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## Exercises on Advanced Topics in String Theory

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<http://www.th.physik.uni-bonn.de/people/forste/exercises/strings15>

–HOME EXERCISES– Due to: 24.06.2015

### H 8.1 Basics of Jacobi & modular forms

(20 points)

For the analysis of modularity of the partition function  $Z(\tau)$  of a given CFT it is convenient to introduce the notion of modular forms and Jacobi forms that have a specific behaviour under modular transformations  $\tau \mapsto \gamma(\tau)$ . Here we will consider some explicit examples to compare to the mathematical definitions:

A Jacobi form of weight  $k \in \mathbb{N}_0$  and index  $m \in \mathbb{N}_0$  is an analytic function  $f(\tau, \nu): \mathfrak{h} \times \mathbb{C} \rightarrow \mathbb{C}$  that for  $\gamma(\tau) = \frac{a\tau+b}{c\tau+d}$ ,  $\gamma \in \text{SL}(2, \mathbb{Z})$ , and  $(\lambda, \mu) \in \mathbb{Z}^2$  satisfy

$$f(\gamma(\tau), \nu/(c\tau + d)) = (c\tau + d)^k \exp(2\pi i m c \nu^2 / (c\tau + d)) f(\tau, \nu), \quad (1)$$

$$f(\tau, \nu + \lambda\tau + \mu) = \exp(2\pi i m c (-\lambda^2\tau - 2\lambda\nu)) f(\tau, \nu). \quad (2)$$

Additionally, one demands at most polynomial growth of  $f(\tau, \nu)$  at the cusp, i.e. at  $\tau \rightarrow i\infty$ . Then, this is equivalent to the existence of a Fourier expansion given by

$$f(\tau, \nu) = \sum_{n=0}^{\infty} \sum_{r \in \mathbb{Z}} c(n, m) q^n z^r \quad (3)$$

for  $4nm - r^2 \geq 0$  and  $q = e^{2\pi i \tau}$ ,  $z = e^{2\pi i \nu}$ . A modular form of weight  $k$  is a holomorphic function  $f(\tau)$  on  $\mathfrak{h}$  fulfilling (1) for  $m = 0$ .

As a first example we define the basic theta function given by its Fourier expansion

$$\theta(\nu, \tau) = \sum_{n=-\infty}^{\infty} \exp(\pi i n^2 \tau + 2\pi i n \nu) = \sum_n q^{n^2/2} z^n. \quad (4)$$

(a) Analyze its periodicity for  $\nu \mapsto \nu + 1$ ,  $\nu \mapsto \nu + \tau$ . (2 points)

(b) Prove the Poisson resummation formula for  $f$  and its Fourier transform  $\hat{f}$ ,

$$\sum_{n=-\infty}^{\infty} f(x + nT) = \frac{1}{T} \sum_{k=-\infty}^{\infty} \hat{f}(k/T) e^{2\pi i k/T x}. \quad (5)$$

(2 points)

(c) Apply Poisson resummation to analyze the modularity properties of (4),

$$\theta(\nu, \tau + 1) = \theta(\nu + 1/2, \tau), \quad \theta(\nu/\tau, -1/\tau) = (-i\tau)^{1/2} e^{\pi i \nu^2} \theta(\nu, \tau). \quad (6)$$

(2 points)

(d) Rewrite  $\theta(\nu, \tau)$  as an infinite product using Jacobi's triple product identity,

$$\prod_{n=1}^{\infty} (1 - q^n)(1 + q^{n-1/2})(1 + q^{n-1/2}/t) = \sum_{n \in \mathbb{Z}} q^{n^2/2} t^n. \quad (7)$$

What is the behaviour for  $q \rightarrow 0$ ,  $q \rightarrow 1$  and  $z \rightarrow -q^{1/2}$ ? *Hint: Use  $\tau \mapsto -1/\tau$  to analyze the limit  $q \rightarrow 1$ !* (2 points)

The theta function with characteristics is defined by

$$\theta \begin{bmatrix} a \\ b \end{bmatrix} (\nu, \tau) = \exp[\pi i a^2 \tau + 2\pi i a(\nu + b)] \theta(\nu + a\tau + b, \tau), \quad (8)$$

that are also denoted by

$$\begin{aligned} \theta \begin{bmatrix} 0 \\ 0 \end{bmatrix} (\nu, \tau) &= \theta_{00}(\nu, \tau) = \theta_3(\nu|\tau), & \theta \begin{bmatrix} 0 \\ 1/2 \end{bmatrix} (\nu, \tau) &= \theta_{01}(\nu, \tau) = \theta_4(\nu|\tau), \\ \theta \begin{bmatrix} 1/2 \\ 0 \end{bmatrix} (\nu, \tau) &= \theta_{10}(\nu, \tau) = \theta_2(\nu|\tau), & \theta \begin{bmatrix} 1/2 \\ 1/2 \end{bmatrix} (\nu, \tau) &= \theta_{11}(\nu, \tau) = \theta_1(\nu|\tau). \end{aligned} \quad (9)$$

(e) Determine the sum as well as product representation of (9). (2 points)

(f) What are the periodicity properties for  $\nu \mapsto \nu + 1$  and  $\nu \mapsto \nu + \tau$ ? (2 points)

(g) Analyze the modularity behaviour  $(\nu, \tau) \mapsto (\nu, \tau + 1)$ ,  $(\nu, \tau) \mapsto (\nu, -1/\tau)$ . (2 points)

(h) Evaluate the theta function at  $\nu = 0$  and define

$$\theta_i(\tau) \equiv \theta_i(0|\tau). \quad (10)$$

Deduce the behaviour under modular transformations. (2 points)

Dedekind's eta-function is defined as

$$\eta(\tau) = q^{1/24} \prod_{n=1}^{\infty} (1 - q^n). \quad (11)$$

(i) Show the relation

$$\eta^3(\tau) = \frac{1}{2} \theta_2(\tau) \theta_3(\tau) \theta_4(\tau) \quad (12)$$

and infer  $\eta(-1/\tau) = \sqrt{-i\tau} \eta(\tau)$  as well as  $\eta(\tau + 1) = \exp(i\tau/12) \eta(\tau)$ . (2 points)

(j) Finally, compare all encountered examples to (3) to read off  $k$  and  $m$ . (2 points)