
Exercises on Advanced Topics in String Theory

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“Lo verdaderamente nuevo da miedo o maravilla.”

Julio Cortázar, *Historia de Cronopios y Famas*

1 Modularity on T^2

In string perturbation theory the one loop graph for closed strings has topology $\Sigma_{g=1} \simeq T^2$. A torus can be constructed by modding out a two dimensional lattice Λ out of \mathbb{C} . This means that points in \mathbb{C} differing by $\lambda \in \Lambda$ are identified

$$\mathbb{C} \ni z \sim z + \lambda. \quad (1)$$

For a given lattice $\Lambda = \{\lambda = n_1\ell + n_2\tau\ell | n_1, n_2 \in \mathbb{Z}\}$ with lattice vectors ℓ and $\tau\ell$, we can specify the torus by its modulus $\tau \in \mathbb{C}$. However $SL(2, \mathbb{Z})$ transformations on τ describe the same torus. The group $SL(2, \mathbb{Z})$ is the set of matrices given by

$$SL(2, \mathbb{Z}) = \left\{ \gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \middle| a, b, c, d \in \mathbb{Z}, ad - bc = 1 \right\} \quad (2)$$

They act on $z \in \mathbb{C}$ by $\gamma z = \frac{az+b}{cz+d}$. The generators of $SL(2, \mathbb{Z})$ are given by $S = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$ and $T = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$. We further define $PSL(2, \mathbb{Z}) = SL(2, \mathbb{Z}) / \{\pm 1\}$ and the upper half-plane $\mathbb{H} = \{z \in \mathbb{C} | \text{Im}(z) > 0\}$.

- (a) How does T and S act on \mathbb{H} ? Why is it enough to consider $PSL(2, \mathbb{Z})$? (2 points)
- (b) Show that there exists a $\gamma_0 \in SL(2, \mathbb{Z})$ such that $\text{Im}(\gamma z) \leq \text{Im}(\gamma_0 z)$ for all $\gamma \in SL(2, \mathbb{Z})$ and fixed $z \in \mathbb{H}$. (2.5 points)
- (c) Show that $|\gamma_0 z| \geq 1$. *Hint: Apply an S transformation on $\gamma_0 z$* (1.5 points)
- (d) Show that $|T^n \gamma_0 z| \geq 1$ for any $n \in \mathbb{Z}$ and that one can use T transformations to achieve $-\frac{1}{2} \leq \text{Re}(z) \leq \frac{1}{2}$. What is the fundamental domain \mathcal{F} of $SL(2, \mathbb{Z})$? (4 points)
- (e) Argue that two moduli τ and τ' differing by $SL(2, \mathbb{Z})$ transformations describe the same torus. (2 points)

The torus partition function $A_0^{g=1}$ describes the one loop vacuum amplitude of closed strings. It is given by¹

$$A_0^{g=1} = \int_{\mathcal{F}} \frac{d^2\tau}{4(\text{Im}(\tau))^2} Z(\tau, \bar{\tau}), \quad (3)$$

where

$$Z(\tau, \bar{\tau}) = \frac{V_{26}}{\ell_s^{26}} \frac{1}{(\text{Im}(\tau))^{12}} |\eta(\tau)|^{-48}, \quad \text{with} \quad \eta(\tau) = e^{\pi i \tau / 12} \prod_{n=1}^{\infty} (1 - e^{2\pi i n \tau}) \quad (4)$$

and $\tau \in \mathbb{C}$ is the moduli of the T^2 such that

$$\mathbb{C} \ni z \sim z + 1 \quad \text{and} \quad z \sim z + \tau. \quad (5)$$

(f) Show $\text{Im}(\tau)$ is the area of the T^2 with moduli τ . How does the measure $\frac{d^2\tau}{4(\text{Im}(\tau))^2}$ transform under $SL(2, \mathbb{Z})$? (3 points)

(g) Show the transformation properties of $\eta(\tau)$ under the action of the generators S and T of $SL(2, \mathbb{Z})$:

$$\eta(\tau + 1) = e^{\pi i / 12} \eta(\tau) \quad \text{and} \quad \eta(-1/\tau) = (-i\tau)^{1/2} \eta(\tau) \quad (6)$$

and use the result to show that $A_0^{g=1}$ is invariant under $SL(2, \mathbb{Z})$. (5 points)

The torus partition function is **modular invariant** due to its transformation properties under the **modular group** $PSL(2, \mathbb{Z})$. Modular invariance of closed string amplitudes can be used to uncover inconsistencies of string theories. For example we will later see, that modular invariance implies spacetime supersymmetry for the superstring.

2 Reduction to moduli of the string partition function

This exercise is a continuation of section 1.2 of **Exercise sheet 1**. Let Σ_h be a compact oriented Riemann surface of genus h . We are interested in the subspace $\mathcal{M}(\Sigma_h) \subset \mathcal{G}(\Sigma_h)$, containing all conformal equivalence classes of metrics, called the **moduli space**. Denoting the set of Weyl scalings as $Weyl(\Sigma_h)$ and the set of diffeomorphisms as $Diff(\Sigma_h)$, the moduli space is represented as

$$\mathcal{M}(\Sigma_h) = \frac{\mathcal{G}(\Sigma_h)}{Weyl(\Sigma_h) \times Diff(\Sigma_h)}. \quad (7)$$

In general the infinitesimal variation of a metric $g(t_i) \in \mathcal{G}_{\Sigma_h}$ is given by

$$\delta g_{ab} = \delta g_{ab}^W + \delta g_{ab}^D + \delta t_i \frac{\partial}{\partial t_i} g_{ab}, \quad (8)$$

where $t_i \in \mathcal{M}(\Sigma_h)$ are the **moduli parameter**. In order to integrate the string partition function only over physically inequivalent metrics, we need to find an appropriate gauge slice in $\mathcal{G}(\Sigma_h)$. Therefore we first need to find a slice $\tilde{\mathcal{G}}(\Sigma_h)$ which contains all equivalence classes of metrics related by Weyl transformations. Then the gauge slice lies in $\tilde{\mathcal{G}}(\Sigma_h)$ and is chosen in such a way that a transformation $\exp(P\vec{v})$ on a point $\tilde{g}_{ab} \in \{\text{gauge slice}\}$ leads to a point \hat{g}_{ab} still in $\tilde{\mathcal{G}}(\Sigma_h)$ but no longer in the gauge slice.

(e) Consider a point $\tilde{g}_{ab} \in \tilde{\mathcal{G}}(\Sigma_h)$. Is it possible to act on \tilde{g}_{ab} with an element $\in Diff(\Sigma_h)$ in such a way, that on leaves the slice $\tilde{\mathcal{G}}(\Sigma_h)$? Explain why! (1 point)

¹You will derive this result in the next section.

(f) Let us denote an infinitesimal variation changing the conformal equivalence class by δg_{ab}^\perp . It is therefore a tangent vector in the tangent space of $\mathcal{M}(\Sigma_h)$. Why must δg_{ab}^\perp be traceless? Show that $\delta g_{ab}^\perp \in \text{Ker}(P^\dagger)$. *Hint: How is the angle between the tangent vectors $(P\vec{v})_{ab}$ and δg_{ab}^\perp ?* (1.5 points)

(g) Let ψ_{ab}^α , $\alpha = 1, \dots, \dim \text{Ker}(P^\dagger)$ be an orthonormal basis for $\text{Ker}(P^\dagger)$ and decompose $T_{ab}^i \delta t_i$ into a linear combination of basis vectors of $\text{Ker}(P^\dagger)$ and vectors of $\text{Range}(P)$. You should arrive at

$$T_{ab}^i \delta t_i = \langle \psi^\alpha, T^i \rangle \psi_{ab}^\alpha \delta t_i + \frac{\langle P\vec{v}, T^i \rangle}{\|P\vec{v}\|^2} (P\vec{v})_{ab} \delta t_i. \quad (9)$$

Show that the norm of δg_{ab} is given by

$$\|\delta g\|^2 = \|\delta\tilde{\phi}\|^2 + \|P\tilde{v}\|^2 + \langle \psi^\alpha, T^i \rangle \langle \psi^\alpha, T^j \rangle \delta t_i \delta t_j, \quad (10)$$

with

$$\delta\tilde{\phi} = \delta\phi + \nabla_c v^c + \frac{1}{2} \left(g^{cd} \delta t_i \frac{\partial}{\partial t_i} g_{cd} \right) \quad \text{and} \quad \tilde{v} = \left(1 + \frac{\langle P\vec{v}, T^i \delta t_i \rangle}{P\vec{v}, P\vec{v}} \right) \vec{v}. \quad (11)$$

(2 points)

In order to change the path integral variables from g_{ab} to ϕ , \vec{v} and t_i we use the relation

$$\begin{aligned} 1 &= \int \mathcal{D}g_{ab} \exp(-\|\delta g\|^2/2) \\ &= J \int \mathcal{D}\phi \mathcal{D}v^a dt^1 \dots dt^n \exp\left(-[\|\delta\tilde{\phi}\|^2 + \|P\tilde{v}'\|^2 + \langle \psi^\alpha, T^i \rangle \langle \psi^\alpha, T^j \rangle \delta t_i \delta t_j]/2\right) \end{aligned} \quad (12)$$

to calculate the Jacobian J . Notice that \vec{v}' denotes elements from $\text{Range}(P)$. Since elements from $\text{Ker}(P)$ are orthogonal to \vec{v}' we can decompose the volume of the diffeomorphism group V_{Diff} into $V_{Diff}^\perp \times V_{Diff}^{CKV}$. Let χ_i , $i = 1, \dots, \dim \text{Ker}(P)$ be a basis for $\text{Ker}(P)$, then one can show that

$$V_{Diff}^\perp = V_{Diff} (\det \langle \chi_i, \chi_j \rangle)^{-1/2}. \quad (13)$$

From (12) one can show that the Jacobian for the path integral should be given by $J = \det^{1/2}(P^\dagger P) \frac{\det \langle \psi^i, T^j \rangle}{\det \langle \psi^i, \psi^j \rangle}$.

(h) Show that

$$\int \mathcal{D}g_{ab} \rightarrow V_{Diff} \int \mathcal{D}\phi dt^1 \dots dt^n \left(\frac{\det(P^\dagger P)}{\det \langle \chi^i, \chi^j \rangle} \right)^{1/2} \frac{\det \langle \psi^i, T^j \rangle}{\det \langle \psi^i, \psi^j \rangle}. \quad (14)$$

(0.5 points)

(i) Express the number of real moduli n by the genus h for a compact Riemann surface with no crosscaps and $h \geq 2$. *Hint: There are no CKV for compact Riemann surfaces with $h \geq 2$.* (1 point)

In the critical dimension ($D = 26$ for the bosonic string) the integrand becomes independent from ϕ and the integral $\int \mathcal{D}\phi = V_{Conf}$ can be absorbed into the normalization. It can be shown that the integral over the mappings X^μ is given by

$$\int \mathcal{D}X^\mu \exp\left(-\int d^2\sigma \sqrt{g} \left(\frac{1}{2} g^{ab} \partial_a X^\mu \partial_b X_\mu\right)\right) = \mathcal{V} \left(\frac{\int d^2\sigma \sqrt{g}}{2\pi}\right)^{13} (\det \Delta_g)^{-13}, \quad (15)$$

with \mathcal{V} the volume of space time and $\Delta_g = -\frac{1}{\sqrt{g}} \partial_a \sqrt{g} g^{ab} \partial_b$. Putting the previous results together we find that the partition function in **Exercise sheet 1** can be expressed by

$$A_0^h = \mathcal{V} e^{\lambda(2-2h)} \int_{\mathcal{M}_h} dt^1 \dots dt^n \left(\frac{\det(P^\dagger P)}{\det \langle \chi^i, \chi^j \rangle} \right)^{1/2} \frac{\det \langle \psi^i, T^j \rangle}{\det^{1/2} \langle \psi^i, \psi^j \rangle} \left(\frac{2\pi}{\int d^2\sigma \sqrt{g}} \det \Delta_g \right)^{-13}. \quad (16)$$

(h) Now that we have the general expression for the partition function of a compact Riemann surface let us apply the results to the $h = 1$ case. The worldsheet has the topology of a torus and A_0^h is the torus partition function.

- (i) Show that $\chi^1 = (1, 0)^T$ and $\chi^2 = (0, 1)^T$ are a possible choice for $\text{Ker}(P)$. (0.5 points)
(ii) Argue that $n = 2$ and show that T_{ab}^i are given by

$$T_{ab}^1 = \begin{pmatrix} -\tau_1 & 1 - \tau_1 \\ 1 - \tau_1 & \tau_1 \end{pmatrix} \quad \text{and} \quad T_{ab}^2 = \begin{pmatrix} -\tau_2 & -\tau_2 \\ -\tau_2 & \tau_2 \end{pmatrix}, \quad (17)$$

where τ_1 and τ_2 are the moduli of the torus with the $g_{ab} = |d\sigma^1 + (\tau_1 + i\tau_2)d\sigma^2|^2$. Why do T_{ab}^1, T_{ab}^2 form a possible basis for $\text{Ker}(P^\dagger)$. *Hint: Since the metric is flat $(P^\dagger T^i)_b = -2\partial^a T_{ab}^i$.* (1.5 points)

- (iii) Next calculate $\det\langle\chi^i, \chi^j\rangle$ and $\frac{\det\langle\psi^i, T^j\rangle}{\det^{1/2}\langle\psi^i, \psi^j\rangle}$ and show that $\det(P^\dagger P) = (\det(2\Delta_g))^2$.
Hint: First show $(P^\dagger P)_{ab}v^b = 2\delta_{ab}\Delta_g v^b$ (1 point)
(iv) Use $\det(2\Delta_g) = \frac{1}{2}\det(2)\det(\Delta_g)$ and compute A_0^h . You should arrive at (1 point)

$$A_0^h = \mathcal{V} \int_{\mathcal{M}_{\tau_2}} d^2\tau \frac{\tau_2^{10}}{(2\pi)^{13}} (\det(\Delta_g))^{-12} \det(2), \quad (18)$$

where $\det(2)$ can be absorbed into a counterterm by modifying the action. The computation of $\det(\Delta_g)$ would lead to

$$\det \Delta_g = \tau_2^2 e^{-\pi\tau_2/3} \left| \prod_{n=1}^{\infty} 1 - e^{2i\pi n\tau} \right|^4. \quad (19)$$

plugging it into A_0^h we arrive at the final result for the torus partition function

$$\begin{aligned} A_0^h &= \int_{\mathcal{M}_{\tau_2}} \frac{d^2\tau}{2\pi\tau_2^2} (2\pi\tau_2)^{-12} e^{4\pi\tau_2} \left| \prod_{n=1}^{\infty} 1 - e^{2i\pi n\tau} \right|^{-48} \\ &= \frac{1}{2} \int_{\mathcal{F}_{\text{PSL}(2, \mathbb{Z})}} \frac{d^2\tau}{2\pi\tau_2^2} (2\pi\tau_2)^{-12} e^{4\pi\tau_2} \left| \prod_{n=1}^{\infty} 1 - e^{2i\pi n\tau} \right|^{-48}, \end{aligned} \quad (20)$$

where $\mathcal{F}_{\text{PSL}(2, \mathbb{Z})}$ is the fundamental domain of the modular group $\text{PSL}(2, \mathbb{Z})$. Notice that integrating over $\mathcal{F}_{\text{PSL}(2, \mathbb{Z})}$ leaves an unfixed residual gauge freedom given by the diffeomorphism $\sigma^1 \rightarrow -\sigma^1, \sigma^2 \rightarrow -\sigma^2$. Therefore a factor of 1/2 is necessary to remove the over-counting.