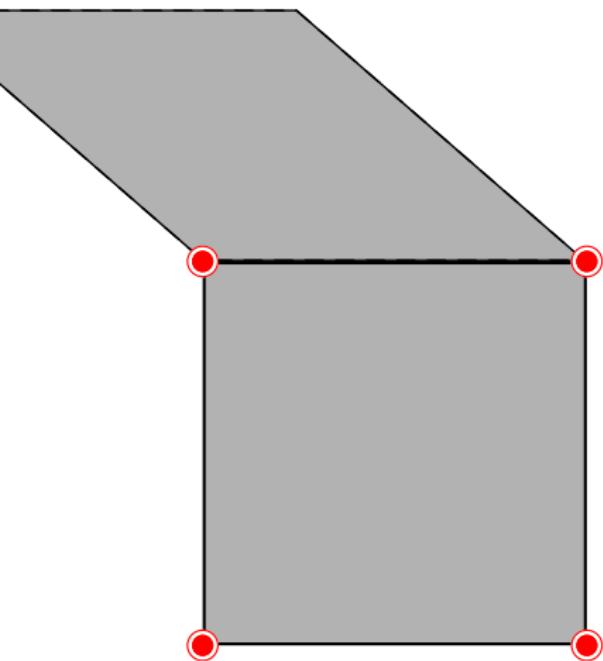
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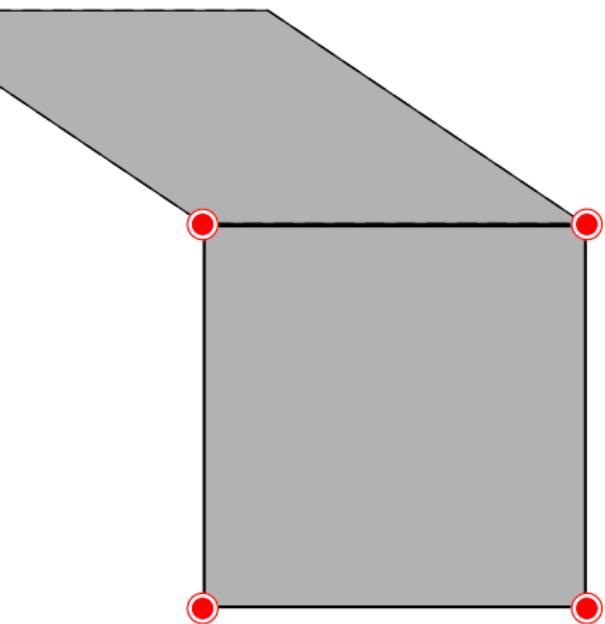
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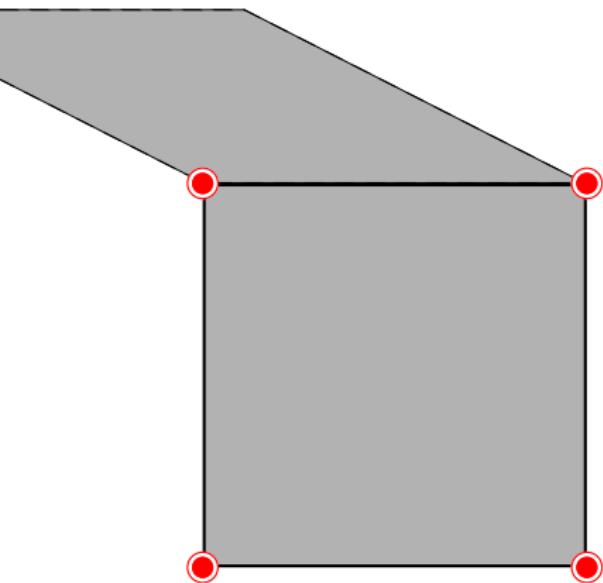
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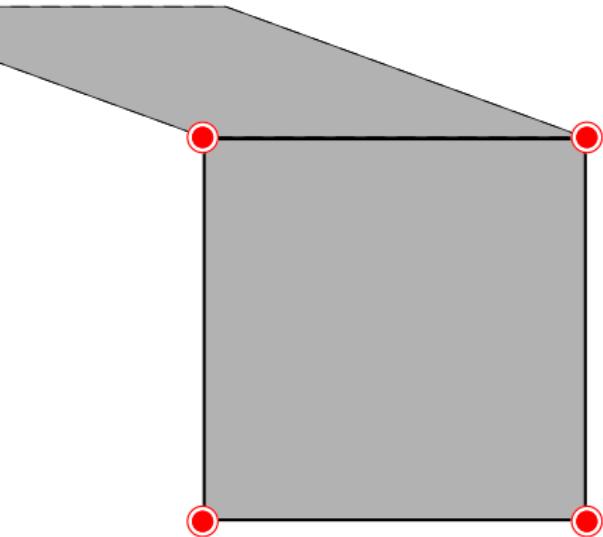
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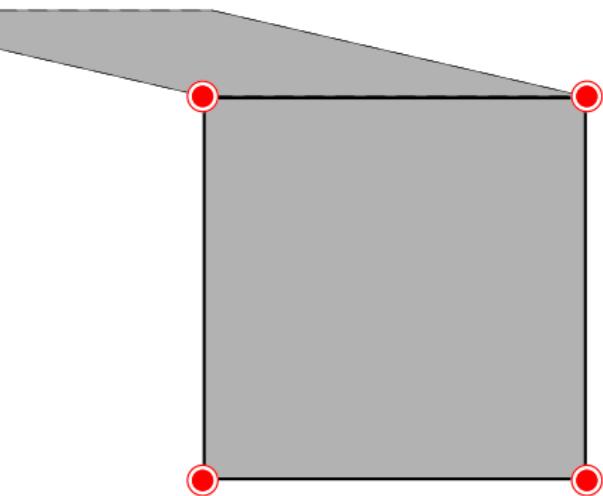
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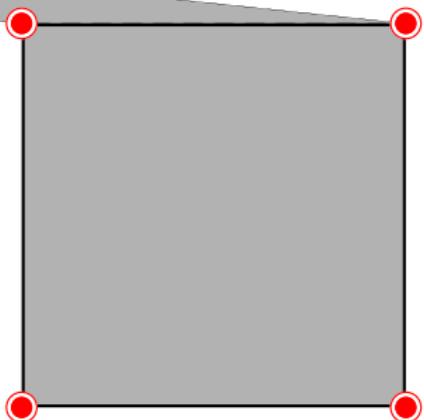
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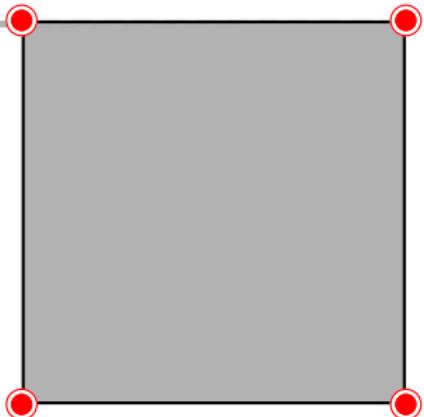
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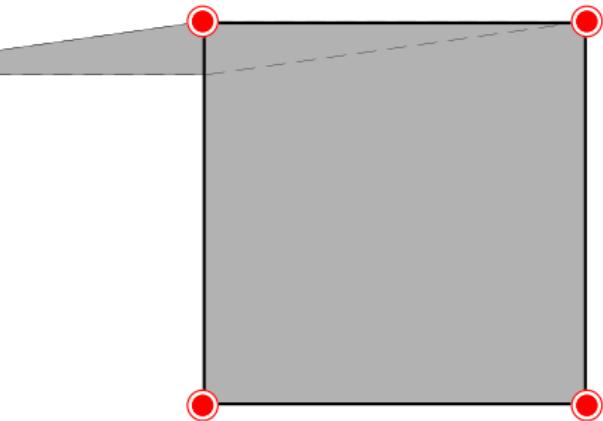
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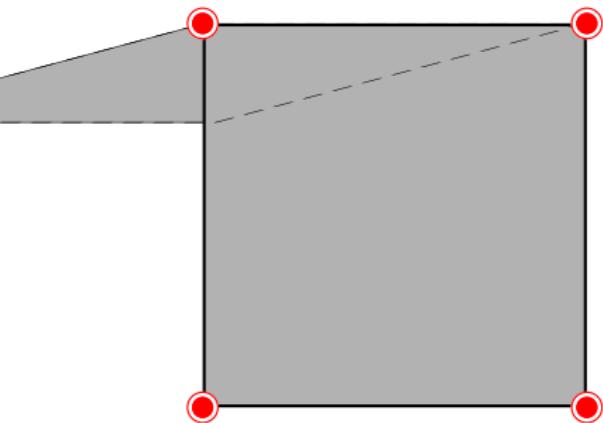
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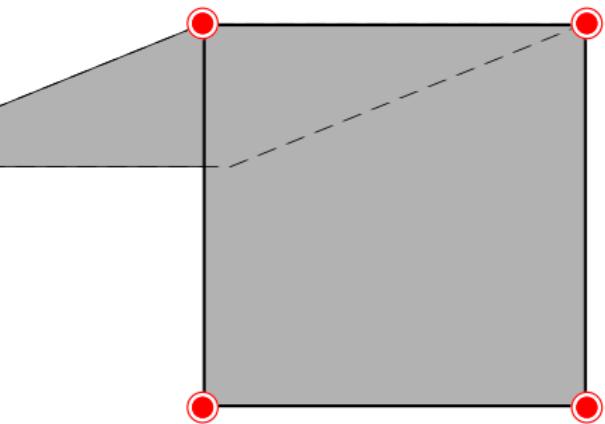
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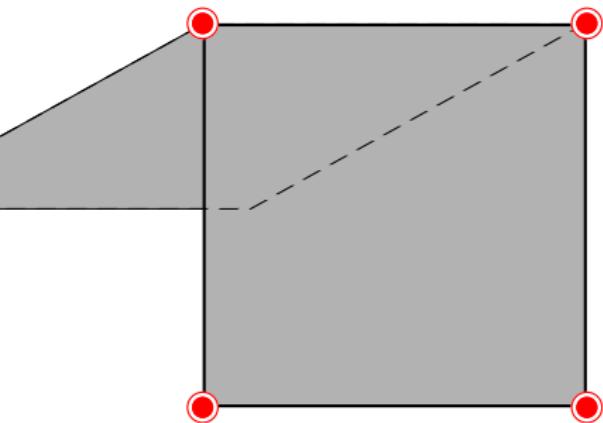
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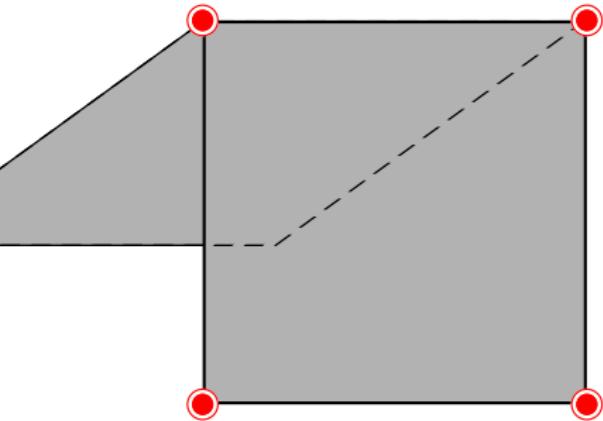
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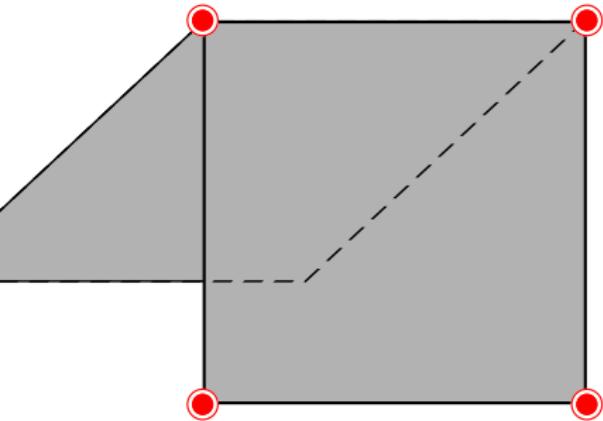
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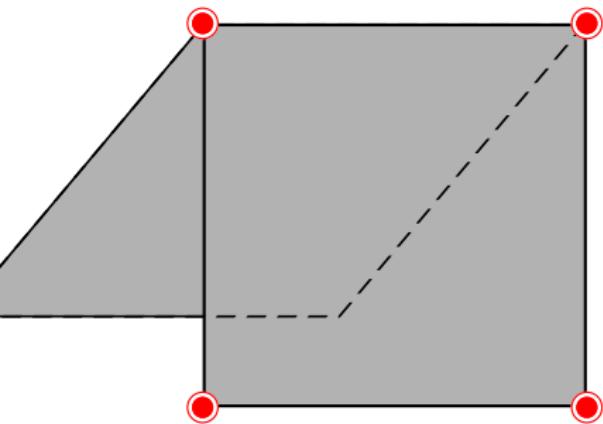
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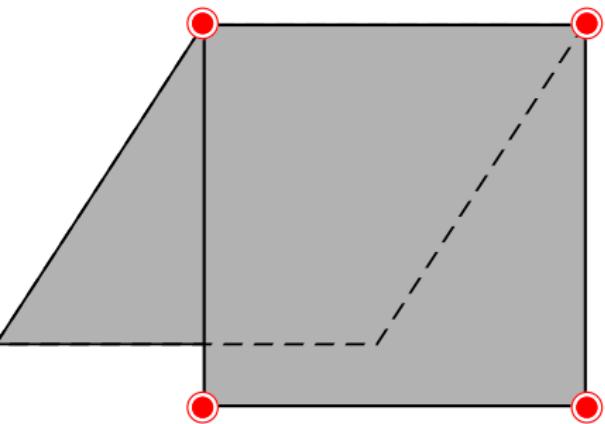
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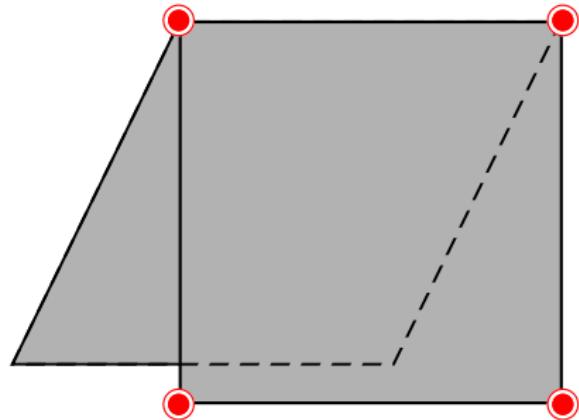
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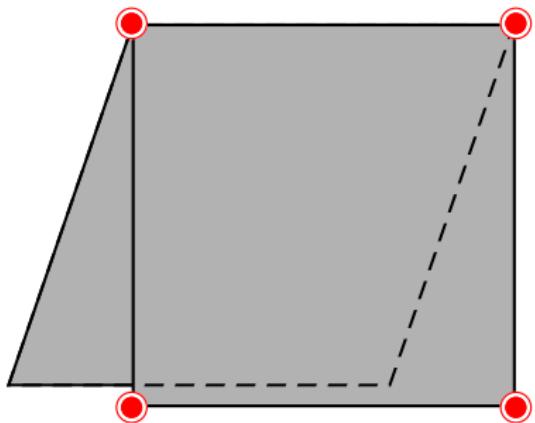
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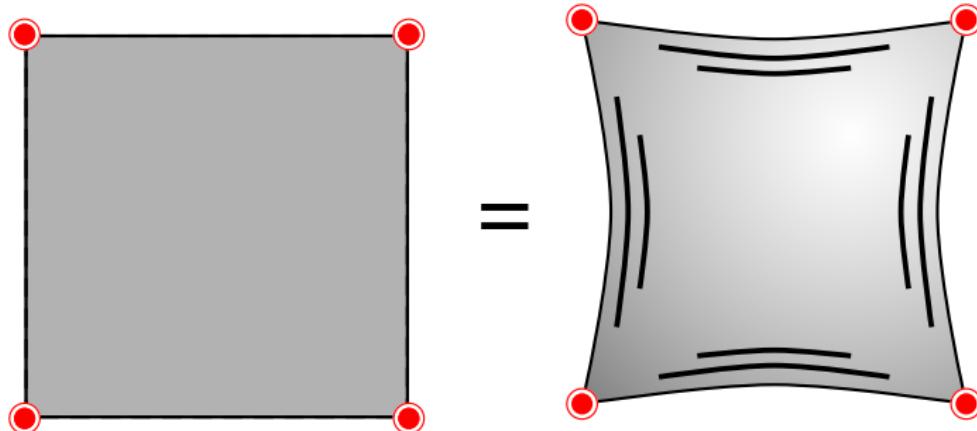
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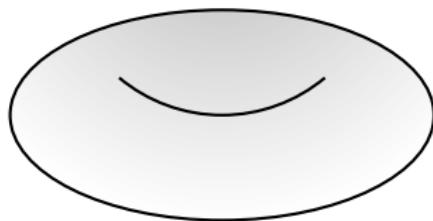


# The $\mathbb{Z}_2$ orbifold plane

- ☞ Orbifolds with  $\mathbb{Z}_2$  plane have three important properties:

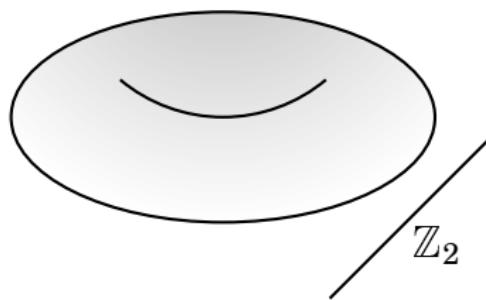
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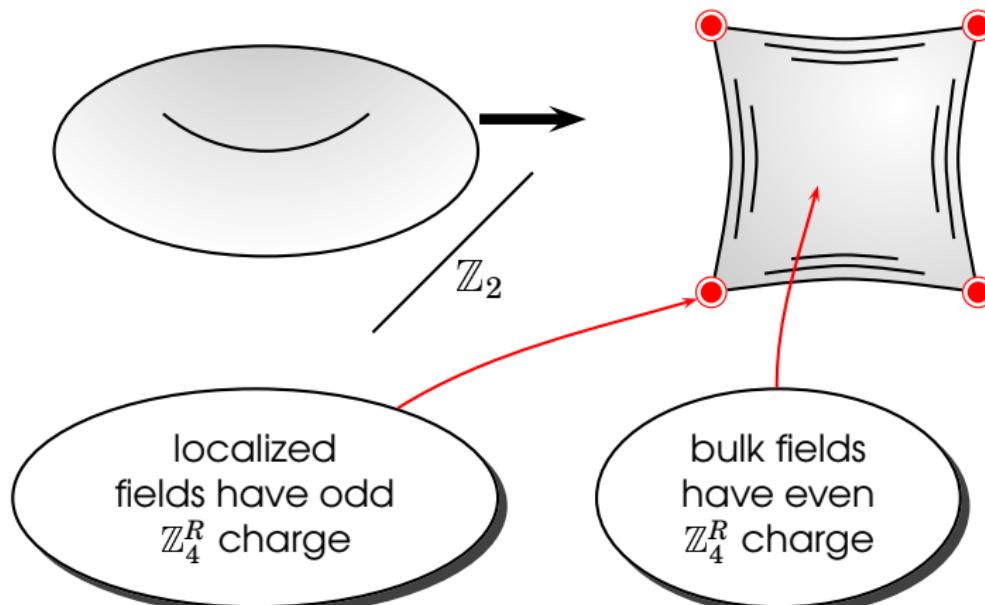
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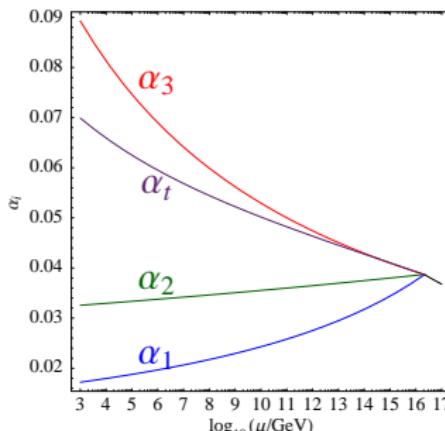
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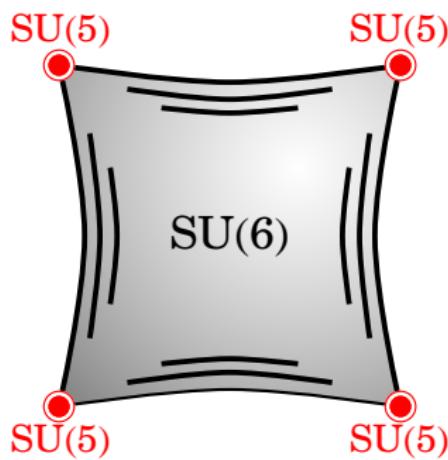
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- ➡ Rest of this talk: discuss globally consistent string model with these features

# $\mathbb{Z}_2 \times \mathbb{Z}_2$ orbifold example

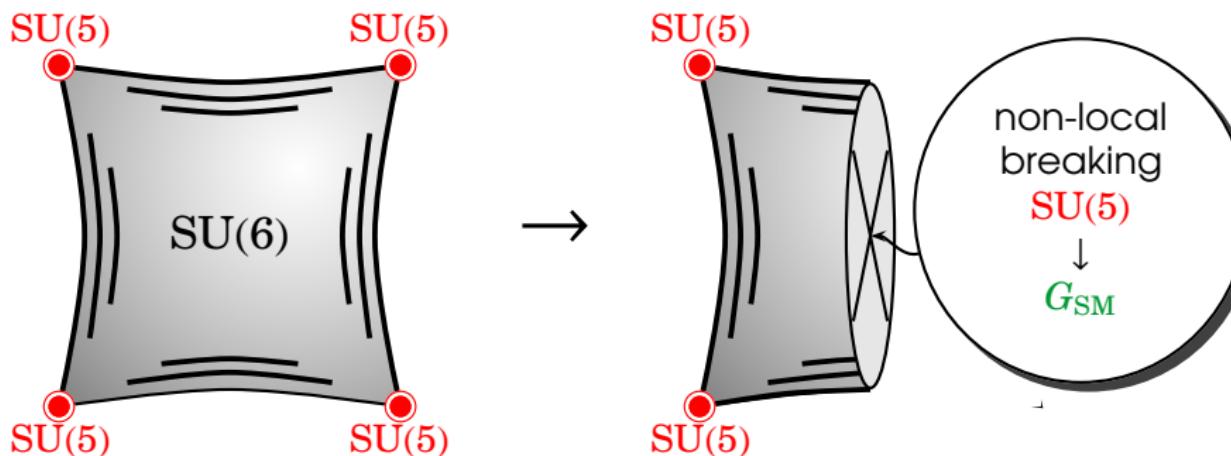
M. Blaszczyk, S. Groot Nibbelink, M.R., F. Ruehle, M. Trapletti, P. Vaudrevange (2009)



- ① step: 6 generation  $\mathbb{Z}_2 \times \mathbb{Z}_2$  model with  $SU(5)$  symmetry

$\mathbb{Z}_2 \times \mathbb{Z}_2$  orbifold example

M. Blaszczyk, S. Groot Nibbelink, M.R., F. Ruehle, M. Trapletti, P. Vaudrevange (2009)



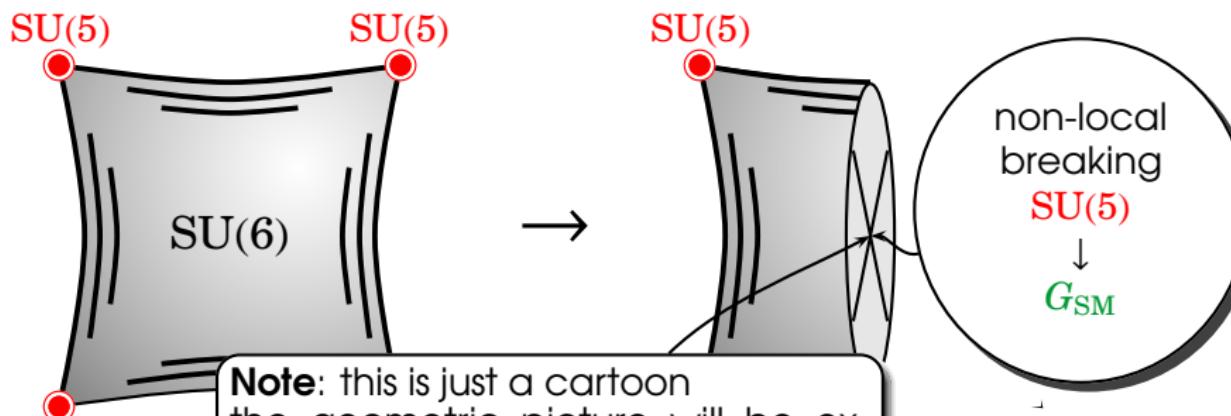
- 1 step: 6 generation  $\mathbb{Z}_2 \times \mathbb{Z}_2$  model with  $SU(5)$  symmetry
- 2 step: mod out a freely acting  $\mathbb{Z}_2$  symmetry which:
  - breaks  $SU(5) \rightarrow SU(3)_C \times SU(2)_L \times U(1)_Y$
  - reduces the number of generations to 3

analogous mechanism in CY MSSMs Bouchard &amp; Donagi (2005)

Braun, He, Ovrut, Pantev (2005)

$\mathbb{Z}_2 \times \mathbb{Z}_2$  orbifold example

M. Blaszczyk, S. Groot Nibbelink, M.R., F. Ruehle, M. Trapletti, P. Vaudrevange (2009)



**Note:** this is just a cartoon  
the geometric picture will be explained in more detail elsewhere

① step: 6 ge

M. Fischer, M.R., P. Vaudrevange (to appear)

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# Main features

① GUT symmetry breaking **non-local**

~ no 'logarithmic running above the GUT scale'

Hebecker, Trapletti (2004)

~ **precision gauge unification**

with **distinctive pattern of soft masses**

Raby, M.R., Schmidt-Hoberg (2009)

# Main features

- ① GUT symmetry breaking **non-local**
- ② **No localized flux** in **hypercharge** direction  
~ complete blow-up without breaking SM gauge symmetry in principle possible

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- ⑤ Various appealing features:

- vacua where **exotics** decouple at the linear level in SM singlets
- non-trivial Yukawa couplings
- gauge-top unification
- $SU(5)$  relation  $y_\tau \simeq y_b$  (but also for light generations)

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- ☞ We succeeded in finding vacua with the 'anomalous'  $\mathbb{Z}_4^R$
- :( In the Blaszczyk et al. model all vacua have an **extra**  $\mathbb{Z}_2^{\text{nasty}}$ , which leads to rank 2  $Y_e$  and  $Y_d$  Yukawa couplings
- :) There are similar  $\mathbb{Z}_2 \times \mathbb{Z}_2$  models without this problem but all the good features
  - ✓  $F$ - and  $D$ -flatness explicitly verified
  - ✓ exotics decouple at the linear level in SM singlets, i.e. just MSSM below GUT scale with masslessness of Higgs fields ensured by  $\mathbb{Z}_4^R$
  - ✓ non-trivial full-rank Yukawa couplings
  - ✓ gauge-top unification
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- ☞ We succeeded in finding vacua with the 'anomalous'  $\mathbb{Z}_4^R$
- :( In the Blaszczyk et al. model all vacua have an extra  $\mathbb{Z}_2^{\text{nasty}}$ , which leads to rank 2  $Y_e$  and  $Y_d$  Yukawa couplings
- :) There are similar  $\mathbb{Z}_2 \times \mathbb{Z}_2$  models without this problem but all the good features
  - ✓  $F$ - and  $D$ -flatness explicitly verified
  - ✓ exotics decouple at the linear level in SM singlets, i.e. just MSSM below GUT scale with masslessness of Higgs fields ensured by  $\mathbb{Z}_4^R$
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- ☞ Note: the 'anomalous'  $\mathbb{Z}_4^R$  does **not** come from the 'anomalous' U(1)!

...rather the discrete symmetries of the orbifold point can appear 'anomalous' by themselves

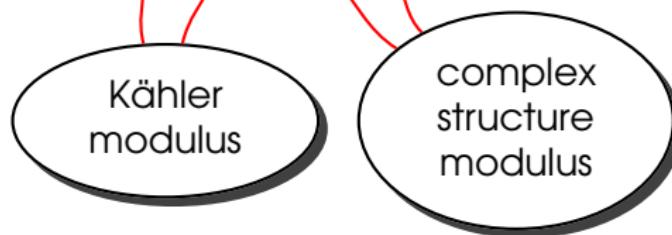
# $\mu$ from $\mathcal{W}$ in models with $\mathbb{Z}_2$ plane

F. Brümmer, R. Kappl, M.R., K. Schmidt-Hoberg (2009)

☞ Higher-dimensional gauge invariance  $\curvearrowright$  Kähler potential

Antoniadis, Gava, Narain &amp; Taylor (1994); Choi et al. (2003)

$$K = -\ln \left[ \left( \mathbf{T}_3 + \overline{\mathbf{T}}_3 \right) \left( \mathbf{Z} + \overline{\mathbf{Z}} \right) - \left( H_u + \overline{H}_d \right) \left( H_d + \overline{H}_u \right) \right]$$



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 Higgs fields  
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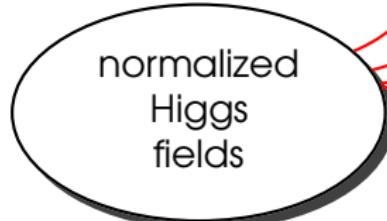
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**bottom-line:** $\mu$  term proportional to  $\langle \Omega \rangle$

Non-perturbative violation of  $\mathbb{Z}_4^R$  (cont'd)

- Since  $H_u H_d$  is proportional to  $\langle \mathcal{W} \rangle$  we will get a holomorphic contribution to the  $\mu$  term of the right order

Kim &amp; Nilles (1983); Casas &amp; Muñoz (1992)

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- Whatever gives us  $\langle \mathcal{W} \rangle$  will be a measure for  $\mathbb{Z}_4^R$  breaking
- ... for instance, one may replace/describe hidden sector superpotential by gaugino condensate

$$\langle \mathcal{W} \rangle \simeq \langle \lambda \lambda \rangle \simeq \Lambda^3$$

Nilles (1982)

- this is consistent with a non-perturbative breaking of  $\mathbb{Z}_4^R$
- this assumes that the dilaton is fixed somehow (e.g. Kähler stabilization)

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$$\mathcal{W}_{QQQL}^{\text{np}} \sim \frac{\langle \mathcal{W} \rangle}{M_P^4} Q Q Q L \sim \frac{m_{3/2}}{M_P} \frac{1}{M_P} Q Q Q L \sim 10^{-15} \frac{1}{M_P} Q Q Q L$$

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- No  $R$  parity violation because  $\mathbb{Z}_4^R$  has a non-anomalous subgroup which is equivalent to matter parity

# **Summary**

**&**

# **outlook**

## Summary – bottom-up

- ☞ A simple 'anomalous'  $\mathbb{Z}_4^R$  symmetry can
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universal charges for matter  
forbid  $\mu$  @ tree-level  
allow Yukawa couplings  
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}  $\leadsto$  unique  $\mathbb{Z}_4^R$

$\mathbb{Z}_4^R \leadsto \left\{ \begin{array}{l} \text{dim. 4 proton decay operators completely forbidden} \\ \text{dim. 5 proton decay operators highly suppressed} \\ \mu \text{ appears non-perturbatively} \end{array} \right.$

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- ☞ Embedding into string theory allows us to understand where the  $\mathbb{Z}_4^R$  symmetry comes from: it may arise as a discrete remnant of [Lorentz symmetry in extra dimensions](#)

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## Summary – top-down

- ☞ Embedding into string theory allows us to understand where the  $\mathbb{Z}_4^R$  symmetry comes from: it may arise as a discrete remnant of **Lorentz symmetry in extra dimensions**
- ☞ Such symmetries are on the same footing as the **fundamental symmetries  $C$ ,  $P$  and  $T$**
- ☞ Guided by the (unique)  $\mathbb{Z}_4^R$  symmetry we have constructed a globally consistent string model with:
  - exact MSSM spectrum
  - non-trivial Yukawa couplings
  - exact matter parity
  - $\mu \sim m_{3/2}$
  - dimension five proton decay operators sufficiently suppressed

**Vielen  
Dank!**