

Superconductivity and Ginzburg-Landau theory

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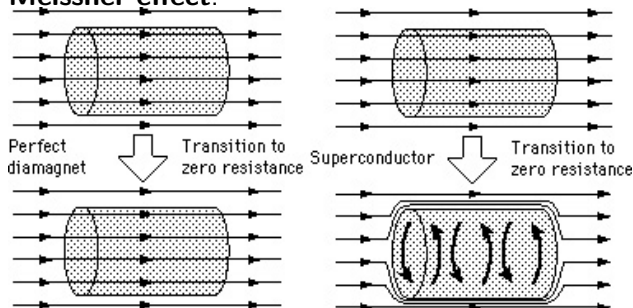
Structure

- 1 Meissner-Effect London-Equation
- 2 Hubbard Stratonovich transformation
- 3 Application to BCS-Hamiltonian
- 4 Ginzburg-Landau theory
- 5 Anderson-Higgs effect

Meissner-Effect

Superconductivity is the consequence of an **electron-phonon interaction**.

One of the most striking features of a superconductor is the **Meissner effect**.



Meissner-Effect and London-Equation

For the equation of motion for an electron a field \mathbf{E} is:

$$m \frac{d}{dt} \mathbf{v} = e \frac{d}{dt} \mathbf{E}$$

$$\frac{d}{dt} \mathbf{j} \underbrace{=}_{\mathbf{j} = nev} \frac{ne^2}{m} \frac{d}{dt} \mathbf{E}$$

Meissner-Effect and London-Equation

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$$\frac{d}{dt} \mathbf{j} \underbrace{=}_{\mathbf{j} = nev} \frac{ne^2}{m} \frac{d}{dt} \mathbf{E}$$

Because of:

$$\mathbf{E} = -\frac{1}{c} \frac{d}{dt} \mathbf{A} - \nabla \Phi$$

We obtain the London-ansatz for \mathbf{j} ($\Phi = 0$):

London-ansatz

$$\mathbf{j} = -\frac{n_s e^2}{mc} \mathbf{A}$$

with n_s the density of superconducting electrons.

Meissner-Effect and London-Equation

Take the rotation:

$$\partial_x \mathbf{j} = -\frac{n_s e^2}{mc} \partial_x \mathbf{A} = -\frac{n_s e^2}{mc} \mathbf{B}$$

Meissner-Effect and London-Equation

Take the rotation:

$$\partial_x \mathbf{j} = -\frac{n_s e^2}{mc} \partial_x \mathbf{A} = -\frac{n_s e^2}{mc} \mathbf{B}$$

Because of Maxwell equation $\partial_x \mathbf{B} = \frac{4\pi}{c} \mathbf{j}$ and $\partial \mathbf{B} = 0$:

$$\begin{aligned} \partial_x \partial_x \mathbf{B} &= \frac{4\pi}{c} \partial_x \mathbf{j} = \frac{4\pi n_s e^2}{mc^2} \mathbf{B} \\ &= -\Delta \mathbf{B} + \partial(\partial \mathbf{B}) = -\Delta \mathbf{B} \end{aligned}$$

it follows:

$$\Delta \mathbf{B} = \frac{4\pi n_s e^2}{mc^2} \mathbf{B} \quad \Delta \mathbf{j} = \frac{4\pi n_s e^2}{mc^2} \mathbf{j}$$

Meissner-Effect and London-Equation

Inspect this equation in the case of:

- No superconductor at $z < 0$
- A superconductor at $z > 0$

$$\frac{\partial^2}{\partial z^2} \mathbf{B}(z) = \frac{4\pi n_s e^2}{mc^2} \mathbf{B}(z)$$

Meissner-Effect and London-Equation

Inspect this equation in the case of:

- No superconductor at $z < 0$
- A superconductor at $z > 0$

$$\frac{\partial^2}{\partial z^2} \mathbf{B}(z) = \frac{4\pi n_s e^2}{mc^2} \mathbf{B}(z)$$

Solution

$$\mathbf{B}(z) = \mathbf{B}_o e^{-\frac{z}{\lambda_L}}$$

$$\lambda_L = \sqrt{\frac{mc^2}{4\pi n_s e^2}}$$

λ_L : London penetration depth.

Hubbard Stratonovich transformation

Hubbard Stratonovich transformation maps **interacting** fermion systems to **non-interacting** fermions moving in an **effective field**.

→ Interacting has to contain fermion bilinears

$$H = H_o + H_I \quad \text{with} \quad H_I = -g \int d^3x A^+(x)A(x)$$

examples $A(x) = \Psi_{\downarrow}(x)\Psi_{\uparrow}(x)$ or $A(x) = S^-(x)$

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Replacement:

$$-gaA^+(x)A(x) \rightarrow A^+(x)\Delta(x) + \bar{\Delta}A(x) + \frac{\Delta(\bar{x})\Delta(x)}{g}$$

Like in mean field theories.

Hubbard Stratonovich transformation

$$\begin{aligned}\Delta &= \Delta_1 + i\Delta_2 & \bar{\Delta} &= \Delta_1 - i\Delta_2 \\ \int d\Delta_1 d\Delta_2 e^{-\frac{(\Delta_1^2 + \Delta_2^2)}{g}} &= \pi g \\ \Rightarrow \int \frac{d\Delta d\bar{\Delta}}{2\pi i g} e^{\frac{\bar{\Delta}\Delta}{g}} &= 1\end{aligned}$$

Hubbard Stratonovich transformation

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$$\Rightarrow \int \frac{d\Delta d\bar{\Delta}}{2\pi i g} e^{\frac{\bar{\Delta}\Delta}{g}} = 1$$

Generalize to $\Delta(x, t)$:

$$\int \mathcal{D}[\Delta, \bar{\Delta}] \exp\left(-\int d^3x \int_0^\beta d\tau \frac{\Delta(\bar{x}, \tau)\Delta(x, \tau)}{g}\right) = 1$$

$$\mathcal{D}[\Delta, \bar{\Delta}] \equiv \prod_{\tau_j} \frac{d\Delta(\bar{x}_j, \tau) d\Delta(x_j, \tau)}{\mathcal{N}}$$

Hubbard Stratonovich transformation

$$\mathcal{Z} = \int \mathcal{D}[c, \bar{c}] e^{-\int_0^\beta d\tau [\bar{c}(\partial_\tau + h)c + H_I]} \quad h = \epsilon_a \delta_{ab}$$

By introducing a 1 we obtain:

$$\mathcal{Z} = \int \mathcal{D}[c, \bar{c}] \int \mathcal{D}[\Delta, \bar{\Delta}] e^{-\int_0^\beta d\tau [\bar{c}(\partial_\tau + h)c + H'_I]}$$

$$H'_I = \int d^3x \left[\frac{\bar{\Delta}\Delta}{g} - g\bar{A}(x)A(x) \right]$$

We now shift the Δ -field:

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We now shift the Δ -field:

$$\Delta(x) \rightarrow \Delta(x) + gA(x) \quad \bar{\Delta}(x) \rightarrow \bar{\Delta}(x) + g\bar{A}(x)$$

$$\Rightarrow H'_I = \int d^3x \left[\bar{A}(x)\Delta(x) + \bar{\Delta}A(x) + \frac{\bar{\Delta}(x)\Delta(x)}{g} \right]$$

Hubbard Stratonovich transformation

First result

We have absorbed the interaction, replacing it by an **effective action** which couples to the fermion bilinear A .

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$$\mathcal{Z} = \int \mathcal{D}[\Delta, \bar{\Delta}] e^{-\int d^3x d\tau \frac{\bar{\Delta}\Delta}{g}} \int \mathcal{D}[c, \bar{c}] e^{-\tilde{S}}$$

$$\tilde{S} = \int_0^\beta d\tau \bar{c} \partial_\tau c + H_{\text{eff}}[\Delta, \bar{\Delta}]$$

$$H_{\text{eff}}[\Delta, \bar{\Delta}] = H_o + \int d^3x [\bar{A}(x)\Delta(x) + \bar{\Delta}A(x)]$$

Please note that \tilde{S} is quadratic in the fermion operators.

Hubbard Stratonovich transformation

$$\int \mathcal{D}[c, \bar{c}] e^{-\tilde{S}} = \det[\partial_\tau + h_{eff}[\Delta, \bar{\Delta}]]$$

with h_{eff} the matrix representation of H_{eff} .

Hubbard Stratonovich transformation

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with h_{eff} the matrix representation of H_{eff} .

This leads to:

$$\begin{aligned} \mathcal{Z} &= \int \mathcal{D}[\Delta, \bar{\Delta}] e^{-S_{eff}[\Delta, \bar{\Delta}]} \\ S_{eff}[\Delta, \bar{\Delta}] &= \int d^3x d\tau \frac{\bar{\Delta} \Delta}{g} - \ln \det[\partial_\tau + h_{eff}[\Delta, \bar{\Delta}]] \\ &= \int d^3x d\tau \frac{\bar{\Delta} \Delta}{g} - \text{Tr} \ln[\partial_\tau + h_{eff}[\Delta, \bar{\Delta}]] \end{aligned}$$

BCS-Hamiltonian

$$H = \sum_{\mathbf{k}\sigma} \epsilon_{\mathbf{k}\sigma} c_{\mathbf{k}\sigma}^{\dagger} c_{\mathbf{k}\sigma} - \frac{g_0}{V} A^{\dagger} A$$

$$A = \sum_{\mathbf{k}, |\epsilon_{\mathbf{k}}| < \omega_D} c_{-\mathbf{k}\downarrow} c_{\mathbf{k}\uparrow} \quad A^{\dagger} = \sum_{\mathbf{k}, |\epsilon_{\mathbf{k}}| < \omega_D} c_{-\mathbf{k}\uparrow}^{\dagger} c_{-\mathbf{k}\downarrow}^{\dagger}$$

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By using the results of the previous section we obtain:

$$\mathcal{Z} = \int \mathcal{D}[\Delta, \bar{\Delta}, c, \bar{c}] e^{-S}$$

$$S = \int_0^{\beta} d\tau \left[\sum_{\mathbf{k}\sigma} \bar{c}_{\mathbf{k}\sigma} (\partial_{\tau} + \epsilon_{\mathbf{k}}) c_{\mathbf{k}\sigma} + \bar{\Delta} A + \bar{A} \Delta + \frac{\bar{\Delta} \Delta}{g} \right]$$

Application to BCS-Hamiltonian

Introduce a Nambu notation:

$$S = \int_0^\beta d\tau \left[\sum_{\mathbf{k}} \bar{\Psi}_{\mathbf{k}} (\partial_\tau + h_{\mathbf{k}}) \Psi_{\mathbf{k}} + \frac{\bar{\Delta} \Delta}{g} \right]$$

$$\Psi_{\mathbf{k}} = \begin{pmatrix} c_{\mathbf{k}\uparrow} \\ \bar{c}_{-\mathbf{k}\downarrow} \end{pmatrix}$$

$$h_{\mathbf{k}} = \begin{pmatrix} \epsilon_{\mathbf{k}} & \Delta(\tau) \\ \bar{\Delta}(\tau) & -\epsilon_{\mathbf{k}} \end{pmatrix}$$

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$$h_{\mathbf{k}} = \begin{pmatrix} \epsilon_{\mathbf{k}} & \Delta(\tau) \\ \bar{\Delta}(\tau) & -\epsilon_{\mathbf{k}} \end{pmatrix}$$

Again we can integrate out the fermionic contribution:

$$\mathcal{Z} = \int \mathcal{D}[\Delta, \bar{\Delta}] e^{-S_{\text{eff}}[\Delta, \bar{\Delta}]}$$

$$S_{\text{eff}}[\Delta, \bar{\Delta}] = \int_0^\beta d\tau \frac{\bar{\Delta} \Delta}{g} + \sum_{\mathbf{k}} \text{Tr} \ln(\partial_\tau + h_{\mathbf{k}})$$

Application to BCS-Hamiltonian

To proceed we must invoke some approximation, we expect that **fluctuations will be small**

→ integral will be dominated by minimal value of S_{eff}

→ **saddlepoint approximation** $\mathcal{Z} = e^{-S_{eff}[\Delta_o, \bar{\Delta}_o]}$

Expect that Δ_o is independent of τ because of translational invariance.

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Expect that Δ_o is independent of τ because of translational invariance.

$$\Psi_{\mathbf{k}}(\tau) = \frac{1}{\sqrt{\beta}} \sum_n \Psi_{\mathbf{k}} e^{-i\omega_n \tau}$$

with the Matsubara frequencies $\omega_n = (2n + 1) \frac{\pi}{\beta}$

$$\det[\partial_\tau + h_{\mathbf{k}}] = \prod_n \det[-i\omega_n + h_{\mathbf{k}}] = \prod_n [\omega_n^2 + \epsilon_{\mathbf{k}}^2 + |\Delta|^2]$$

Application to BCS-Hamiltonian

Inserting this into S_{eff} yields:

$$\frac{S_{eff}}{\beta} = -T \sum_{\mathbf{k}n} \ln[\omega_n^2 + \epsilon_{\mathbf{k}}^2 + |\Delta|^2] + \frac{\Delta^2}{g} = F_{eff}$$

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Minimizing wrt Δ :

$$\frac{\partial F_{eff}}{\partial \Delta} = -T \sum_{\mathbf{k}n} \frac{\Delta}{\omega_n^2 + E_{\mathbf{k}}^2} + \frac{\Delta}{g} = 0$$

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BCS Gap equation

$$\frac{1}{g} = \frac{1}{\beta} \sum_{\mathbf{k}n} \frac{1}{\omega_n^2 + E_{\mathbf{k}}^2}$$

$$E_{\mathbf{k}} = \sqrt{\epsilon_{\mathbf{k}}^2 + |\Delta|^2}$$

Application to BCS-Hamiltonian

From this follows (after some work):

Equations for Δ and T_c

$$\Delta = 2\omega_D e^{-\frac{1}{gN(0)}}$$
$$T_c = \frac{e^{-\Psi(\frac{1}{2})}}{2\pi} \omega_D e^{-\frac{1}{gN(0)}}$$

With N : density of states and $\Psi(z) = \frac{d}{dz} \ln \Gamma(z)$ the digamma function.

Ginzburg-Landau theory

Since transition is continuous close to T_c , expand S_{eff} for **small** Δ .

$$S_{eff}[\Delta, \bar{\Delta}] = \int_0^\beta d\tau \frac{\bar{\Delta} \Delta}{g} + \sum_{\mathbf{k}} Tr \ln(\partial_\tau + h_{\mathbf{k}})$$

with
$$\partial_\tau + h_{\mathbf{k}} = \begin{pmatrix} \partial_\tau + \epsilon_{\mathbf{k}} & \Delta(\tau) \\ \bar{\Delta}(\tau) & \partial_\tau - \epsilon_{\mathbf{k}} \end{pmatrix} = \mathcal{G}^{-1}$$

$$\mathcal{G}^{-1} := \begin{pmatrix} [\mathcal{G}_o^p]^{-1} & \Delta \\ \bar{\Delta} & [\mathcal{G}_o^h]^{-1} \end{pmatrix} = \mathcal{G}_o^{-1} \left[1 + \mathcal{G}_o \begin{pmatrix} 0 & \Delta \\ \bar{\Delta} & 0 \end{pmatrix} \right]$$

$$Tr \ln(\mathcal{G}^{-1}) = Tr \ln(\mathcal{G}_o^{-1}) - \frac{1}{2} Tr [\mathcal{G}_o \begin{pmatrix} 0 & \Delta \\ \bar{\Delta} & 0 \end{pmatrix}]^2 + \dots$$

Ginzburg-Landau theory

$$\begin{aligned}
 \text{Tr}(\mathcal{G}_o^p \Delta \mathcal{G}_o^h \Delta) &= \sum_{\mathbf{k}\mathbf{k}'} \mathcal{G}_o^p \langle \mathbf{k} | \Delta | \mathbf{k}' \rangle \mathcal{G}_o^h \langle \mathbf{k}' | \bar{\Delta} | \mathbf{k} \rangle \\
 &\underbrace{=} \sum_{\mathbf{q}} \Delta_{\mathbf{q}} \bar{\Delta}_{-\mathbf{q}} \frac{1}{\beta L^d} \underbrace{\sum_{\mathbf{k}} \mathcal{G}_o^p(\mathbf{k}) \mathcal{G}_o^h(\mathbf{k} + \mathbf{q})}_{\Pi(\mathbf{q}) \text{ pairing susceptibility}}
 \end{aligned}$$

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 \end{aligned}$$

Apart from the term $\text{Tr} \ln \mathcal{G}_o^{-1}$ S_{eff} contains:

$$S_{\text{eff}} \supset \sum_{\omega_n \mathbf{q}} \left[\frac{1}{g} + \Pi(\omega_n, \mathbf{q}) \right] |\Delta_{\omega_n, \mathbf{q}}|^2 + \phi(\Delta^4)$$

Ginzburg-Landau theory

Approximate Π

$$\Pi(\omega_n, \mathbf{q}) = \Pi(0, 0) + \frac{\mathbf{q}^2}{2} \partial_{\mathbf{q}}^2 \Pi(0, 0) + \mathcal{O}(\omega_n, \mathbf{q}^4)$$

Ginzburg-Landau theory

Approximate Π

$$\Pi(\omega_n, \mathbf{q}) = \Pi(0, 0) + \frac{\mathbf{q}^2}{2} \partial_q^2 \Pi(0, 0) + \phi(\omega_n, \mathbf{q}^4)$$

Transform to position representation (and include a term of order Δ^4):

$$S_{\text{eff}} \supset \beta \int d^d r \left[\frac{t}{2} |\Delta|^2 + \frac{K}{2} |\partial \Delta|^2 + u |\Delta|^4 \right]$$

$$\frac{t}{2} = \frac{1}{g} + \Pi(0, 0) \quad K = \partial_q^2 \Pi(0, 0) > 0 \quad u > 0$$

Ginzburg-Landau theory

Approximate Π

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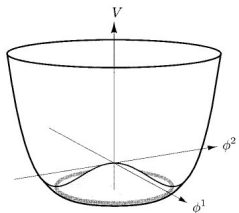
$$\frac{t}{2} = \frac{1}{g} + \Pi(0, 0) \quad K = \partial_q^2 \Pi(0, 0) > 0 \quad u > 0$$

We again assume that \mathcal{Z} will be dominated by the minimal action:

$$\partial \Delta = 0$$

$$\frac{\delta S_{\text{eff}}}{\delta |\Delta|} \stackrel{!}{=} 0 = \frac{\delta}{\delta |\Delta|} \left(\frac{t}{2} |\Delta|^2 + u |\Delta|^4 \right)$$

Ginzburg-Landau theory

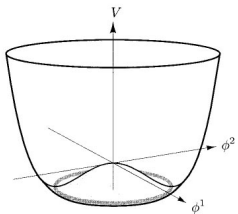


$$\Rightarrow |\Delta|(t + 4u|\Delta|^2) = 0 \quad |\Delta| = \begin{cases} 0 & t > 0 \\ \sqrt{\frac{t}{4u}} & t < 0 \end{cases}$$

For $t < 0$ $U(1)$ symmetry is spontaneously broken.

$\Delta = |\Delta|e^{i\Phi} \rightarrow \Phi$ field remains massless/gapless.

Ginzburg-Landau theory



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For $t < 0$ $U(1)$ symmetry is spontaneously broken.

$\Delta = |\Delta|e^{\Phi} \rightarrow \Phi$ field remains massless/gapless.

Goldstone theorem

Every time a continuous global symmetry gets spontaneously broken there exists a gapless excitation \rightarrow Goldstone mode.

Ginzburg-Landau theory

By calculating $\Pi(0, 0)$ we can relate t with T_c :

$$T_c = \pi\omega_D \exp\left(-\frac{1}{N(0)g}\right)$$
$$\frac{t}{2} \approx N(0) \frac{T - T_c}{T_c}$$

Ginzburg-Landau theory

By calculating $\Pi(0, 0)$ we can relate t with T :

$$T_c = \pi\omega_D \exp\left(-\frac{1}{N(0)g}\right)$$
$$\frac{t}{2} \approx N(0) \frac{T - T_c}{T_c}$$

The Ginzburg-Landau theory of superconductors was known (1956) before BCS-theory (1957) and predicted the right results. Parameters are unknown if you start with a GL theory but meaningful predictions are possible.

Anderson-Higgs effect

Inclusion of em fields via minimal coupling:

$$\begin{aligned} \partial &\mapsto \partial + ie\mathbf{A} \\ \mathcal{L}_{em} &= \frac{-1}{4} F_{\mu\nu} F^{\mu\nu} & F_{\mu\nu} &= \partial_\mu \mathbf{A}_\nu - \partial_\nu \mathbf{A}_\mu \\ \rightarrow \mathcal{Z} &= \int \mathcal{D}\mathbf{A} \int \mathcal{D}[\Delta, \bar{\Delta}] e^{-S_{eff}} \end{aligned}$$

Where S_{eff} gets modified:

$$S_{eff} = \beta \int d\mathbf{r} \left[\frac{t}{2} |\Delta|^2 + \frac{K}{2} |(\partial + i2e\mathbf{A})\Delta|^2 + u|\Delta|^4 + \frac{1}{2} (\partial_x \mathbf{A})^2 \right]$$

where we used $A_0 = \Phi = 0$

Anderson-Higgs effect

All terms in this Lagrangian are gauge invariant under local gauge transformations:

$$\mathbf{A} \mapsto \mathbf{A} - \partial\Phi(\mathbf{r})$$

$$\Delta \mapsto e^{-2ie\Phi(\mathbf{r})} \Delta$$

Anderson-Higgs effect

All terms in this Lagrangian are gauge invariant under local gauge transformations:

$$\begin{aligned}\mathbf{A} &\mapsto \mathbf{A} - \partial\Phi(\mathbf{r}) \\ \Delta &\mapsto e^{-2ie\Phi(\mathbf{r})} \Delta\end{aligned}$$

Write:

$$\Delta(\mathbf{r}) = |\Delta(\mathbf{r})| e^{-2ie\Phi(\mathbf{r})}$$

and choose a gauge (unitary gauge):

$$\mathbf{A} \mapsto \mathbf{A} - \partial\Phi(\mathbf{r}) \quad \Delta \mapsto |\Delta|$$

Anderson-Higgs effect

this results in the action:

$$S_{\text{eff}} = \beta \int d\mathbf{r} \left[\frac{t}{2} |\Delta|^2 + \frac{K}{2} (\partial |\Delta|)^2 - \frac{4e^2 K |\Delta|^2}{2} \mathbf{A}^2 + u |\Delta|^4 + \frac{1}{2} (\partial \times \mathbf{A})^2 \right]$$

Anderson-Higgs effect

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below T_c $\Delta \neq 0 \Rightarrow m_A^2 = 4e^2 K |\Delta|^2 \neq 0$.

Anderson-Higgs effect

The goldstone mode gets eaten by the gauge field which acquires a mass.

Anderson-Higgs effect

The m_A^2 -term is responsible for London equation, because minimisation wrt \mathbf{A} :

$$\partial_x \underbrace{(\partial_x \mathbf{A})}_{\mathbf{B}} + m_A^2 \mathbf{A} = 0$$

Anderson-Higgs effect

The m_A^2 -term is responsible for London equation, because minimisation wrt \mathbf{A} :

$$\partial_x \underbrace{(\partial_x \mathbf{A})}_{\mathbf{B}} + m_A^2 \mathbf{A} = 0$$

With the help of Maxwell equation $\partial_x \mathbf{B} = \frac{4\pi}{c} \mathbf{j}$ we obtain:

$$\mathbf{j} = -\frac{cm_A^2}{4\pi} \mathbf{A}$$

This was the basis for the **London equation**.

Conclusions

- We saw how a interacting fermionic system can be rewritten as a system noninteracting fermions in a bosonic field
- We could compute several important quantities like T_c or the gap Δ
- A Ginzburg-Landau ansatz with in orderparameter $\langle \Psi_{\downarrow} \Psi_{\uparrow} \rangle$ showed how symmetry breaking occurs
- We saw an example of Goldstones theorem
- By gauging the symmetry we obtained an example of Anderson-Higgs mechanism which is also important in high energy physics

literature

- P. Coleman 04, chapter 12
- Czycholl, Theoretische Festkörperphysik