

Local SU(5) Unification from the Heterotic String

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W. Buchmüller, CL, J. Schmidt, [arXiv:0707.1651](https://arxiv.org/abs/0707.1651)

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- GUT: Attractive features:
 - $SU(3) \times SU(2) \times U(1) \subset SU(5), SO_{10} \dots$, gauge couplings unify
 - Unification matter into larger multiplets
- Drawbacks in 4d GUTS
 - Large Higgs representations required
 - Doublet–triplet–splitting
 - Yukawa couplings do not unify
- Drawbacks can be addressed in higher-dimensional orbifold GUTs
- Nice possibility: Heterotic String:
 - $E_8 \times E_8$ gauge symmetry included
 - Simple orbifold compactifications with realistic four-dimensional matter content and gauge group possible
 - UV completion

[Kobayashi, Raby, Zhang; Buchmüller, Hamaguchi, Lebedev, Ratz; Kim, Kim, Kyaee; Förste, Nilles, Vaudrevange, Wingerter, Ramos-Sanchez, ...]

Heterotic Orbifold Compactification

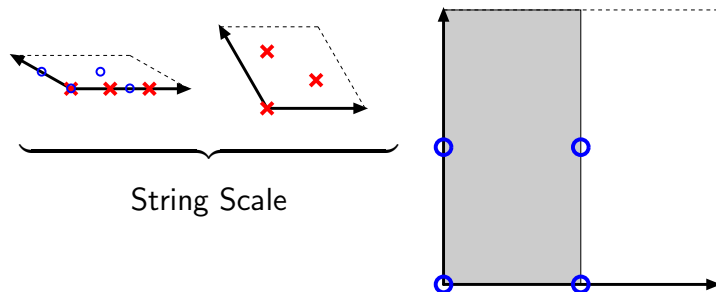
- Choose a torus with discrete isometry (“twist”) with fixed points
- Mod out by this isometry, fixed points become singularities
- Fixing boundary conditions at fixed points requires embedding the twist into gauge group and choosing Wilson lines
- Gauge symmetry reduced at fixed points (but rank usually preserved)
- Twisted sectors: States localised at fixed points

The Model: Geometry

[Buchmüller, Hamaguchi, Lebedev, Ratz]

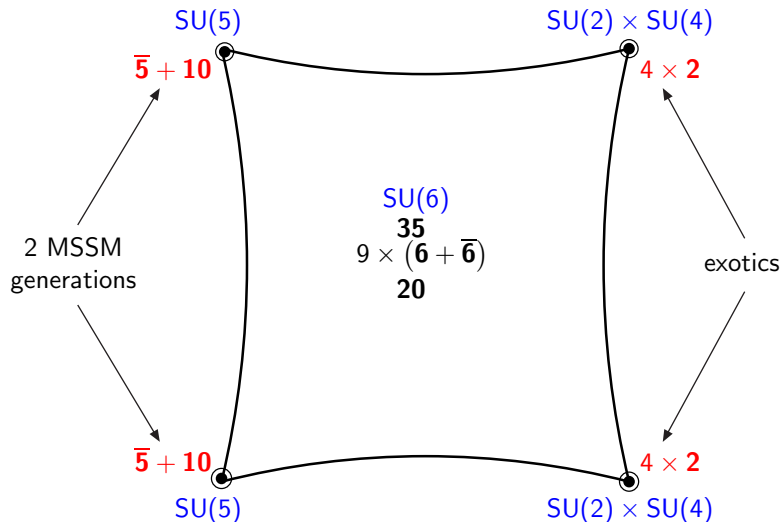
- Torus: $G_2 \times SU(3) \times SO(4)$ root lattice, $\mathbb{Z}_{6-II} = \mathbb{Z}_3 \times \mathbb{Z}_2$ twist:

[Kobayashi, Raby, Zhang]



- Obtain effective 6D Theory on T^2/\mathbb{Z}_2 orbifold
- Internal zero modes and \mathbb{Z}_3 twisted states show up as bulk states, \mathbb{Z}_2 twisted states are localised at orbifold fixed points

The Model: Effective T^2/\mathbb{Z}_2 Orbifold



- Orbifold have bulk and brane anomalies
- Anomaly cancellation by Green–Schwarz mechanism requires factorisation of anomaly polynomials, $I_8 = X_4 Y_4$ and $I_6^f = X_4^f Y_2$
- $\mathcal{O}(500)$ conditions, but guaranteed by string theory (and modular invariance conditions on twist vectors and Wilson lines): Check of spectrum
- Anomalous U(1)'s induce localised FI terms

$$\xi_0 = 148 \left(\frac{g M_{\text{P}}^2}{384 \pi^2} \right) \delta^{(2)}(z - z_0)$$

$$\xi_1 = 80 \left(\frac{g M_{\text{P}}^2}{384 \pi^2} \right) \delta^{(2)}(z - z_1)$$

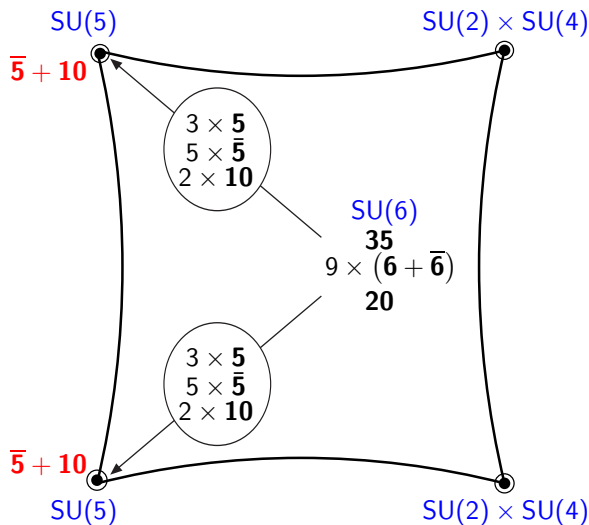
- These lead to localisation of bulk fields, break the U(1) and need to be cancelled to obtain SUSY vacuum

[Lee, Nilles, Zucker]

- Local GUT: At fixed points, boundary conditions break bulk gauge group to smaller groups,

$$\text{in our case: } \quad \text{SU}(6) \longrightarrow \begin{cases} \text{SU}(5) \\ \text{SU}(2) \times \text{SU}(4) \end{cases}$$

- In zero mode spectrum, only the intersection of local groups survives, which is $G_{\text{SM}} = \text{SU}(3) \times \text{SU}(2) \times \text{U}(1)$
- Localised fields come in complete multiplets of local GUT group
- Due to other branes, bulk fields form split multiplets
- Due to higher symmetry, decoupling of exotics much more transparent than in four-dimensional limit



- On branes, SUSY is broken to $\mathcal{N} = 1$
- Bulk Matter: Hypermultiplets, split as $H = (H_L, H_R)$ into chiral multiplet
- Bulk vector multiplets split as $V = (A, \phi)$ into vector and chiral multiplets
- Only one $\mathcal{N} = 1$ multiplet survives projection

Decoupling

- Several pairs of $\mathbf{5} + \bar{\mathbf{5}}$ and most exotics decoupled easily
- Remaining $\mathbf{5}$'s and $\bar{\mathbf{5}}$'s:

Bulk:	$\mathbf{5}$	$\mathbf{5}_1$	$\bar{\mathbf{5}}_0^c$	$\bar{\mathbf{5}}$	$\bar{\mathbf{5}}_1$	$\bar{\mathbf{5}}_2$	$\mathbf{5}_0^c$	$\mathbf{5}_2^c$
Zero modes:								
$SU(3) \times SU(2)$	$(1, 2)$	$(1, 2)$	$(\mathbf{3}, 1)$	$(1, 2)$	$(1, 2)$	$(\bar{\mathbf{3}}, 1)$	$(\bar{\mathbf{3}}, 1)$	$(1, 2)$
$U(1)_{B-L}$	0	0	$-\frac{2}{3}$	0	0	$-\frac{1}{3}$	$\frac{2}{3}$	-1
MSSM content	H_u			H_d			d_3	l_3

$2 \times (\bar{\mathbf{5}} + \mathbf{10})$ generations on the branes
 $2 \times (\bar{\mathbf{5}} + \mathbf{10})$ generations in the bulk
 $\mathbf{5} + \bar{\mathbf{5}}$ Higgses in the bulk

Split Multiplets

- Bulk generations:

$$\bar{\mathbf{5}}_{(3)} = \cancel{(\bar{\mathbf{3}}, 1)} + (1, 2)$$

$$\mathbf{10}_{(3)} = \cancel{(\mathbf{3}, 2)} + (\bar{\mathbf{3}}, 1) + (1, 1)$$

$$\bar{\mathbf{5}}_{(4)} = (\bar{\mathbf{3}}, 1) + \cancel{(1, 2)}$$

$$\mathbf{10}_{(4)} = (\mathbf{3}, 2) + \cancel{(\bar{\mathbf{3}}, 1)} + \cancel{(1, 1)}$$

One generation remains, avoiding SU(5) mass relations

- Higgses:

$$\mathbf{5}_u = \cancel{(\mathbf{3}, 1)} + (1, 2)$$

$$\bar{\mathbf{5}}_d = \cancel{(\bar{\mathbf{3}}, 1)} + (1, 2)$$

Orbifold projection solves doublet-triplet-splitting

$$W = C_{(ij)}^{(u)} \mathbf{5}_u \mathbf{10}_{(i)} \mathbf{10}_{(j)} + C_{(ij)}^{(d)} \mathbf{5}_d \bar{\mathbf{5}}_{(i)} \mathbf{10}_{(j)}$$

$$C_{(ij)}^{(u)} = \begin{pmatrix} a_1 & 0 & a_2 & a_3 \\ 0 & a_1 & a_2 & a_3 \\ a_2 & a_2 & 0 & g \\ a_3 & a_3 & g & a_4 \end{pmatrix}, \quad C_{ij}^{(d)} = \begin{pmatrix} 0 & 0 & b_1 & b_2 \\ 0 & 0 & b_1 & b_2 \\ b_3 & b_3 & b_4 & 0 \\ b_5 & b_5 & b_6 & b_5^2 \end{pmatrix}$$

$$W = Y_{ij}^u h_u u_i^c q_j + Y_{ij}^d h_d d_i^c q_j + Y_{ij}^l h_l l_i e_j^c$$

$$Y_{ij}^u = \begin{pmatrix} a_1 & 0 & a_3 \\ 0 & a_1 & a_3 \\ a_2 & a_2 & g \end{pmatrix}, \quad Y_{ij}^d = \begin{pmatrix} 0 & 0 & b_2 \\ 0 & 0 & b_2 \\ b_5 & b_5 & b_7 \end{pmatrix}, \quad Y_{ij}^l = \begin{pmatrix} 0 & 0 & b_1 \\ 0 & 0 & b_1 \\ b_3 & b_3 & b_4 \end{pmatrix}$$

- Constructed local 6D GUT from the heterotic string
- Doublet–triplet splitting achieved easily, $SU(5)$ mass relations avoided due to split bulk multiplets
- More symmetry in 6D \rightsquigarrow simple decoupling of unwanted states
- Supersymmetric vacuum: four-dimensional D -term vanishes
- Open Questions:
 - Phenomenology needs to be improved (CKM mixing, R -parity)
 - Stabilisation of moduli, in particular, size of two-dimensional torus
 - Profiles of bulk fields due to localised FI terms
 - Blowup/resolution of singularities, generalisation to K3 internal space