

Heterotic String Compactification with Gauged Linear Sigma Models

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Based on:

[Lüdeling,FR,Wieck: 1203.5789], [Blaszczyk,Groot Nibbelink,FR: 1111.5852],

[Blaszczyk,Groot Nibbelink,FR: 1107.0320]

- Start with **heterotic string** on $\mathcal{M}_{1,3} \times X$
 \Rightarrow 4D **physics** is a **function** of **compactification manifold** X
- Want $\mathcal{N} = 1$ **SUSY** in 4D
 $\Rightarrow X$ is **CY threefold** [Candelas, Horowitz, Strominger, Witten]
- Want $G_{\text{SM}} = \mathbf{SU}(3) \times \mathbf{SU}(2) \times \mathbf{U}(1)$ gauge group
 \Rightarrow Choose holomorphic, stable **vector bundle** V to break
 $E_8 \times E_8 \rightarrow G_{\text{SM}} (\times G_{\text{hidden}})$
- Study resulting string theory

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Problem

- Resulting string theory described by **complicated NLSM**

Solution ideas

- **Study** special CYs that allow for a free CFT description (**Orbifolds**) [Dixon, Harvey, Vafa, Witten], [Blaszczyk, Buchmüller, Groot Nibbelink, Nilles, Raby, Ramos Sanchez, Ratz, FR, Vaudrevange, ...]
- **Study** generic CYs in **supergravity approximation** [Anderson, Bouchard, Braun, Donagi, Gray, He, Lukas, Ovrut, Palti, Pantev, Waldram, ...]
- **Study** easy non-conformal **GLSM** that flows in the IR to conformal NLSM [Witten], [Adams, Blaszczyk, Blumenhagen, Carlevahro, Distler, Groot Nibbelink, Israel, Rahn, FR, ...]

Our procedure

- Start with **orbifold** where string theory under control
 - Find consistency requirements from exact free CFT calculations [Blaszczyk,Groot Nibbelink,Ratz,FR,Trapletti,Vaudrevange]
 - Unknown how to get them in other phases like SUGRA approximation

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 - Study more general points in moduli space
 - Phenomenology (SUSY+exotics+GG) requires departure from orbifold point

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- Go to **GLSM** description of the **orbifold** [Blaszczyk,Groot Nibbelink,FR]
- Study **other GLSM phases** like smooth **CY**
 - Phenomenological models require torsion and often NS5 branes

- 1 $\mathcal{N} = (2, 2)$ GLSMs
 - Introduction
 - GLSM description of compactification spaces
 - Study of moduli space
- 2 $\mathcal{N} = (0, 2)$ GLSMs & Anomalies
 - Introduction
 - Anomalies + Cancellation mechanism
 - Examples
- 3 $\mathcal{N} = (0, 2)$ GLSMs & Discrete Symmetries
 - Introduction
 - Study of discrete symmetries
- 4 Conclusion

Part I

$\mathcal{N} = (2, 2)$ GLSMs

Think of 2D $\mathcal{N} = (2, 2)$ **superspace** as a dim. reduction of 4D $\mathcal{N} = 1$ superspace with Lorentz group $SO(1, 1)$ [Witten]

Superspace coordinates

- Two **WS coordinates** σ_1, σ_2
- Two **complex Grassmann** variables θ^+, θ^-

Multiplets

- **Chiral** multiplet ($\bar{D}_\pm \mathcal{Z} = 0$): $\mathcal{Z} = (z, \psi_+, \psi_-, F)$
- **Vector** multiplet ($V = V^\dagger$): $V = (A, A_\sigma, A_{\bar{\sigma}}, \lambda_+, \lambda_-, D)$
- **Twisted chiral** multiplet ($\bar{D}_+ \Sigma = D_- \Sigma = 0$)

GLSM Action

$$S_{\text{GLSM}} = S_{\text{kin}} + S_{\text{FI}} + S_{\text{W}}$$

- $S_{\text{kin}} = \int d^2\sigma d^4\theta \bar{\mathcal{Z}} e^{2qV} \mathcal{Z} + \frac{1}{e^2} \bar{\Sigma} \Sigma$
- $S_{\text{FI}} = \int d^2\sigma d\bar{\theta}^- d\theta^+ \rho \Sigma + \text{h.c.}$ with $\rho = b + i\beta$
- $S_{\text{W}} = \int d^2\sigma d^2\theta \mathcal{C} P(\mathcal{Z}) + \text{h.c.}$ with $q(\mathcal{C}) < 0, q(\mathcal{Z}) > 0,$
 $q(P(\mathcal{Z})) = q(\mathcal{C}) = \sum_i q(\mathcal{Z}_i)$

Algebraic EOMs

- **D term:** $\sum_i q_i |z_i|^2 + q_c |c|^2 = b$
- **F term:** $P(z) = 0, c \frac{\partial P(z)}{\partial z_i} = 0$

(2,2) GLSMs describe heterotic string in standard embedding

Relations

- **GLSM fields** $\mathcal{Z}_i \Leftrightarrow$ **Toric ambient space** coordinates
- **GLSM gaugings** $U(1)^N \Leftrightarrow$ **Weights** of **toric spaces**
- **F** terms \Leftrightarrow **hypersurface** constraints
- $q(\mathcal{C}) + \sum_i q(P(\mathcal{Z}_i)) = 0 \Leftrightarrow c_1(TX) = 0 = c_1(V)$
- **FI** terms $b \Leftrightarrow$ **Kähler** parameters
- **D** terms \Leftrightarrow Kähler cone, **SR** ideal
- X is Kähler and Ricci-flat
- X has $SU(N)$ holonomy
- **Spin connection** is identified with **gauge connection**

GLSM Fields	Z_1	Z_2	Z_3	C
U(1) charges	1	1	1	-3

Superpotential

$$W = C(Z_1^3 + Z_2^3 + Z_3^3 + \kappa Z_1 Z_2 Z_3)$$

κ encodes CS of $T^2 \Rightarrow$ relate to τ via Weierstrass \wp function

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D Term

$$|z_1|^2 + |z_2|^2 + |z_3|^2 - 3|c|^2 = b$$

- $b > 0$: SR = $\{z_1 z_2 z_3\}$, $c = 0$ (from F term) \Rightarrow smooth T^2
- $b < 0$: SR = $\{c\}$, $z_1 = z_2 = z_3 = 0$ (from F term)
 $\Rightarrow \mathbb{Z}_3$ LG orbifold

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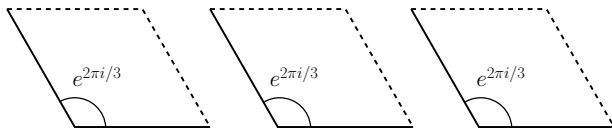
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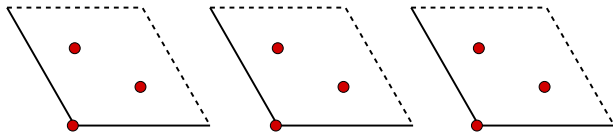
Note: We are **not** after the (non-geometric) **LG orbifold** but after the (geometric) **singular CY orbifold**

Example: $(T^2)^3/\mathbb{Z}_3$ orbifold



- Start with compactification space $(T^2)^3$:
Choose complex coordinates z_1, z_2, z_3 and lattice Λ_T
- Remove parallelizable spinors by introducing non-trivial holonomy:
Choose CS such that Λ_T has \mathbb{Z}_3 symmetry
 $\Rightarrow \Lambda_T$ is root lattice $A_2 \times A_2 \times A_2$

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Choose CS such that Λ_T has \mathbb{Z}_3 symmetry
 $\Rightarrow \Lambda_T$ is root lattice $A_2 \times A_2 \times A_2$
- Mod out \mathbb{Z}_3 : Action described by orbifold twist $\nu = (\nu_1, \nu_2, \nu_3)$:
 $\theta : (z_1, z_2, z_3) \mapsto (e^{2\pi i \nu_1} z_1, e^{2\pi i \nu_2} z_2, e^{2\pi i \nu_3} z_3) \Rightarrow 27$ fixed points
- CY constraint: $\Omega = dz_1 \wedge dz_2 \wedge dz_3$ invariant $\Rightarrow \nu_1 + \nu_2 + \nu_3 = 0 \pmod{1}$
- Modular invariance: Augment orbifold twist by shift V in gauge DOFs:
 $\theta : \Lambda_{E_8 \times E_8} \mapsto \Lambda_{E_8 \times E_8} + V$ with $V^2 - \nu^2 = 0 \pmod{2}$

Example: $(T^2)^3/\mathbb{Z}_3$ orbifold

$U(1)$'s	z_{11} z_{12} z_{13}	z_{21} z_{22} z_{23}	z_{31} z_{32} z_{33}	c_1 c_2 c_3
R_1	1 1 1	0 0 0	0 0 0	-3 0 0
R_2	0 0 0	1 1 1	0 0 0	0 -3 0
R_3	0 0 0	0 0 0	1 1 1	0 0 -3

F-terms for c_i and $z_{i\rho}$:

$$z_{i1}^3 + z_{i2}^3 + z_{i3}^3 + \kappa_i z_{i1} z_{i2} z_{i3} = 0, \quad i = 1, 2, 3,$$

$$c_i (z_{i\rho}^2 + \kappa_i z_{i\mu} z_{i\nu}) = 0, \quad i, \rho = 1, 2, 3, \quad \rho \neq \mu \neq \nu \neq \rho$$

D-terms:

$$|z_{i1}|^2 + |z_{i2}|^2 + |z_{i3}|^2 - 3|c_i|^2 = a_i, \quad i = 1, 2, 3$$

Geometry:

z_{i1}, z_{i2}, z_{i3} : coordinate of i^{th} torus

Fix CS at $\tau_i = e^{2\pi i/3} \Rightarrow \kappa_i = 0$ from Weierstrass map

Example: $(T^2)^3/\mathbb{Z}_3$ orbifold

$U(1)$'s	z_{11} z_{12} z_{13}	z_{21} z_{22} z_{23}	z_{31} z_{32} z_{33}	c_1 c_2 c_3
R_1	1 1 1	0 0 0	0 0 0	-3 0 0
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F-terms for c_i and $z_{i\rho}$:

$$z_{i1}^3 + z_{i2}^3 + z_{i3}^3 = 0, \quad i = 1, 2, 3,$$

$$c_i z_{i\rho}^2 = 0, \quad i, \rho = 1, 2, 3$$

D-terms:

$$|z_{i1}|^2 + |z_{i2}|^2 + |z_{i3}|^2 - 3|c_i|^2 = a_i, \quad i = 1, 2, 3$$

Geometry:

a_i : sizes of tori

$a_i > 0 \Rightarrow$ at least 3 $z_{i,\rho} \neq 0 \Rightarrow c_i = 0$ (assume this case for now)

Example: $(T^2)^3/\mathbb{Z}_3$ orbifold

$U(1)$'s	z_{11} z_{12} z_{13}	z_{21} z_{22} z_{23}	z_{31} z_{32} z_{33}	c_1 c_2 c_3	x_1
R_1	1 1 1	0 0 0	0 0 0	-3 0 0	0
R_2	0 0 0	1 1 1	0 0 0	0 -3 0	0
R_3	0 0 0	0 0 0	1 1 1	0 0 -3	0
E_1	1 0 0	1 0 0	1 0 0	0 0 0	-3

F-terms for c_i :

$$z_{i1}^3 x_1 + z_{i2}^3 + z_{i3}^3 = 0, \quad i = 1, 2, 3$$

D-terms:

$$|z_{i1}|^2 + |z_{i2}|^2 + |z_{i3}|^2 = a_i, \quad i = 1, 2, 3$$

$$|z_{11}|^2 + |z_{21}|^2 + |z_{31}|^2 - 3|x_1|^2 = b_1$$

Geometry: [Aspinwall, Plesser]

b_1 : sizes of exceptional cycles

$b_1 < 0 \Rightarrow x_1 \neq 0 \Rightarrow \langle x_1 \rangle$ breaks E_1 to \mathbb{Z}_3 with 27 **FP** $z_{11} = z_{21} = z_{31} = 0$

$b_1 > 0 \Rightarrow$ **FP** $z_{11} = z_{21} = z_{31} = 0$ **forbidden** \Rightarrow **smooth**

Example: $(T^2)^3/\mathbb{Z}_3$ orbifold

$U(1)$'s	z_{11} z_{12} z_{13}	z_{21} z_{22} z_{23}	z_{31} z_{32} z_{33}	c_1 c_2 c_3	x_1 x_2
R_1	1 1 1	0 0 0	0 0 0	-3 0 0	0 0
R_2	0 0 0	1 1 1	0 0 0	0 -3 0	0 0
R_3	0 0 0	0 0 0	1 1 1	0 0 -3	0 0
E_1	1 0 0	1 0 0	1 0 0	0 0 0	-3 0
E_2	0 1 0	0 1 0	0 1 0	0 0 0	0 -3

F-terms for c_i :

$$z_{i1}^3 x_1 + z_{i2}^3 x_2 + z_{i3}^3 = 0, \quad i = 1, 2, 3$$

D-terms:

$$|z_{i1}|^2 + |z_{i2}|^2 + |z_{i3}|^2 = a_i, \quad i = 1, 2, 3$$

$$|z_{11}|^2 + |z_{21}|^2 + |z_{31}|^2 - 3|x_1|^2 = b_1$$

$$|z_{12}|^2 + |z_{22}|^2 + |z_{32}|^2 - 3|x_2|^2 = b_2$$

Geometry:

$$b_1 < 0 \Rightarrow x_1 \neq 0 \Rightarrow \langle x_1 \rangle \text{ breaks } E_1 \text{ to } \mathbb{Z}_3 \text{ with 9 FP } z_{11} = z_{21} = z_{31} = 0$$

$$b_2 < 0 \Rightarrow x_2 \neq 0 \Rightarrow \langle x_2 \rangle \text{ breaks } E_2 \text{ to } \mathbb{Z}_3 \text{ with 9 FP } z_{12} = z_{22} = z_{32} = 0$$



Example: $(T^2)^3/\mathbb{Z}_3$ orbifold

$U(1)$'s	z_{11} z_{12} z_{13}	z_{21} z_{22} z_{23}	z_{31} z_{32} z_{33}	c_1 c_2 c_3	x_1 x_2
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R_2	0 0 0	1 1 1	0 0 0	0 -3 0	0 0
R_3	0 0 0	0 0 0	1 1 1	0 0 -3	0 0
E_1	1 0 0	1 0 0	1 0 0	0 0 0	-3 0
E_2	0 1 0	0 1 0	0 1 0	0 0 0	0 -3
E'	0 0 1	0 0 1	0 0 1	-3 -3 -3	3 3

$$|z_{i1}|^2 + |z_{i2}|^2 + |z_{i3}|^2 = a_i, \quad i = 1, 2, 3$$

$$|z_{11}|^2 + |z_{21}|^2 + |z_{31}|^2 - 3|x_1|^2 = b_1$$

$$|z_{12}|^2 + |z_{22}|^2 + |z_{32}|^2 - 3|x_2|^2 = b_2$$

Where are last **9 fixed points**? \Rightarrow Combine $U(1)$'s or D terms:

$$|z_{13}|^2 + |z_{23}|^2 + |z_{33}|^2 + 3|x_1|^2 + 3|x_2|^2 = \sum_i a_i + b_1 + b_2$$

Geometry:

$$x_1 \neq 0 \Rightarrow 9 \text{ FP } z_{11} = z_{21} = z_{31} = 0$$

$$x_2 \neq 0 \Rightarrow 9 \text{ FP } z_{12} = z_{22} = z_{32} = 0$$

Last 9 **FP** $z_{13} = z_{23} = z_{33} = 0$. Note: Not smooth for $b_{1,2} > 0!$

Example: $(T^2)^3/\mathbb{Z}_3$ orbifold

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F-terms for c_i :

$$z_{i1}^3 x_1 + z_{i2}^3 x_2 + z_{i3}^3 x_3 = 0, \quad i = 1, 2, 3$$

D-terms:

$$|z_{i1}|^2 + |z_{i2}|^2 + |z_{i3}|^2 = a_i, \quad i = 1, 2, 3$$

$$|z_{1\rho}|^2 + |z_{2\rho}|^2 + |z_{3\rho}|^2 - 3|x_\rho|^2 = b_\rho, \quad \rho = 1, 2, 3$$

Geometry:

$$\left. \begin{array}{l} \langle x_1 \rangle \neq 0 \text{ generates } \mathbb{Z}_3 \text{ with FP } z_{11} = z_{21} = z_{31} = 0 \\ \langle x_2 \rangle \neq 0 \text{ generates } \mathbb{Z}_3 \text{ with FP } z_{12} = z_{22} = z_{32} = 0 \\ \langle x_3 \rangle \neq 0 \text{ generates } \mathbb{Z}_3 \text{ with FP } z_{13} = z_{23} = z_{33} = 0 \end{array} \right\} \begin{array}{l} 3 \times 9 \text{ fixed points} \\ \text{Smooth for } b_\rho > 0! \end{array}$$

General procedure:

To build an orbifold/resolution **GLSM** model

- 1 Choose toric description appropriate for orbifold action
- 2 Introduce exceptional divisors to smoothen the singularities
- 3 Set FI parameters $a \gg 0 > b$ to study orbifold or $a \gg b > 0$ to study blowup
- 4 Construct inherited divisors and linear equivalences
- 5 Read off intersection numbers

In this way, the resolution phase can be studied using a GLSM which can be smoothly connected to the orbifold.

The procedure yields GLSM description of previous approaches which proceeded via polytopes and gluings.

[Lust,Reffert,Scheidegger,Stieberger]

But we can **do more!** Having a **GLSM** realization, we can **probe** whole **moduli space** by simply varying **FI** parameter.

$U(1)$'s	z_{11} z_{12} z_{13}	z_{21} z_{22} z_{23}	z_{31} z_{32} z_{33}	c_1 c_2 c_3	x_1 x_2 x_3
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R_3	0 0 0	0 0 0	1 1 1	0 0 -3	0 0 0
E_1	1 0 0	1 0 0	1 0 0	0 0 0	-3 0 0
E_2	0 1 0	0 1 0	0 1 0	0 0 0	0 -3 0
E_3	0 0 1	0 0 1	0 0 1	0 0 0	0 0 -3

Superpotential:

$$\mathcal{W} = \sum_{i,\rho} c_i z_{i\rho}^3 x_\rho$$

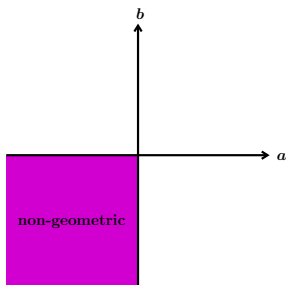
D-terms:

$$\sum_{\rho=1}^3 |z_{i\rho}|^2 - 3|c_i|^2 = a_i, \quad i = 1, 2, 3$$

$$\sum_{i=1}^3 |z_{i\rho}|^2 - 3|x_\rho|^2 = b_\rho, \quad \rho = 1, 2, 3$$

For simplicity: Set $a_i = a$ and $b_\rho = b$.

Phase I: Non-Geometric Regime



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$$\mathcal{W} = \sum_{i,\rho} c_i z_{i\rho}^3 x_\rho$$

D-terms:

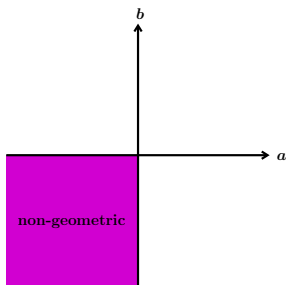
$$\sum_{\rho=1}^3 |z_{i\rho}|^2 - 3|c_i|^2 = a$$

$$\sum_{i=1}^3 |z_{i\rho}|^2 - 3|x_\rho|^2 = b$$

$a < 0, b < 0$:

$$\langle c_i \rangle = \frac{\sqrt{a}}{3}, \quad \langle x_\rho \rangle = \frac{\sqrt{b}}{3}, \quad z_{i\rho} = 0$$

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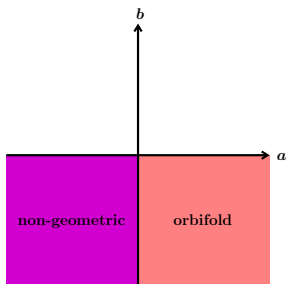
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$$\sum_{i=1}^3 |z_{i\rho}|^2 - 3|x_\rho|^2 = b$$

$a < 0, b < 0$:

$$\langle c_i \rangle = \frac{\sqrt{a}}{3}, \quad \langle x_\rho \rangle = \frac{\sqrt{b}}{3}, \quad z_{i\rho} = 0$$

Target space is a **point**.



Superpotential:

$$\mathcal{W} = \sum_{i,\rho} c_i z_{i\rho}^3 x_\rho$$

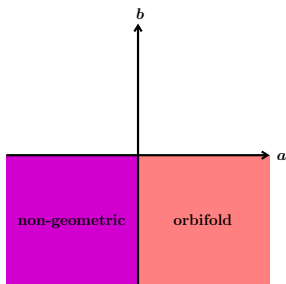
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$$\sum_{\rho=1}^3 |z_{i\rho}|^2 - 3|c_i|^2 = a$$

$$\sum_{i=1}^3 |z_{i\rho}|^2 - 3|x_\rho|^2 = b$$

$$a > 0, \quad b < 0:$$

$$c_i = 0, \quad \langle x_\rho \rangle > 0, \quad \langle z_{i\rho} \rangle \geq 0$$



Superpotential:

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D-terms:

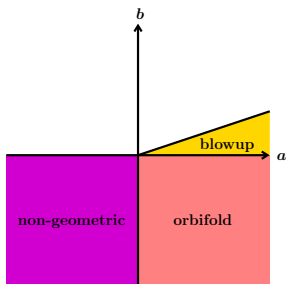
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$$\sum_{i=1}^3 |z_{i\rho}|^2 - 3|x_\rho|^2 = b$$

$a > 0, b < 0$:

$$c_i = 0, \quad \langle x_\rho \rangle > 0, \quad \langle z_{i\rho} \rangle \geq 0$$

Target space is the T^6/\mathbb{Z}_3 orbifold.



Superpotential:

$$\mathcal{W} = \sum_{i,\rho} c_i z_{i\rho}^3 x_\rho$$

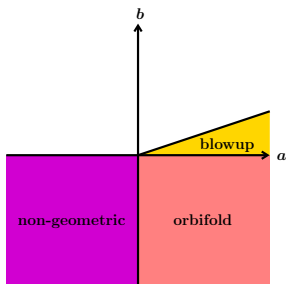
D-terms:

$$\sum_{\rho=1}^3 |z_{i\rho}|^2 - 3|c_i|^2 = a$$

$$\sum_{i=1}^3 |z_{i\rho}|^2 - 3|x_\rho|^2 = b$$

$$a > 3b > 0:$$

$$c_i = 0, \quad \langle x_\rho \rangle \geq 0, \quad \langle z_{i\rho} \rangle \geq 0$$



Superpotential:

$$\mathcal{W} = \sum_{i,\rho} c_i z_{i\rho}^3 x_\rho$$

D-terms:

$$\sum_{\rho=1}^3 |z_{i\rho}|^2 - 3|c_i|^2 = a$$

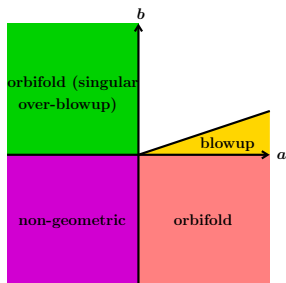
$$\sum_{i=1}^3 |z_{i\rho}|^2 - 3|x_\rho|^2 = b$$

$$a > 3b > 0:$$

$$c_i = 0, \quad \langle x_\rho \rangle \geq 0, \quad \langle z_{i\rho} \rangle \geq 0$$

Target space is the **resolution CY** of the T^6/\mathbb{Z}_3 orbifold.

Phase IV: Singular Over-Blowup



Superpotential:

$$\mathcal{W} = \sum_{i,\rho} c_i z_{i\rho}^3 x_\rho$$

D-terms:

$$\sum_{\rho=1}^3 |z_{i\rho}|^2 - 3|c_i|^2 = a$$

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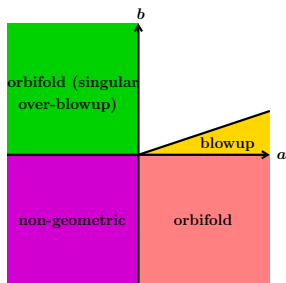
$a < 0, b > 0$:

Note complete symmetry of the model under

$$x_\rho \leftrightarrow c_i, \quad z_{i\rho} \leftrightarrow z_{\rho i}, \quad a \leftrightarrow b$$

$$\langle c_i \rangle > 0, \quad x_\rho = 0, \quad \langle z_{i\rho} \rangle \geq 0$$

Phase IV: Singular Over-Blowup



Superpotential:

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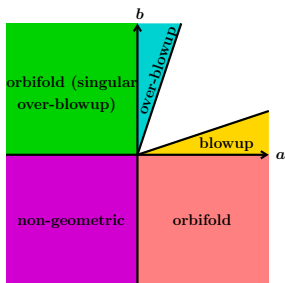
Note complete symmetry of the model under

$$x_\rho \leftrightarrow c_i, \quad z_{i\rho} \leftrightarrow z_{\rho i}, \quad a \leftrightarrow b$$

$$\langle c_i \rangle > 0, \quad x_\rho = 0, \quad \langle z_{i\rho} \rangle \geq 0$$

Target space again T^6/\mathbb{Z}_3 orbifold, with x and c exchanged.

Phase V: Over-Blowup



Superpotential:

$$\mathcal{W} = \sum_{i,\rho} c_i z_{i\rho}^3 x_\rho$$

D-terms:

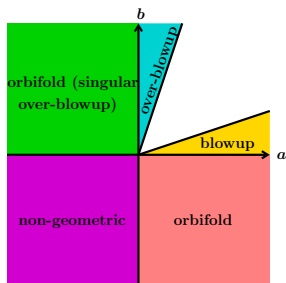
$$\sum_{\rho=1}^3 |z_{i\rho}|^2 - 3|c_i|^2 = a$$

$$\sum_{i=1}^3 |z_{i\rho}|^2 - 3|x_\rho|^2 = b$$

$$b > 3a > 0:$$

$$\langle c_i \rangle \geq 0, \quad x_\rho = 0, \quad \langle z_{i\rho} \rangle \geq 0$$

Phase V: Over-Blowup



Superpotential:

$$\mathcal{W} = \sum_{i,\rho} c_i z_{i\rho}^3 x_\rho$$

D-terms:

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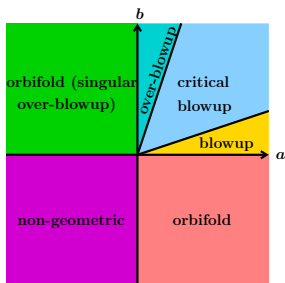
$$\sum_{i=1}^3 |z_{i\rho}|^2 - 3|x_\rho|^2 = b$$

$$b > 3a > 0:$$

$$\langle c_i \rangle \geq 0, \quad x_\rho = 0, \quad \langle z_{i\rho} \rangle \geq 0$$

Target space is the **resolution CY** of the “other” T^6/\mathbb{Z}_3 orbifold.

Phase VI: Critical Blowup



Superpotential:

$$\mathcal{W} = \sum_{i,\rho} c_i z_{i\rho}^3 x_\rho$$

D-terms:

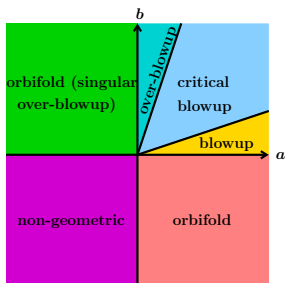
$$\sum_{\rho=1}^3 |z_{i\rho}|^2 - 3|c_i|^2 = a$$

$$\sum_{i=1}^3 |z_{i\rho}|^2 - 3|x_\rho|^2 = b$$

$$a > 0, \quad b \in \left[\frac{a}{3}, 3a\right]:$$

$$\langle c_{i \neq \rho} \rangle \geq 0, \quad \langle x_\rho \rangle \geq 0, \quad \langle z_{i\rho} \rangle \geq 0$$

Phase VI: Critical Blowup



Superpotential:

$$\mathcal{W} = \sum_{i,\rho} c_i z_{i\rho}^3 x_\rho$$

D-terms:

$$\sum_{\rho=1}^3 |z_{i\rho}|^2 - 3|c_i|^2 = a$$

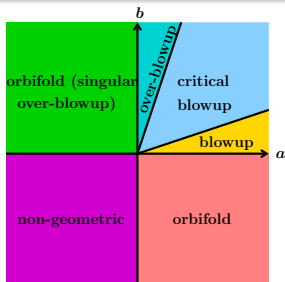
$$\sum_{i=1}^3 |z_{i\rho}|^2 - 3|x_\rho|^2 = b$$

$$a > 0, b \in \left[\frac{a}{3}, 3a\right]:$$

$$\langle c_{i \neq \rho} \rangle \geq 0, \quad \langle x_\rho \rangle \geq 0, \quad \langle z_{i\rho} \rangle \geq 0$$

Target space is **hybrid phase** with blowup limits for $b \downarrow \frac{a}{3}$ & $b \uparrow 3a$.

Summary



Superpotential:

$$\mathcal{W} = \sum_{i,\rho} c_i z_{i\rho}^3 x_\rho$$

D-terms:

$$\sum_{\rho=1}^3 |z_{i\rho}|^2 - 3|c_i|^2 = a$$

$$\sum_{i=1}^3 |z_{i\rho}|^2 - 3|x_\rho|^2 = b$$

Note that in general

- The **dimension** of the **TS** **can jump** between the phases
- There can be **flop-transitions** also “outside” the **CY**
- There can be several **distinct singular phases** [Aspinwall, Greene, Morrison, Plesser, ...]

There is a vast (moduli) space to be explored!

Part II

$\mathcal{N} = (0, 2)$ Models & Anomalies

superfield type	notation	charge	bosonic DOF	fermionic DOF
chiral	Ψ^a	$(q_I)^a$	z^a	ψ^a
chiral-Fermi	Λ^α	$(Q_I)^\alpha$	\tilde{F}^α	λ^α
gauge	$(V, A)^I$	0	$a^I_\sigma, a^I_{\tilde{\sigma}}, \tilde{D}^I$	Φ^I
Fermi-gauge	σ^i	0	s^i	φ^i
chiral	Φ^m	$(q_I)^m$	x^m	ψ^m
chiral-Fermi	Γ^μ	$(Q_I)^\mu$	\tilde{F}^μ	γ^μ

Think of 2D $\mathcal{N} = (0, 2)$ superspace as $\mathcal{N} = (2, 2)$ superspace and **dispense** of $\theta^+, \bar{\theta}^+$ [Dine, Seiberg]

Multiplets

- $(2, 2)$ Chiral multiplet $\mathcal{Z}_{(2,2)} \Rightarrow (Z; \chi) = (\text{chiral}; \text{chiral-Fermi})$
- $(2, 2)$ Vector multiplet $V_{2,2} \Rightarrow (V, A; \Sigma) = (\text{gauge}; \text{Fermi-gauge})$

Definition of GLSM

superfield type	notation	charge	bosonic DOF	fermionic DOF
chiral	Z^a	$(q_I)^a$	z^a	ψ^a
chiral-Fermi	Λ^α	$(Q_I)^\alpha$	\tilde{F}^α	λ^α
gauge	$(V, A)^I$	0	$a_\sigma^I, a_\sigma^I, \tilde{D}^I$	Φ^I
Fermi-gauge	Σ^i	0	s^i	φ^i
chiral	Φ^m	$(q_I)^m$	x^m	ψ^m
chiral-Fermi	Γ^μ	$(Q_I)^\mu$	\tilde{F}^μ	γ^μ

Geometry:

\tilde{D} Term:

$$(q_I)^a |z^a|^2 + (q_I)^m |x^m|^2 - b_I = 0, \quad b_I: \text{FI-parameter}$$

\tilde{F} Term:

$$W_{\text{geom}} = \Gamma^\mu P_\mu(Z) \Rightarrow P_\mu(Z) = 0$$

Geometry

\tilde{D} Terms give SR ideal \Rightarrow Kähler cone, GLSM phase

\tilde{F} Terms give restriction to hypersurface

Definition of GLSM

superfield type	notation	charge	bosonic DOF	fermionic DOF
chiral	Z^a	$(q_I)^a$	z^a	ψ^a
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Gauge group:

Fermionic Transformation:

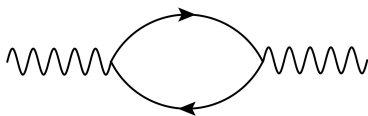
$$\delta_\Theta \Lambda^\alpha = M^\alpha{}_i(Z) \Theta^i$$

Superpotential:

$$W_{\text{bundle}} = \Phi^m N_{m\alpha}(\Psi) \Lambda^\alpha \Rightarrow \Phi^m N_{m\alpha}(Z) = 0$$

Gauge group

Gauge group and particle content given by (naturally arising) monad construction via $\ker(N)/\text{im}(M)$.



$$A_{IJ} := \frac{1}{2}(Q_I \cdot Q_J - q_I \cdot q_J),$$

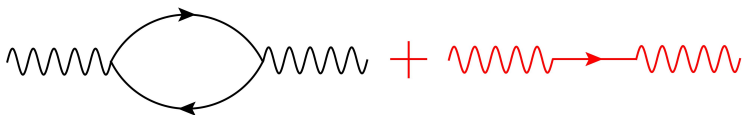
$$Q_I \cdot Q_J := \sum_{\alpha} (Q_I)^{\alpha} (Q_J)^{\alpha} + \sum_{\mu} (Q_I)^{\mu} (Q_J)^{\mu},$$

$$q_I \cdot q_J := \sum_a (q_I)^a (q_J)^a + \sum_m (q_I)^m (q_J)^m.$$

Problem

In general **many** U(1) gauge groups

⇒ **Huge amount** of stringent **anomaly conditions**.



$$A_{IJ} := \frac{1}{2}(Q_I \cdot Q_J - q_I \cdot q_J) - \mathcal{T}_{IJ},$$

$$Q_I \cdot Q_J := \sum_{\alpha} (Q_I)^{\alpha} (Q_J)^{\alpha} + \sum_{\mu} (Q_I)^{\mu} (Q_J)^{\mu},$$

$$q_I \cdot q_J := \sum_a (q_I)^a (q_J)^a + \sum_m (q_I)^m (q_J)^m.$$

Idea

Introduce **new fields** to obtain **Green-Schwarz mechanism** on the world-sheet to **cancel gauge anomalies**. [Adams,Ernebjerg,Lapan]

Green-Schwarz mechanism needs fields that transform with shifts.

Our approach

⇒ Use **logarithm** of **coordinate fields** Ψ

$$W_{\text{FI}} = \left[\rho_I^0 + T_{XI} \ln |R^X(Z)| \right] f^I \quad \Rightarrow \quad \mathcal{T}_{IJ} = r_I^X T_{XI}$$

$$\mathcal{A}_{IJ} = \frac{1}{2} (Q_I \cdot Q_J - q_I \cdot q_J) - \mathcal{T}_{IJ}$$

with

- ρ_I^0 : constant FI parameter
- $R^X(Z)$: homogeneous polynomials w/ charges r_I^X
- T_{XI} : (quantized) coefficients: $T_{XI} \int F^I \in \mathbb{Z}$

Green-Schwarz mechanism needs fields that transform with shifts.

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WS Anom: $I_4 = \mathcal{A}_{IJ} F^I F^J$

TS BI's: $dH = \text{ch}_2(V) - \text{ch}_2(TX) - \text{NS5}$

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TS BI's: $dH = \text{ch}_2(V) - \text{ch}_2(TX) - \text{NS5}$

$$\text{Anom: } l_4 = \underbrace{[Q_i^\alpha Q_j^\alpha - q_i^m q_j^m] F^I F^J}_{Z^*(\text{ch}_2(V))} - \underbrace{[q_i^a q_j^a - Q_i^\mu Q_j^\mu] F^I F^J}_{Z^*(\text{ch}_2(TX))} - \underbrace{\mathcal{T}_{IJ} F^I F^J}_{Z^*(\text{NS5})}$$

1.) No anomalies

superfield	$Z^{a=1,\dots,8}$	$\Gamma^{\mu=1,\dots,4}$	$\Lambda^{\alpha=1,\dots,8}$	$\Phi^{m=1,\dots,4}$
gauge charge	1	-2	1	-2

$\mathbb{P}^7[2, 2, 2, 2]$ with $SU(3)$ bundle

$$\mathcal{A}_{11} = \frac{1}{2} [Q_1^2 - q_1^2] - \mathcal{T}_{11}$$

$$W_{FI} = \rho^0 + T \ln |Z_1| \quad \Rightarrow \quad r_1^1 = 1, \quad \mathcal{T}_{11} = T, \quad T \in 2\mathbb{Z}$$

$$V_D = \frac{1}{2} \left[\sum_a |z^a|^2 - 2 \sum_m |x^m|^2 - b - T \ln |z^1| \right]^2 \stackrel{!}{=} 0$$

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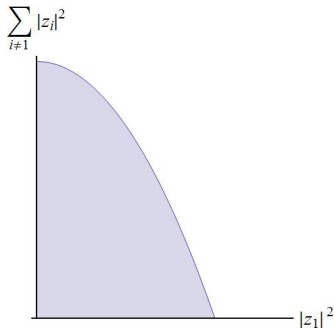
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$$\mathcal{A}_{11} = \frac{1}{2} [8 \cdot (1)^2 + 4 \cdot (-2)^2 - 8 \cdot (1)^2 - 4 \cdot (-2)^2] + \mathcal{T}_{11} \stackrel{!}{=} 0$$

$$\mathcal{T}_{11} = T = 0$$

1.) No anomalies



$$b > 0 \Rightarrow |x^a| = 0, \quad V_D \stackrel{!}{=} 0 \Rightarrow \sum_{a=1}^8 |z^a|^2 = b$$

Geometry **compact**, no anomalies.

2.) $T > 0$, compact geometry, effective curve

superfield	$\Psi^{a=1,\dots,8}$	$\Gamma^{\mu=1,\dots,4}$	$\Lambda^{\alpha=1,\dots,4}$	$\Phi^{m=1,2}$
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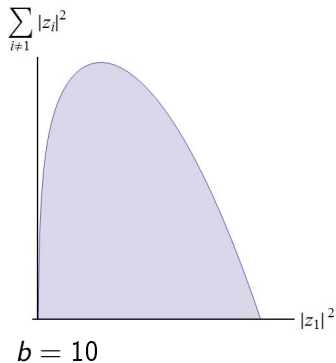
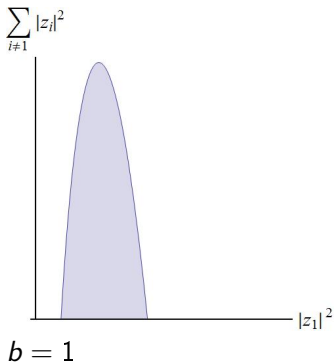
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$$\mathcal{A}_{11} = \frac{1}{2} [4 \cdot (1)^2 + 4 \cdot (-2)^2 - 8 \cdot (1)^2 - 2 \cdot (-2)^2] - \mathcal{T}_{11} \stackrel{!}{=} 0$$

$$\mathcal{T}_{11} = T = 2$$

2.) $T > 0$, compact geometry, effective curve



$$b > 0 \Rightarrow |x^a| = 0, \quad V_D \stackrel{!}{=} 0 \Rightarrow \sum_{a=2}^8 |z^a|^2 = b + 2 \ln |z_1| - |z_1|^2$$

Geometry still **compact**, anomalies canceled by **NS5 branes**.

3.) $T < 0$, decompactified geometry, non-effective curve

superfield	$Z^{a=1,\dots,8}$	$\Gamma^{\mu=1,\dots,4}$	$\Lambda^{\alpha=1,\dots,8}$	$\Phi^{m=1,2}$
gauge charge	1	-2	1	-4

$\mathbb{P}^7[2, 2, 2, 2]$ with $SU(6)$ bundle

$$\mathcal{A}_{11} = \frac{1}{2} [Q_1^2 - q_1^2] - \mathcal{T}_{11}$$

$$W_{\text{FI}} = \rho^0 + T \ln[Z^1] \quad \Rightarrow \quad r_1^1 = 1, \quad \mathcal{T}_{11} = T, \quad T \in 4\mathbb{Z}$$

$$V_D = \frac{1}{2} \left[\sum_a |z^a|^2 - 4 \sum_m |x^m|^2 - b - T \ln |z^1| \right]^2 \stackrel{!}{=} 0$$

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superfield	$Z^{a=1,\dots,8}$	$\Gamma^{\mu=1,\dots,4}$	$\Lambda^{\alpha=1,\dots,8}$	$\Phi^{m=1,2}$
gauge charge	1	-2	1	-4

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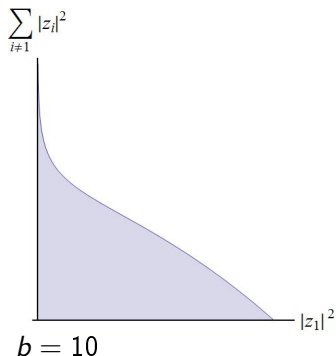
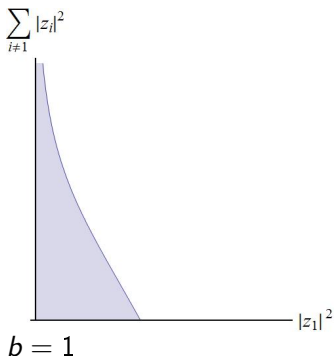
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$$\mathcal{A}_{11} = \frac{1}{2} [8 \cdot (1)^2 + 4 \cdot (-2)^2 - 8 \cdot (1)^2 - 2 \cdot (-4)^2] - \mathcal{T}_{11} \stackrel{!}{=} 0$$

$$\mathcal{T}_{11} = T = -8$$

3.) $T < 0$, decompactified geometry, non-effective curve



$$b > 0 \Rightarrow |x^a| = 0, \quad V_D \stackrel{!}{=} 0 \Rightarrow \sum_{a=2}^8 |z^a|^2 = b - 8 \ln |z_1| - |z_1|^2$$

Geometry **decompactified**, anomalies canceled with **anti-NS5 branes**.

Part III

$\mathcal{N} = (0, 2)$ Models & Discrete
Symmetries

Discrete Symmetries important for

- Absence of **FCNCs**
- Absence of **μ -term** [Dreiner, Lee, Luhn, Raby, Ratz, Ross, Schieren, Schmidt-Hoberg, Thormaier, Vaudrevange, . . .]
- Creation of **hierarchies**
- **Proton stability**

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Discrete symmetries on orbifold

- Non- R Symmetries from fixed point degeneracies
- R Symmetries from remnants of internal Lorentz group
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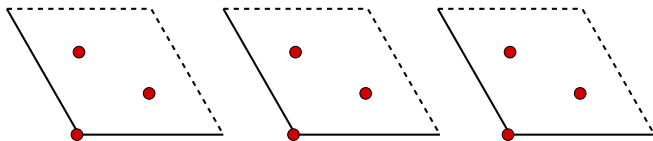
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Discrete symmetries on CY

- Symmetries arise as isometries in GLSM
- Charges from graded cohomology



$$\theta : (z_1, z_2, z_3) \mapsto (e^{2\pi i/3} z_1, e^{2\pi i/3} z_2, e^{-2\pi i/3} z_3)$$

- **Orbifold action** given by **twist** vector $v = \frac{1}{3}(1, 1, -2)$
- **Gauge sector** given by **shift** V in the $\Lambda_{E_8 \times E_8}$
- Choose **Standard embedding** $V = \frac{1}{3}(1, 1, -2, 0^5)(0^8)$ “= v ”

Gauge group: $[E_6 \times SU(3)]_{\text{vis}} \times [E_8]_{\text{hidden}}$

Matter: $3(\mathbf{27}, \bar{\mathbf{3}}; \mathbf{1}) + 27[(\mathbf{27}, \mathbf{1}; \mathbf{1}) + 3(\mathbf{1}, \mathbf{3}; \mathbf{1})]$

Want to construct **smooth CY** with line bundles from orbifold using **toric** (algebraic) **geometry**. Impose

- **Bianchi identity** (ensures anomaly cancelation):

$$H = dB + \omega_{YM} - \omega_L \rightarrow \int_D dH = \int_D \text{tr} \mathcal{R}^2 - \text{tr} \mathcal{F}^2 \stackrel{!}{=} 0$$

- **Donaldson–Uhlenbeck–Yau** (ensures 4d $\mathcal{N} = 1$ SUSY)

$$\int_X J \wedge J \wedge \mathcal{F} = 0$$

Procedure: [Blaszczyk, Groot Nibbelink, Ha, Klevers, FR, Trapletti, Vaudrevange, Walter, ...]

- Introduce **exceptional divisors** $E_{\alpha\beta\gamma}$ at $x_{\alpha\beta\gamma} = 0$
- Introduce **gauge flux** $\mathcal{F} = E_{\alpha\beta\gamma} V'_{\alpha\beta\gamma} H_I$
 - The H_I are the 16 Cartan generators of $E_8 \times E_8$
 - The 16×27 matrix $V'_{\alpha\beta\gamma}$ describes the gauge line bundle at the 27 fixed points
- Note that in the **orbifold limit** the $E_{\alpha\beta\gamma}$ are shrunk to a point
 \Rightarrow **flux** is located at fixed points

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- Note that in the **orbifold limit** the $E_{\alpha\beta\gamma}$ are shrunk to a point \Rightarrow **flux** is located at fixed points

To make contact with the **orbifold** description:

- Choose the $V_{\alpha\beta\gamma}$ to coincide with the internal $E_8 \times E_8$ **momentum** of some **twisted orbifold state** located at (α, β, γ)
- **Vev** of **orbifold state** generates the **blowup** of the $E_{\alpha\beta\gamma}$

Choose 3 different **bundle vectors** from $(\mathbf{27}, \mathbf{1})$ of $E_6 \times SU(3)$

- $V_1 = \frac{1}{3}(2, 2, 2, 0^5)(0^8)$ at 9 fixed points
- $V_2 = \frac{1}{3}(-1, -1, -1, 3, 0^4)(0^8)$ at 9 fixed points
- $V_3 = -(V_1 + V_2)$ at 9 fixed points

$$\Rightarrow \mathcal{F} = \sum_{i=1}^9 E_i V_1^I H_I + \sum_{j=10}^{18} E_j V_2^I H_I + \sum_{19}^{27} E_n V_3^I H_I$$

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$$\int_{E_{\alpha\beta\gamma}} \text{tr} \mathcal{F}^2 = \int_{E_{\alpha\beta\gamma}} \text{tr} \mathcal{R}^2 \quad \Rightarrow \quad V_1^2 = V_2^2 = V_3^2 = \frac{4}{3}$$

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DUY equations

$$\int J \wedge J \wedge \mathcal{F} = 0 \quad \Rightarrow \quad \sum_{i=1}^9 V_1^I \text{vol}(E_i) + \sum_{j=10}^{18} V_2^I \text{vol}(E_j) + \sum_{k=19}^{27} V_3^I \text{vol}(E_k) = 0$$

Non- R symmetries arise as **discrete subgroups** of $U(1)_A$ and $U(1)_B$ which **leave vevs** of blowup modes **invariant**

$$27 \rightarrow \mathbf{8}_{s(1,-1)} + \mathbf{8}_{c(1,1)} + \mathbf{8}_{v(-2,0)} + \mathbf{1}_{(-2,-2)} + \mathbf{1}_{(-2,2)} + \mathbf{1}_{(4,0)}$$

Blowup modes:

$\mathbf{1}_{(-2,-2)}$, $\mathbf{1}_{(-2,2)}$, $\mathbf{1}_{(4,0)}$ corresponding to V_1 , V_2 , V_3

Leave **discrete $\mathbb{Z}_2 \times \mathbb{Z}_2$ symmetry** generated by

$$T_{\pm} : \phi_{(q_a, q_b)} \rightarrow e^{\frac{2\pi i}{2}(q_A \pm q_B)} \phi_{(q_A, q_B)}$$

Both **symmetries** are **non-anomalous**

Properties of R symmetries

- R symmetries do **not commute** with **SUSY**
- Grassmann coordinate θ **transforms** under R symmetries
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R symmetries on orbifolds

R charge on **orbifold** defined via a combination of **right-moving momenta** q and **oscillator numbers** ΔN :

$$R = q - \Delta N \text{ with } q = \frac{1}{3}(1, 1, 1) \quad [\text{Kobayashi, Raby, Zhang}]$$

Remnant **symmetry** of internal space:

Sublattice rotations by $2\pi/3$ in each torus:

$$T_k^R : \phi \rightarrow e^{2\pi i/3 R_k} \phi, \quad k=1,2,3 \text{ labels tori}$$

Our orbifold **blowup modes** have

$$R = q - \Delta N = \frac{1}{3}(1, 1, 1)$$

To identify remnant **R -symmetries**, search for **invariant combinations** of T_k^R with $T_{U(1)_A}$ and $T_{U(1)_B}$:

$$\mathbf{1}_{(-2,-2)} \rightarrow (T_1^R)^a (T_2^R)^b (T_3^R)^c T_{U(1)_A} T_{U(1)_B} \mathbf{1}_{(-2,-2)} \stackrel{!}{=} \mathbf{1}_{(-2,-2)}$$

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Result

One finds that $a + b + c = 3 \Rightarrow$ **only** a (trivial) \mathbb{Z}_2 **R -symmetry** remains in **blowup**.

Look at simplified model with 3 exceptional divisors:

$$0 = z_{11}^3 x_1 + z_{12}^3 x_2 + z_{13}^3 x_3$$

$$0 = z_{21}^3 x_1 x_2 x_3 + z_{22}^3 + z_{23}^3$$

$$0 = z_{31}^3 x_1 x_2 x_3 + z_{32}^3 + z_{33}^3$$

$$a_i = |z_{i1}|^2 + |z_{i2}|^2 + |z_{i3}|^2$$

$$b_\alpha = |z_{1\alpha}|^2 + |z_{21}|^2 + |z_{31}|^2 - 3|x_\alpha|^2$$

Symmetries:

- $z_{i\alpha} \rightarrow e^{2\pi i/3} z_{i\alpha}$
- $(x_1, x_2, x_3) \rightarrow e^{2\pi i/3} (x_1, x_2, x_3)$
- ...

Origin of Symmetries

Note that the symmetries are inherited from the special choice of complex structure on the orbifold (absence of $\kappa z_{i1} z_{i2} z_{i3}$ term)

How to check which of these symmetries are R -symmetries?

R -symmetries will transform the holomorphic $(3, 0)$ form Ω :
 $\Omega \sim \eta \Gamma \eta dz^i dz^j dz^k \Rightarrow Q_R(\Omega) = Q_R(W)$ [Witten]

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How are the R -symmetries broken in blowup?

(Presumably) via marginal deformations in Kähler potential under the presence of the gauge bundle:

$$\int d^2\theta^+ \phi_{4D}(x^\mu) N(z, x) \Lambda \bar{\Lambda}$$

- ϕ_{4D} : 4D modes
- $N(z, x)$: Polynomial in the geometry fields $z_{i\alpha}, x_\alpha$
- Λ : WS fermions describing the gauge bundle

$N(z, x)$ might not be compatible with rotational symmetries
 \Rightarrow **R symmetry broken**

To **check transformation** of bundle under **discrete symmetries**:

- **Find discrete transformations** of coordinate **fields** z, x under **symmetry** in question
- Write down **gauge bundle** in ambient space
- **Restrict bundle** to toric hypersurface via Koszul sequence
- Find **contributing monomials**
- **Check transformation** of **monomials** under discrete symmetry

Tools

The last three steps should be automatized using `cohomalg` and the Koszul extension developed by Blumenhagen et.al.

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- GLSM Resolution of **Orbifolds** worked out
- Rich **moduli space** with interesting topology changes

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Thank you for your attention!