## Advanced Quantum Theory

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-Exercises-

# 13.1 (Anti-) Symmetrising Operators $\hat{S}_{\pm}$

Assume that  $\{|i_k\rangle\}$  form a complete basis of orthonormal states in the Hilbert space of single particle states.

- a) Show that the (anti-)symmetrising operators  $\hat{S}_{\pm}$  acting on the Hilbert space of N-particle states obey  $(S_{\pm})^2 = \sqrt{N!} \hat{S}_{\pm}$ . (1 Pt)
- b) Show that the set of (anti-)symmetric states  $\{\hat{S}_{\pm} | i_1, i_2, \cdots, i_N \rangle\}$  yields a complete set of (anti-) symmetric states in the Hilbert space of (anti-) symmetrised N-particle states, i.e., any state  $|\psi\rangle_{s/a}$  in this Hilbert space can be expanded in terms of the above defined set. (1 Pt)

## 13.2 Three particle State and $S_3$

Consider the 3-particle state  $|i_1, i_2, i_3\rangle = |i_1\rangle \otimes |i_2\rangle \otimes |i_3\rangle$ .

- a) Apply the permutation group  $S_3$  on the three-particle state  $|i_1, i_2, i_3\rangle$  to construct a sixdimensional Hilbert space of 3-particle states. (1 Pt)
- b) Compute the totally symmetric and anti-symmetric subspaces for bosonic and fermionic particles, respectively. (1 Pt)

### 13.3 Creation and Annihilation Operators

In the lecture the bosonic Fock space was introduced along with the creation operator  $\hat{a}_k^{\dagger}$  with the property

$$\hat{a}_{k}^{\dagger} | n_{1}, \cdots, n_{k}, \cdots \rangle = \sqrt{n_{k} + 1} | n_{1}, \cdots, n_{k} + 1, \cdots \rangle.$$

$$(1)$$

Here  $|n_1, \dots, n_k, \dots \rangle$  is a totally symmetric state with occupation numbers  $n_1, n_2, \dots$ .

a) Argue that the annihilation operator  $\hat{a}_k$  acts as,

$$\hat{a}_k | n_1, \cdots, n_k, \cdots \rangle = \sqrt{n_k} | n_1, \cdots, n_k - 1, \cdots \rangle .$$
<sup>(2)</sup>

(1 Pt)

b) Prove the commutation relation  $[\hat{a}_k, \hat{a}_l^{\dagger}] = \delta_{k,l}$  . (2 Pts)

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c) For the bosonic number operator  $\hat{n}_k = \hat{a}_k^{\dagger} \hat{a}_k$ , compute that

$$\hat{n}_k | n_1, n_2, \cdots, n_k, \cdots \rangle = n_k | n_1, n_2, \cdots, n_k, \cdots \rangle .$$
(3)

(1 Pt)

Similarly, for the fermionic Fock space with the creation operators  $\hat{b}_k^{\dagger}$ , it was stated

$$\hat{b}_{k}^{\dagger} | n_{1}, \cdots, n_{k}, \cdots \rangle = (1 - n_{k})(-1)^{\sum_{j < k} n_{j}} | n_{1}, \cdots, n_{k} + 1, \cdots \rangle.$$
(4)

Here  $|n_1, \cdots, n_k, \cdots \rangle$  is a totally anti-symmetric state.

d) Argue that the annihilation operator  $\hat{b}_k$  acts as

$$\hat{b}_k |n_1, \cdots, n_k, \cdots \rangle = (n_k)(-1)^{\sum_{j < k} n_j} |n_1, \cdots, n_k - 1, \cdots \rangle$$
(5)

(1 Pt)

- e) Prove the anti-commutation relation  $\{\hat{b}_k, \hat{b}_l^{\dagger}\} = \delta_{k,l}$  . (2 Pts)
- f) For the fermionic number operator  $\hat{n}_k = \hat{b}_k^{\dagger} \hat{b}_k$ , compute

$$\hat{n}_k | n_1, n_2, \cdots, n_k, \cdots \rangle = n_k | n_1, n_2, \cdots, n_k, \cdots \rangle .$$
(6)

(1 Pt)

#### 13.4 The Heisenberg XY Model

Consider a one-dimensional chain of L 'sites' which can be in different states. The chain has a Hamiltonian that can be written as<sup>1</sup>

$$\hat{H} = -\frac{J}{2} \sum_{n=1}^{L} \left( \hat{b}_{n}^{\dagger} \hat{b}_{n+1} + \hat{b}_{n+1}^{\dagger} \hat{b}_{n} \right) \; ; \; J > 0 \; ,$$

where  $\hat{b}_n^{\dagger}$ ,  $\hat{b}_n$  are canonical fermionic creation and annihilation operators at site *n* and we assume the periodic boundary condition  $\hat{b}_{n+L} = \hat{b}_n$ .

a) Define

$$\hat{f}_k = \frac{1}{\sqrt{L}} \sum_{n=1}^{L} e^{-ikn} \hat{b}_n \,.$$

Determine the possible values of k such that the operators  $\hat{f}_k$  are consistent under the shift  $n \mapsto n + L$  of the summation index. Show that the set of allowed  $\hat{f}_k$ ,  $\hat{f}_k^{\dagger}$  satisfy canonical fermionic anticommutation relations. (2 Pts)

b) Show that the  $\hat{f}_k^{\dagger}$  create single particle energy eigenstates in the tight-binding chain, *i.e.*, show that  $[H, \hat{f}_k^{\dagger}] = E_k \hat{f}_k^{\dagger}$ , and determine  $E_k$ . (3 Pts)

<sup>&</sup>lt;sup>1</sup>Such a model arises for example for a chain of atoms, where each atom provides a slot for a tightly bound electron, and the energy is effectively given by the interatomic matrix element of overlap between neighbouring atoms (*i.e.*, this matrix element defines J).

c) Show that the  $\hat{f}_k$  diagonalise the Hamiltonian:  $\hat{H} = \sum_k E_k \hat{f}_k^{\dagger} \hat{f}_k$ , where the sum runs over a maximal set of k such that the  $\hat{f}_k$  are pairwise different. (3 Pts)

While negative (positive) energies  $E_k$  may be associated to bonding (antibonding) orbitals in the atomic chain, the tight binding model is also used as a simple example of electric band structure. In this case, the ground state is defined as the one in which precisely all states with negative energy are filled:

$$\left|\psi_{0}\right\rangle := \prod_{k:E_{k} < 0} \hat{f}_{k}^{\dagger} \left|0\right\rangle \,,$$

where  $|0\rangle$  denotes the completely unoccupied state in the occupation basis.

d) Verify that this means that the chain is half-filled for large L:

$$\lim_{L \to \infty} \frac{1}{L} \left\langle \psi_0 \right| \hat{N} \left| \psi_0 \right\rangle \ = \ \frac{1}{2} \,,$$

where  $\hat{N}$  is the total number operator of fermionic excitations. (3 Bonus Pts)

e) In the band structure interpretation, modes which are less than half filled are interpreted as 'hole' excitations. Consider the "physical" ladder operators defined by

$$\hat{s}_k = \begin{cases} \hat{f}_k, & E_k \ge 0, \\ \hat{f}_{-k}^{\dagger}, & E_k < 0. \end{cases}$$

Which anticommutation relations do the  $\hat{s}_k$  and  $\hat{s}_k^{\dagger}$  satisfy, and what is their interpretation? Show that  $H = \sum_k |E_k| \hat{s}_k^{\dagger} \hat{s}_k + H_0$ , where  $H_0$  is some constant. Determine the energy density  $\hat{H}/L$  of the ground state  $|\psi_0\rangle$  in the limit  $L \to \infty$ . (4 Bonus Pts)