## Exercises on Elementary Particle Physics II Prof. Dr. H.-P. Nilles

## 1. Lie groups and Lie algebras - a first example

This excersise is designed to gain an intuitive understanding of Lie groups and algebras by generalizing well-known concepts encountered in explicit considerations.

Consider the <u>special unitary</u> group  $SU(2) := \{g \in GL(2, \mathbb{C}) | g^{\dagger} = g^{-1}, \det g = 1\}.$ 

- (a) Show that  $SU(2) \cong S^3$ . Hint: Find an equation constraining the parameter space of g to  $S^3$  as a submanifold in  $\mathbb{R}^4$ .
- (b) Introduce spherical coordinates on  $S^3$  to infer

$$g(\omega, \theta, \phi) = \cos(\omega) \cdot e + i \left( \vec{\omega_0} \cdot \vec{\sigma} \right) \sin(\omega), \quad \vec{\omega_0} \in S^2,$$

with  $\vec{\omega_0} = (\cos(\theta)\cos(\phi), \cos(\theta)\sin(\phi), \sin(\theta))^T$ . What is the range of the parameters  $x^i := (\omega, \theta, \phi)$ ? What is the geometrical locus of the  $\vec{\omega} := \omega \cdot \vec{\omega_0} \in \mathbb{R}^3$ ? The  $\sigma_i$  are the Pauli matrices defined by

$$\sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$
,  $\sigma_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$ ,  $\sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ .

They form a basis of the real vector space of hermitian traceless matrices.

(c) Check that every  $g \in SU(2)$  obeys the differential equation

$$\frac{\partial g}{\partial \omega} = i \left( \vec{\omega_0} \cdot \vec{\sigma} \right) g.$$

Integrate this to determine the solution

$$q(\vec{\omega}) = e^{i\omega^i \sigma_i}$$

and calculate  $\frac{\partial g}{\partial \omega^i}\Big|_{\omega=\vec{0}}$ . Hint: Do not forget to use the initial conditions.

Let us recapitulate our observations. First we showed that SU(2) is, besides its group properties, also a non-trivial geometrical object. Then, we exploided this fact by introducing coordinates on  $SU(2) \cong S^3$ . Finally, we combined the realization of SU(2) as matrices with well-defined multiplication and a certain differential equation to find a (local) parametrization of any  $g \in SU(2)$  in terms of very local data, namely  $\frac{\partial}{\partial \omega^i}\Big|_0 g \in T_e(SU(2))$ . This is the Lie algebra su(2) of SU(2). The whole program above relied just on the geometric structure of SU(2) and the combination with its algebraic properties as a group.

This is, what lies at the heart of the theory of Lie groups and Lie algebras, in general.

- 2. From Lie groups to representations glimpse with SU(2)
  - A Lie group G is a group endowed with the structure of a differentiable manifold such that the operations

(a) 
$$\cdot : G \times G \to G$$
,  $(g,h) \mapsto g \cdot h$   
(b)  $^{-1} : G \to G$ ,  $g \mapsto g^{-1}$ 

are differential maps of differentiable manifolds.

Using coordinates  $x^i$  on G we are able to define the basis  $\frac{\partial}{\partial x^i}\Big|_0 g =: T_i$  of the tangent space  $\mathfrak{g} := T_e G$  at the identity  $e \in G$ . The  $T_i$  are called **generators of the Lie algebra**  $\mathfrak{g}$  that has the following structure.

• A Lie algebra  $\mathfrak{g}$  is a vector space (from  $T_e G$ ) together with a binary operation

$$[\cdot, \cdot]$$
 :  $\mathfrak{g} \times \mathfrak{g} \to \mathfrak{g}$ 

satisfying the conditions:

- (a) It is bilinear, i.e. linear in both entries. (-Up to here,  $\mathfrak{g}$  is an  $\mathbb{R}$ -algebra.-)
- (b) It is skew-symmetric: [a, b] = -[b, a] for  $a, b \in \mathfrak{g}$
- (c) It fulfills the Jacoby identity: [a, [b, c]] + [b, [c, a]] + [c, [a, b]] = 0 for  $a, b, c \in \mathfrak{g}$

It can be shown that  $g = e^{ix^iT_i}$ , at least locally. Hence, it makes sense to analyse (local) properties of G and its representations in terms of its corresponding Lie algebra  $\mathfrak{g}$ , which is a lot easier to deal with.

• A representation  $\rho$  of a Lie algebra  $\mathfrak{g}$  on a vector space V is a mapping

$$\rho:\mathfrak{g}\to \mathrm{End}(V)$$

which is an algebra homomorphism, i.e. it is a homomorphism of vector spaces and fulfills  $\rho([a, b]) = [\rho(a), \rho(b)], \forall a, b \in \mathfrak{g}$ .

The dimension of V is called the **dimension of the representation**  $\rho$ : dim $(\rho) := \dim(V)$ .

If there is a vector space  $W \subset V$  so that  $\rho(W) \subset W$ , then the representation is called **reducible** and V is called the invariant subspace. If there are only  $W = \{0\}$ , V with this property then the representation  $\rho$  is called **irreducible**. In other words: a representation is irreducible, iff the only invariant subspace is V itself ( $\{0\}$  is trivial). Let us consider the example of su(2) once again.

(a) Show in a slightly more abstract way that su(2) is the set of all traceless hermitian matrices and prove that it is a Lie algebra.

*Hint:*  $detA = exp \ Tr \ logA \ and \ set \ [A, B] := A \cdot B - B \cdot A.$ 

(b) Perform a complex basis change from the Pauli matrices  $\sigma_i$  to

$$J_3 = \frac{1}{2}\sigma_3, \qquad J_+ = \frac{1}{2}(\sigma_1 + i\sigma_2), \qquad J_- = \frac{1}{2}(\sigma_1 - i\sigma_2),$$

and verify the commutation relations

$$[J_3, J_+] = J_+, \qquad [J_3, J_-] = -J_-, \qquad [J_+, J_-] = 2J_3.$$

Next, we aim for classifying all irreducible, finite-dimensional representations  $\rho$  of su(2) on a vector space V. Thus, it is important to note that  $\rho(J_i)$ , i = 3, +, -, are  $n \times n$ -matrices with  $n := \dim(V)$  and  $n \neq 0$  in general.

(c) Since  $J_3$  is diagonal,  $\rho(J_3)$  can also be chosen to be diagonal. Therefore V can be decomposed into eigenspaces of  $\rho(J_3)$ ,

$$V = \bigoplus V_{\alpha},$$

where  $\alpha$  labels the eigenvalue of  $\rho(J_3)$ , i.e.

$$(\rho(J_3))v = \alpha v, \qquad v \in V_\alpha, \quad \alpha \in \mathbb{C}$$

(shorthand: write  $J_i$  for  $\rho(J_i)$ ). Show that  $J_+(v) \in V_{\alpha+1}$  and  $J_-(v) \in V_{\alpha-1}$ .

(d) Prove that all complex eigenvalues  $\alpha$  which appear in the above decomposition differ from one another by 1.

*Hint: Choose an arbitrary*  $\alpha_0 \in \mathbb{C}$  *from the decomposition and prove that* 

$$\bigoplus_{k\in\mathbb{Z}} V_{\alpha_0+k} \subset V$$

is indeed equal to V using the irreducibility of the representation.

- (e) Argue that there is  $k \in \mathbb{N}$  for which  $V_{\alpha_0+k} \neq 0$  and  $V_{\alpha_0+k+1} = 0$ . Define  $n := \alpha_0 + k$ . Note that up to now, we only know that  $n \in \mathbb{C}$ . Draw a diagram. Write the vector spaces  $V_{n-2}$ ,  $V_{n-1}$  and  $V_n$  in a row and indicate the action of  $J_3$ ,  $J_+$  and  $J_-$  on these vector spaces by arrows. The eigenvalue n is called highest weight and a vector  $v \in V_n$  is called highest weight vector. Is it clear why?
- (f) Choose an arbitrary vector v ∈ V<sub>n</sub> (highest weight vector). Prove that the vectors v, J\_v, J\_v^2, ... span V.
  Hint: Show that the vector space spanned by these vectors is invariant under the action of J<sub>3</sub>, J<sub>+</sub> and J<sub>-</sub> and use the irreducibility of the representation.

- (g) Argue that all eigenspaces  $V_{\alpha}$  are 1-dimensional.
- (h) Prove that n is a non-negative integer or half-integer and that

$$V = V_{-n} \oplus \ldots \oplus V_n$$
.

Complement your diagram drawn in part (e). What is the dimension of V? Hint: The representation is finite dimensional, so there exists  $m \in \mathbb{N}$  for which  $J_{-}^{m-1}v \neq 0$  and  $J_{-}^{m}v = 0$ . Evaluate the product  $J_{+}J_{-}^{m}v$ .

(i) Decompose the tensor product of a 2-dimensional and a 3-dimensional irreducible representation of su(2),

$$V = V^{(2)} \otimes V^{(3)},$$

into two irreducible representations of dimension two and four:  $\mathbf{2} \otimes \mathbf{3} = \mathbf{2} \oplus \mathbf{4}$ . *Hint: For the definition of a tensor product of two representations see 3. (b). Note that the eigenvalue of*  $J_3$  *on* V *is the sum of the eigenvalues of*  $J_3$  *on*   $V^{(2)}$  and  $V^{(3)}$ . Draw the diagrams of the eigenvalues (with multiplicities). Then use the fact that the eigenspaces of  $J_3$  on an irreducible representations are all 1-dimensional to show that V *is reducible.* 

3. Elementary constructions of representations

Using the fact that the Lie algebra  $\mathfrak{g}$  closes under  $[\cdot, \cdot]$  we have an expansion

$$[T_i, T_j] = i f_{ijk} T_k, \qquad \forall T_i \in \mathfrak{g}, \tag{1}$$

where the coefficients  $f_{ijk}$  are called *structure constants* of  $\mathfrak{g}$ .<sup>1</sup>

(a) Determine the structure constants of su(2) in the  $\sigma_i$  basis. Compare this with the algebra of the angular momentum operators (or so(3)).

As a representation  $\rho$  preserves the entire structure of the Lie algebra the representation matrices have to obey (1). Thus, this is an equivalent way to define a representation. Let us construct new representations out of old ones.

- (b) Let  $(\rho_1, V_1)$ ,  $(\rho_2, V_2)$  be two representations. Prove that  $\rho_{\oplus}(T_i) := \rho_1(T_i) \oplus \rho_2(T_i)$ ,  $\rho_{\otimes}(T_i) := \rho_1(T_i) \otimes \mathbb{1} + \mathbb{1} \otimes \rho_2(T_i)$  define representations on  $V_1 \oplus V_2$ ,  $V_1 \otimes V_2$ , respectively.
- (c) Prove that  $ad(T_i)_{kj} := if_{ijk}$  defines a representation  $(ad, \mathfrak{g})$  called the **adjoint** representation of  $\mathfrak{g}$ . Abstractly, this is given by  $ad : X \mapsto [X, \cdot], \forall X \in \mathfrak{g}$ .
- (d) Show using equation (1) that  $\bar{\rho}(T_i) := -\rho(T_i)^*$  defines a representation called the **complex conjugate representation of**  $\rho$ .  $\rho$  is said to be **real** if it is equivalent<sup>2</sup> to its complex conjugate  $\bar{\rho}$ .

<sup>&</sup>lt;sup>1</sup>The *i* is necessary to guarantee a consistent expression for hermitian (representations of) generators  $T_i$  as needed for unitary representations. Strictly speaking,  $[\cdot, \cdot]$  doesn't close anymore in  $\mathfrak{g}$  as  $[T_i, T_j]$  is antihermitian for hermitian  $T_i$ . This is the discrepancy between mathematicians and physicists.

 $<sup>{}^{2}(\</sup>rho_{1}, V_{1}), (\rho_{2}, V_{2})$  are called equivalent if there is a vector space isomorphism  $\alpha : V_{1} \to V_{2}$  obeying  $\rho_{1}(X) = \alpha^{-1} \circ \rho_{2}(X) \circ \alpha, \forall X \in \mathfrak{g}.$